

STERIS CORP
Form 3
February 27, 2014

FORM 3 UNITED STATES SECURITIES AND EXCHANGE COMMISSION
Washington, D.C. 20549

OMB APPROVAL

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INITIAL STATEMENT OF BENEFICIAL OWNERSHIP OF SECURITIES

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934,
Section 17(a) of the Public Utility Holding Company Act of 1935 or Section
30(h) of the Investment Company Act of 1940

(Print or Type Responses)

| | | | | |
|---|---------|--------------------------------------|--|--|
| 1. Name and Address of Reporting Person * | | 2. Date of Event Requiring Statement | 3. Issuer Name and Ticker or Trading Symbol | |
| Â Bardwell Kathleen | | (Month/Day/Year) | STERIS CORP [STE] | |
| (Last) | (First) | (Middle) | 4. Relationship of Reporting Person(s) to Issuer | 5. If Amendment, Date Original Filed(Month/Day/Year) |
| | | 02/21/2014 | | |
| 5960 HEISLEY ROAD | | | (Check all applicable) | 6. Individual or Joint/Group Filing(Check Applicable Line) |
| (Street) | | | <input type="checkbox"/> Director <input type="checkbox"/> 10% Owner | <input checked="" type="checkbox"/> Form filed by One Reporting Person |
| | | | <input checked="" type="checkbox"/> Officer <input type="checkbox"/> Other | <input type="checkbox"/> Form filed by More than One Reporting Person |
| | | | (give title below) (specify below) | |
| | | | Sr. V. P. & C.C.O. | |
| MENTOR,Â OHÂ 44060 | | | | |
| (City) | (State) | (Zip) | | |

Table I - Non-Derivative Securities Beneficially Owned

| 1. Title of Security (Instr. 4) | 2. Amount of Securities Beneficially Owned (Instr. 4) | 3. Ownership Form: Direct (D) or Indirect (I) (Instr. 5) | 4. Nature of Indirect Beneficial Ownership (Instr. 5) |
|---------------------------------|---|--|---|
| Common Shares, No Par Value | 12,679 ⁽¹⁾ | D | Â |

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly. SEC 1473 (7-02)

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Table II - Derivative Securities Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

| 1. Title of Derivative Security (Instr. 4) | 2. Date Exercisable and Expiration Date (Month/Day/Year) | 3. Title and Amount of Securities Underlying Derivative Security (Instr. 4) | 4. Conversion or Exercise Price of Derivative Security | 5. Ownership Form of Derivative Security: Direct (D) or Indirect | 6. Nature of Indirect Beneficial Ownership (Instr. 5) |
|--|--|---|--|--|---|
| | Date Exercisable | Expiration Date | Title | Amount or Number of | |

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| | | | | Shares | | (I) (Instr. 5) | |
|----------------------------------|-------|------------|-----------------------------|--------|----------|-------------------|---|
| Option to Purchase Common Shares | Â (2) | 05/21/2019 | Common Shares, No Par Value | 962 | \$ 22.83 | D | Â |
| Option to Purchase Common Shares | Â (3) | 11/12/2019 | Common Shares, No Par Value | 1,000 | \$ 34.23 | D | Â |
| Option to Purchase Common Shares | Â (4) | 05/20/2020 | Common Shares, No Par Value | 4,275 | \$ 31.87 | D | Â |
| Option to Purchase Common Shares | Â (5) | 05/31/2021 | Common Shares, No Par Value | 4,000 | \$ 36.09 | D | Â |
| Option to Purchase Common Shares | Â (6) | 05/30/2022 | Common Shares, No Par Value | 3,795 | \$ 29.94 | D | Â |
| Option to Purchase Common Shares | Â (7) | 05/31/2023 | Common Shares, No Par Value | 5,360 | \$ 45.34 | D | Â |

Reporting Owners

| Reporting Owner Name / Address | Relationships | | | |
|--|---------------|-----------|---------------------|-------|
| | Director | 10% Owner | Officer | Other |
| Bardwell Kathleen 5960 HEISLEY ROAD MENTOR, OH 44060 | Â | Â | Â Sr.V. P. & C.C.O. | Â |

Signatures

/s/ Dennis P. Patton, Authorized Representative under Power of Attorney

02/27/2014

**Signature of Reporting Person

Date

Explanation of Responses:

* If the form is filed by more than one reporting person, see Instruction 5(b)(v).

** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

8,840 of these Common Shares are restricted. The restrictions on these Common Shares lapse as follows: 1,575 on May 20, 2014; 1,155 (1) on May 30, 2014; 450 on June 2, 2014; 1,000 on June 2, 2014; 450 on June 1, 2015; 1,000 on June 1, 2015; 1,155 on June 1, 2015; 450 on May 31, 2016; 1,155 on May 31, 2016 and 450 on May 31, 2017.

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- (2) These options became exercisable as follows: 962 on May 21, 2013.
- (3) These options became exercisable as follows: 250 on November 12, 2010; 250 on November 12, 2011; 250 on November 12, 2012 and 250 on November 12, 2013.
- (4) These options became or will become exercisable as follows: 1,069 on May 20, 2011; 1,069 on May 20, 2012; 1,069 on May 20, 2013 and 1,068 on May 20, 2014.
- (5) These options became or will become exercisable as follows: 1,000 on May 31, 2012; 1,000 on May 31, 2013; 1,000 on May 31, 2014 and 1,000 on May 31, 2015.
- (6) These options became or will become exercisable as follows: 948 on May 30, 2013; 948 on May 30, 2014; 948 on May 30, 2015 and 949 on May 30, 2016.
- (7) These options become exercisable as follows: 1,340 on May 31, 2014; 1,340 on May 31, 2015; 1,340 on May 31, 2016 and 1,340 on May 31, 2017.

Â

Remarks:

Exhibit 24-Power of Attorney is attached.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *See* Instruction 6 for procedure.

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