

FIRST CITIZENS BANCSHARES INC /DE/
Form 3
October 03, 2014

FORM 3 UNITED STATES SECURITIES AND EXCHANGE COMMISSION
Washington, D.C. 20549

OMB APPROVAL

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INITIAL STATEMENT OF BENEFICIAL OWNERSHIP OF SECURITIES

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934,
Section 17(a) of the Public Utility Holding Company Act of 1935 or Section
30(h) of the Investment Company Act of 1940

(Print or Type Responses)

<p>1. Name and Address of Reporting Person *</p> <p>Â BRISTOW PETER M</p> <p>(Last) (First) (Middle)</p> <p>4300 SIX FORKS ROAD</p> <p>(Street)</p> <p>RALEIGH,Â NCÂ 27609</p> <p>(City) (State) (Zip)</p>	<p>2. Date of Event Requiring Statement</p> <p>(Month/Day/Year)</p> <p>10/01/2014</p>	<p>3. Issuer Name and Ticker or Trading Symbol</p> <p>FIRST CITIZENS BANCSHARES INC /DE/ [FCNCA]</p>	<p>4. Relationship of Reporting Person(s) to Issuer</p> <p>(Check all applicable)</p> <p><input checked="" type="checkbox"/> Director <input checked="" type="checkbox"/> 10% Owner <input checked="" type="checkbox"/> Officer <input type="checkbox"/> Other (give title below) (specify below)</p> <p>President</p>	<p>5. If Amendment, Date Original Filed(Month/Day/Year)</p>	<p>6. Individual or Joint/Group Filing(Check Applicable Line)</p> <p><input checked="" type="checkbox"/> Form filed by One Reporting Person <input type="checkbox"/> Form filed by More than One Reporting Person</p>
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Table I - Non-Derivative Securities Beneficially Owned

1. Title of Security (Instr. 4)	2. Amount of Securities Beneficially Owned (Instr. 4)	3. Ownership Form: Direct (D) or Indirect (I) (Instr. 5)	4. Nature of Indirect Beneficial Ownership (Instr. 5)
Class A Common	125	D	Â
Class A Common	92,862	I ⁽¹⁾	By Spouse
Class A Common	549	I ⁽¹⁾	As custodian for Peter Bristow, Jr.
Class A Common	549	I ⁽¹⁾	As custodian for Charlotte Bristow
Class A Common	549	I ⁽¹⁾	As custodian for Ella Bristow
Class A Common	890	I ⁽¹⁾	PMB Investments, LLC
Class A Common	390	I ⁽¹⁾	CRB Investments, LLC
Class A Common	365	I ⁽¹⁾	EHB Investments, LLC
Class A Common	14,641	I ⁽¹⁾	As trustee for PMB Trust 2011
Class A Common	14,641	I ⁽¹⁾	As trustee for CRB Trust 2011

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Class A Common	14,641	I <u>(1)</u>	As trustee for EHB Trust 2011
Class A Common	5,410	I <u>(1)</u>	Spouse as beneficiary of trust
Class A Common	167,600	I <u>(2)</u>	By First Citizens Bancorporation, Inc.
Class B Common	318	D	Â
Class B Common	83,355	I <u>(1)</u>	By spouse
Class B Common	1,732	I <u>(1)</u>	As custodian for Peter Bristow, Jr.
Class B Common	1,733	I <u>(1)</u>	As custodian for Charlotte Bristow
Class B Common	1,733	I <u>(1)</u>	As custodian for Ella Bristow
Class B Common	8,350	I <u>(1)</u>	PMB Investments, LLC
Class B Common	8,850	I <u>(1)</u>	CRB Investments, LLC
Class B Common	8,710	I <u>(1)</u>	EHB Investments, LLC
Class B Common	1,250	I <u>(1)</u>	Spouse as beneficiary of Trust
Class B Common	45,900	I <u>(2)</u>	By First Citizens Bancorporation, Inc.

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

SEC 1473 (7-02)

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control number.

Table II - Derivative Securities Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 4)	2. Date Exercisable and Expiration Date (Month/Day/Year)		3. Title and Amount of Securities Underlying Derivative Security (Instr. 4)		4. Conversion or Exercise Price of Derivative Security	5. Ownership Form of Derivative Security: Direct (D) or Indirect (I) (Instr. 5)	6. Nature of Indirect Beneficial Ownership (Instr. 5)
	Date Exercisable	Expiration Date	Title	Amount or Number of Shares			

Reporting Owners

Reporting Owner Name / Address	Relationships			
	Director	10% Owner	Officer	Other
BRISTOW PETER M 4300 SIX FORKS ROAD RALEIGH, NC 27609	Â X	Â X	Â President	Â

Signatures

Peter M. Bristow, By: William R. Lathan,
Attorney-in-Fact

10/02/2014

__Signature of Reporting Person

Date

Explanation of Responses:

- * If the form is filed by more than one reporting person, *see* Instruction 5(b)(v).
- ** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. *See* 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

The reporting person disclaims beneficial ownership of these securities, and this report shall not be deemed an admission that the

(1) reporting person is the beneficial owner of such securities for purposes of Section 16 of the Securities Exchange Act of 1934 or for any other purpose.

The Reporting Person is a director and officer, and he and his spouse are shareholders, of the company that owns these shares, but he disclaims beneficial ownership of the listed shares, except to the extent of his pecuniary interest therein, if any, and this report shall not be

(2) deemed an admission that the Reporting Person is the beneficial ownership of the shares for purposes of Section 16 of the Securities Exchange Act of 1034 or for any other purpose.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *See* Instruction 6 for procedure.

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