Spansion Inc. Form 3 December 04, 2013

## FORM 3 UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

OMB APPROVAL

OMB Number:

3235-0104

Expires:

response...

January 31, 2005

0.5

Estimated average burden hours per

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section

INITIAL STATEMENT OF BENEFICIAL OWNERSHIP OF

**SECURITIES** 

30(h) of the Investment Company Act of 1940

50(II) of the filvestment Company

(Print or Type Responses)

1. Name and Address of Reporting 2. Date of Event Requiring 3. Issuer Name and Ticker or Trading Symbol Person \* Statement Spansion Inc. [CODE] À WISHART MICHAEL S (Month/Day/Year) 12/02/2013 (Last) (First) (Middle) 4. Relationship of Reporting 5. If Amendment, Date Original Person(s) to Issuer Filed(Month/Day/Year) 915 DEGUIGNE DRIVE (Check all applicable) (Street) 6. Individual or Joint/Group Filing(Check Applicable Line) 10% Owner \_X\_ Director \_X\_ Form filed by One Reporting Officer \_ Other Person (give title below) (specify below) SUNNYVALE, CAÂ 94085 Form filed by More than One Reporting Person (City) (State) (Zip) Table I - Non-Derivative Securities Beneficially Owned 2. Amount of Securities 4. Nature of Indirect Beneficial 1. Title of Security Beneficially Owned Ownership Ownership (Instr. 4) (Instr. 4) Form: (Instr. 5) Direct (D) or Indirect (I) (Instr. 5) D Â Class A Common Stock (1)  $20,000^{(1)}$ Reminder: Report on a separate line for each class of securities beneficially SEC 1473 (7-02) owned directly or indirectly. Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control number.

Table II - Derivative Securities Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 4)	•		3. Title and Amount of Securities Underlying Derivative Security (Instr. 4)		4. Conversion or Exercise Price of	version Ownership versise Form of	6. Nature of Indirect Beneficial Ownership (Instr. 5)
	Date Exercisable	Expiration Date	Title	Amount or Number of	Derivative Security	Security: Direct (D) or Indirect	

Edgar Filing: Spansion Inc. - Form 3

Shares (I) (Instr. 5)

Stock Option (right to buy)  $\hat{A} \stackrel{(2)}{=} 12/02/2020 \stackrel{\text{Stock}}{=} 14,000 \quad \$12.23 \quad D \quad \hat{A}$ 

## **Reporting Owners**

Reporting Owner Name / Address

Director 10% Owner Officer Other

WISHART MICHAEL S

915 DEGUIGNE DRIVE Â X Â Â

SUNNYVALE, CAÂ 94085

## **Signatures**

/s/ Scot A. Griffin, attorney-in-fact for: WISHART
MICHAEL S
12/04/2013

\*\*Signature of Reporting Person Date

## **Explanation of Responses:**

- \* If the form is filed by more than one reporting person, see Instruction 5(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- Restricted stock units ("RSUs"). On December 2, 2013, the Reporting Person was appointed to the Issuer's Board of Directors. When a new independent director is appointed to our Board, the director will receive an initial RSU award of 20,000 RSUs that convert upon vesting into 20,000 shares of the Issuer's Class A Common Stock. Upon vesting of the RSUs, the Reporting Person is entitled to receive one (1) share of Class A Common Stock for each one (1) RSU. The RSU award vests quarterly over three years from the date of grant.
- Stock option (right to buy). On December 2, 2013, the Reporting Person was appointed to the Issuer's Board of Directors. When a new independent director is appointed to our Board, the director will receive an initial stock option award exercisable for 14,000 shares of the Issuer's Class A Common Stock. The stock option award vests quarterly over three years from the date of grant.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *See* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.

Reporting Owners 2