

RAYMOND JAMES FINANCIAL INC
Form 4
January 17, 2003

**FORM
4**

**UNITED STATES SECURITIES AND EXCHANGE
COMMISSION Washington, D.C. 20549**

OMB APPROVAL

Check this box if no longer subject to Section 16. Form 4 or Form 5 obligations may continue. See Instruction 1(b).

**STATEMENT OF CHANGES IN
BENEFICIAL OWNERSHIP**

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Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1934 or Section 30(f) of the Investment Company Act of 1940

(Print or Type Responses)

1. Name and Address of Reporting Person*

2. Issuer Name and Ticker or Trading Symbol

6. Relationship of Reporting Person(s) to Issuer

(Check all applicable)

| | | | | |
|-----------------------------|----------------------|------------------------------------------------------------------|----------------------------------------------------------------|------------------------------------------------------------------------|
| Shuck | Robert F. III | Raymond James Financial (RJF) | <input checked="" type="checkbox"/> Director | <input type="checkbox"/> 10% Owner |
| (Last) | (First) | (Middle) | <input checked="" type="checkbox"/> Officer (give title below) | <input type="checkbox"/> Other (specify below) |
| 880 Carillon Parkway | | | January 17, 2003 | Vice Chairman |
| (Street) | | 3. IRS or Social Security Number of Reporting Person (Voluntary) | 4. Statement for Month/Day/Year | 7. Individual or Joint/Group Filing (Check Applicable Line) |
| | | | 5. If Amendment Date of Original (Month/Day/Year) | <input checked="" type="checkbox"/> Form filed by One Reporting Person |
| St. | | | | Form filed by More than One Reporting Person |
| Petersburg FL | 33716 | | | |
| (City) | (State) | (ZIP) | | |

Table I – Non Derivative Securities Acquired, Disposed of, or beneficially Owned

| 1. Title of Security (Instr. 3) | 2. Transaction Date (Month/Day/Year) | 2A. Deemed Execution Date, if any (Month/Day/Year) | 3. Transaction Code (Instr. 8) | 4. Securities Acquired (A) or Disposed of (D) (Instr. 3, 4 and 5) | 5. Amount of Securities Beneficially Owned at Reporting Date (Instr. 3 and 4) | 6. Ownership Form: (D) or Indirect | 7. Nature of Indirect Ownership |
|-------------------------------------|--------------------------------------|----------------------------------------------------|--------------------------------|-------------------------------------------------------------------|-------------------------------------------------------------------------------|------------------------------------|---------------------------------|
| Raymond James Financial, Inc. (RJF) | | | | (A) | | | |
| RJF Common Stock | 1/15/2003 | | M | 3,750 A | 22.167 | D | |
| RJF Common Stock | | | | | 258,237 | I | Spouse |
| RJF Common Stock | | | | | 215,893 | I | ESOP |
| | | | | | 2,981 | I | |

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Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

(Over)
SEC
1474
(7-96)

* If the form is filed by more than one reporting person, see Instruction 4(b)(v).

FORM

4 Table II — Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

| 1. Title of Derivative Security | 3. Transaction | 3.A Deemed Execution Date, if any (Month/Day/Year) | 4. Transaction Code (Instr. 8) | 5. Number or Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5) | 6. Date Exercisable and Expiration Date (Month/Day/Year) | 7. Title and Amount of Underlying Securities (Instr. 3 and 4) | 8. Price of Security (Instr. 5) | 9. Number of derivative securities Beneficially Owned at End of Month (Instr. 4) | 10. Ownership Form (D) or Indirect (I) (Instr. 4) | 11. Nature of Beneficial Ownership (Instr. 4) |
|--------------------------------------|----------------|----------------------------------------------------|--------------------------------|-----------------------------------------------------------------------------------------|----------------------------------------------------------|---------------------------------------------------------------|---------------------------------|----------------------------------------------------------------------------------|---------------------------------------------------|-----------------------------------------------|
| Employee Stock Option (Right to buy) | | 22.167 1/15/2003 | M | 3,750 | | | | | | |
| Employee Stock Option (Right to buy) | | | | | 9/28/2003 | Common stock | | 200 | | 20.5000 |
| Employee Stock Option (Right to buy) | | | | | 9/27/2004 | Common stock | | 1000 | | 19.1875 |
| Employee Stock Option (Right to buy) | | | | | 10/2/2005 | Common stock | | 300 | | 31.3750 |

| | | | | | | | | | |
|-------------|--|--|--|--|--|--|--|--|--|
| buy) | | | | | | | | | |
| Employee | | | | | | | | | |
| Stock | | | | | | | | | |
| Option | | | | | | | | | |
| (Right | | | | | | | | | |
| to | | | | | | | | | |
| buy) | | | | | | | | | |
| Employee | | | | | | | | | |
| Stock | | | | | | | | | |
| Option | | | | | | | | | |
| (Right | | | | | | | | | |
| to | | | | | | | | | |
| buy) | | | | | | | | | |
| Employee | | | | | | | | | |
| Stock | | | | | | | | | |
| Option | | | | | | | | | |
| (Right | | | | | | | | | |
| to | | | | | | | | | |
| buy) | | | | | | | | | |
| Employee | | | | | | | | | |
| Stock | | | | | | | | | |
| Option | | | | | | | | | |
| (Right | | | | | | | | | |
| to | | | | | | | | | |
| buy) | | | | | | | | | |
| Employee | | | | | | | | | |
| Stock | | | | | | | | | |
| Option | | | | | | | | | |
| (Right | | | | | | | | | |
| to | | | | | | | | | |
| buy) | | | | | | | | | |
| Employee | | | | | | | | | |
| Stock | | | | | | | | | |
| Option | | | | | | | | | |
| (Right | | | | | | | | | |
| to | | | | | | | | | |
| buy) | | | | | | | | | |
| Call | | | | | | | | | |
| options | | | | | | | | | |
| (obligation | | | | | | | | | |
| to | | | | | | | | | |
| sell) | | | | | | | | | |
| Call | | | | | | | | | |
| options | | | | | | | | | |
| (obligation | | | | | | | | | |
| to | | | | | | | | | |
| sell) | | | | | | | | | |

Explanation of Responses:

**Intentional misstatements or omissions of facts constitute Federal Criminal Violations, See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

/S/ ROBERT F. SHUCK 1/17/2003
 **Signature of Reporting Person Date

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, see Instructions 6 for procedure.

Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB Number.

