AUTONATION, INC.

Form 4

November 29, 2013

## FORM 4

### UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF

**SECURITIES** 

OMB Number:

3235-0287

Expires:

January 31, 2005

0.5

Estimated average burden hours per

**OMB APPROVAL** 

response...

subject to Section 16. Form 4 or Form 5 obligations

may continue.

See Instruction

Check this box

if no longer

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section

30(h) of the Investment Company Act of 1940

1(b).

(Print or Type Responses)

1. Name and Address of Reporting Person \* LAMPERT EDWARD S

2. Issuer Name and Ticker or Trading

Symbol

AUTONATION, INC. [AN]

5. Relationship of Reporting Person(s) to Issuer

(Check all applicable)

(Last) (First) (Middle)

3. Date of Earliest Transaction

Director

\_X\_\_ 10% Owner

1170 KANE CONCOURSE, SUITE

(Street)

11/26/2013

(Month/Day/Year)

Officer (give title below)

\_ Other (specify

200

4. If Amendment, Date Original

Filed(Month/Day/Year)

6. Individual or Joint/Group Filing(Check

Applicable Line)

Form filed by One Reporting Person X Form filed by More than One Reporting

BAY HARBOR, FL 33154

(City)	(State)	(Zip) Tabl	le I - Non-I	Derivative	Secur	ities Acqu	uired, Disposed o	f, or Beneficia	lly Owned
1.Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)	2A. Deemed Execution Date, if any (Month/Day/Year)	3. Transactio Code (Instr. 8)	4. Securit or(A) or Dis (Instr. 3,	sposed	of (D)	5. Amount of Securities Beneficially Owned Following Reported	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Indirect Beneficial Ownership (Instr. 4)
Common Stock, par			Code V	Amount	(A) or (D)	Price	Transaction(s) (Instr. 3 and 4)		
value \$0.01 per share	11/26/2013		S	10,253	D	49.32 (1)	14,872,800	$D \xrightarrow{(2)} (3) \xrightarrow{(4)} (5)$	
Common Stock, par value \$0.01 per share	11/26/2013		S	2,978	D	\$ 49.32 (1)	2,072,893	I	See Footnotes (2) (3) (4) (5) (6)
Common Stock, par	11/26/2013		S	2,316	D	\$ 49.32	1,611,821	I	See Footnotes

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value \$0.01 per share					<u>(1)</u>			(2) (3) (4) (5) (7)
Common Stock, par value \$0.01 per share	11/26/2013	S	8	D	\$ 49.32 (1)	5,642	I	See Footnotes (2) (3) (4) (5) (8)
Common Stock, par value \$0.01 per share	11/26/2013	S	29,815	D	\$ 49.32 (1)	13,017,307	I	See Footnotes (2) (3) (4) (5) (9)
Common Stock, par value \$0.01 per share	11/27/2013	S	40,604	D	\$ 49.4 (10)	14,832,196	D (2) (3) (4) (5)	
Common Stock, par value \$0.01 per share	11/27/2013	S	6,564	D	\$ 49.4 (10)	2,066,329	I	See Footnotes (2) (3) (4) (5) (6)
Common Stock, par value \$0.01 per share	11/27/2013	S	5,104	D	\$ 49.4 (10)	1,606,717	I	See Footnotes (2) (3) (4) (5) (7)
Common Stock, par value \$0.01 per share	11/27/2013	S	18	D	\$ 49.4 (10)	5,624	I	See Footnotes (2) (3) (4) (5) (8)
Common Stock, par value \$0.01 per share	11/27/2013	S	47,710	D	\$ 49.4 (10)	12,969,597	I	See Footnotes (2) (3) (4) (5) (9)
Common Stock, par value \$0.01 per	11/29/2013	S	970	D	\$ 49.46 (11)	14,831,226	D (2) (3) (4) (5)	
Common Stock, par value \$0.01 per	11/29/2013	S	172	D	\$ 49.46 (11)	2,066,157	I	See Footnotes (2) (3) (4) (5) (6)

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Common Stock, par value \$0.01 per	11/29/2013	S	134	D	\$ 49.46 (11)	1,606,583	I	See Footnotes (2) (3) (4) (5) (7)
Common Stock, par value \$0.01 per	11/29/2013	S	1,351	D	\$ 49.46 (11)	12,968,246	I	See Footnotes (2) (3) (4) (5) (9)

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

Persons who respond to the collection of SEC 1474 information contained in this form are not required to respond unless the form displays a currently valid OMB control

(9-02)

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative	2. Conversion	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if	4. Transactio		6. Date Exerc Expiration D	ate	7. Title a	of	8. Price of Derivative	9. Nu Deriv
Security	or Exercise		any	Code	of	(Month/Day/	Year)	Underly	_	Security	Secui
(Instr. 3)	Price of		(Month/Day/Year)	(Instr. 8)	Derivative	e		Securitie	es	(Instr. 5)	Bene
	Derivative				Securities			(Instr. 3	and 4)		Own
	Security				Acquired						Follo
					(A) or						Repo
					Disposed						Trans
					of (D)						(Instr
					(Instr. 3,						·
					4, and 5)						
								Δ	mount		
								01			
						Date	Expiration		lumber		
						Exercisable	Date	01			
				Code V	(A) (D)				hares		

# **Reporting Owners**

Reporting Owner Name / Address	Relationships				
coporting of the remark remarks	Director	10% Owner	Officer	Other	
LAMPERT EDWARD S 1170 KANE CONCOURSE, SUITE 200 BAY HARBOR, FL 33154		X			
ESL PARTNERS, L.P. 1170 KANE CONCOURSE, SUITE 200 BAY HARBOR, FL 33154		X			
SPE II Partners, L.P. 1170 KANE CONCOURSE, SUITE 200 BAY HARBOR, FL 33154		X			
		X			

Reporting Owners 3 SPE Master II, L.P. 1170 KANE CONCOURSE, SUITE 200 BAY HARBOR, FL 33154 RBS PARTNERS, L.P. X 1170 KANE CONCOURSE, SUITE 200 BAY HARBOR, FL 33154 ESL INSTITUTIONAL PARTNERS LP 1170 KANE CONCOURSE, SUITE 200 X BAY HARBOR, FL 33154 RBS INVESTMENT MANAGEMENT LLC X 1170 KANE CONCOURSE, SUITE 200 BAY HARBOR, FL 33154 **ESL INVESTMENTS INC** X 1170 KANE CONCOURSE, SUITE 200 BAY HARBOR, FL 33154

# **Signatures**

EDWARD S. LAMPERT, By: /s/ Edward S. Lampert	
DD WIND O. DINNI DKI, Dy. 101 Daward S. Dampert	11/29/2013
**Signature of Reporting Person	Date
ESL PARTNERS, L.P., By: RBS Partners, L.P., Its: General Partner, By: ESL Investments, Inc, Its: General Partner, By: /s/ Edward S. Lampert, Name: Edward S. Lampert, Title: Chief Executive Officer	11/29/2013
**Signature of Reporting Person	Date
SPE II PARTNERS, LP, By: RBS Partners, L.P., Its: General Partner, By: ESL Investments, Inc., Its: General Partner, By: /s/ Edward S. Lampert, Name: Edward S. Lampert, Title: Chief Executive Officer	11/29/2013
**Signature of Reporting Person	Date
SPE MASTER II, LP, By: RBS Partners, L.P., Its: General Partner, By: ESL Investments, Inc., Its: General Partner, By: /s/ Edward S. Lampert, Name: Edward S. Lampert, Title: Chief Executive Officer	11/29/2013
2	
**Signature of Reporting Person	Date
	Date 11/29/2013
**Signature of Reporting Person  RBS PARTNERS, L.P., By: ESL Investments, Inc., Its: General Partner, By: /s/ Edward S.	
**Signature of Reporting Person  RBS PARTNERS, L.P., By: ESL Investments, Inc., Its: General Partner, By: /s/ Edward S. Lampert, Name: Edward S. Lampert, Title: Chief Executive Officer	11/29/2013
**Signature of Reporting Person  RBS PARTNERS, L.P., By: ESL Investments, Inc., Its: General Partner, By: /s/ Edward S.  Lampert, Name: Edward S. Lampert, Title: Chief Executive Officer  **Signature of Reporting Person  ESL INSTITUTIONAL PARTNERS, L.P., By: RBS Investment Management, L.L.C., Its: General Partner, By: ESL Investments, Inc., Its: Manager, By: /s/ Edward S. Lampert, Name:	11/29/2013 Date
**Signature of Reporting Person  RBS PARTNERS, L.P., By: ESL Investments, Inc., Its: General Partner, By: /s/ Edward S.  Lampert, Name: Edward S. Lampert, Title: Chief Executive Officer  **Signature of Reporting Person  ESL INSTITUTIONAL PARTNERS, L.P., By: RBS Investment Management, L.L.C., Its: General Partner, By: ESL Investments, Inc., Its: Manager, By: /s/ Edward S. Lampert, Name: Edward S. Lampert, Title: Chief Executive Officer	11/29/2013 Date 11/29/2013
**Signature of Reporting Person  RBS PARTNERS, L.P., By: ESL Investments, Inc., Its: General Partner, By: /s/ Edward S.  Lampert, Name: Edward S. Lampert, Title: Chief Executive Officer  **Signature of Reporting Person  ESL INSTITUTIONAL PARTNERS, L.P., By: RBS Investment Management, L.L.C., Its: General Partner, By: ESL Investments, Inc., Its: Manager, By: /s/ Edward S. Lampert, Name: Edward S. Lampert, Title: Chief Executive Officer  **Signature of Reporting Person  RBS INVESTMENT MANAGEMENT, L.L.C., By: ESL Investments, Inc, Its: Manager, By:	11/29/2013 Date  11/29/2013 Date

Signatures 4

\*\*Signature of Reporting Person

## **Explanation of Responses:**

- \* If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- This price represents the approximate weighted average price per share of common stock of AutoNation, Inc. (the "Issuer"), par value \$0.01 per share (each, a "Share"), of sales that were executed at prices ranging from \$49.20 to \$49.56 per Share. The Reporting Persons undertake to provide, upon request by the Securities and Exchange Commission staff, the Issuer or a security holder of the Issuer, full information regarding the number of Shares sold at each price.
- This statement is jointly filed by and on behalf of each of Edward S. Lampert, ESL Partners, L.P. ("Partners"), SPE II Partners, L.P. ("SPE II"), SPE Master II, LP ("SPE Master II"), RBS Partners, L.P. ("RBS"), ESL Institutional Partners, L.P. ("Institutional"), RBS Investment Management, L.L.C. ("RBSIM") and ESL Investments, Inc. ("ESL"). Mr. Lampert, Partners, SPE II, SPE Master II and Institutional are the direct beneficial owners of the securities covered by this statement.
- RBS is the general partner of, and may be deemed to beneficially own securities owned by, Partners, SPE II and SPE Master II. RBSIM is the general partner of, and may be deemed to beneficially own securities owned by, Institutional. ESL is the general partner of RBS and the manager of RBSIM. ESL may be deemed to beneficially own securities owned by RBS and RBSIM. Mr. Lampert is the Chairman, Chief Executive Officer and Director of, and may be deemed to beneficially own securities owned by, ESL.
- (4) The reporting persons state that neither the filing of this statement nor anything herein shall be deemed an admission that such persons are, for purposes of Section 16 of the Securities Exchange Act of 1934, as amended (the "Exchange Act") or otherwise, the beneficial owners of any securities covered by this statement. The reporting persons disclaim beneficial ownership of the securities covered by this statement, except to the extent of the pecuniary interest of such persons in such securities.
- The reporting persons may be deemed to be a member of a group with respect to the Issuer or securities of the Issuer for purposes of Section 13(d) or 13(g) of the Exchange Act. The reporting persons declare that neither the filing of this statement nor anything herein shall be construed as an admission that such persons are, for the purposes of Section 13(d) or 13(g) of the Exchange Act or any other purpose, a member of a group with respect to the Issuer or securities of the Issuer.
- (6) Represents shares directly beneficially owned by SPE Master II.
- (7) Represents shares directly beneficially owned by SPE II.
- (8) Represents shares directly beneficially owned by Institutional.
- (9) Represents shares directly beneficially owned by Partners.
- This price represents the approximate weighted average price per Share of sales that were executed at prices ranging from \$49.40 to \$49.43 per Share. The Reporting Persons undertake to provide, upon request by the Securities and Exchange Commission staff, the Issuer or a security holder of the Issuer, full information regarding the number of Shares sold at each price.
- This price represents the approximate weighted average price per Share of sales that were executed at prices ranging from \$49.40 to (11) \$49.49 per Share. The Reporting Persons undertake to provide, upon request by the Securities and Exchange Commission staff, the Issuer or a security holder of the Issuer, full information regarding the number of Shares sold at each price.

#### **Remarks:**

**Exhibit Index** 

Exhibit 99.1 - Joint Filer Information (filed herewith)

Exhibit 99.2 - Joint Filing Agreement (filed herewith)

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.

Date