SPDR INDEX SHARES FUNDS (Formerly streetTRACKS Index Shares Funds) Form SC 13G/A February 13, 2015

## **UNITED STATES**

## SECURITIES AND EXCHANGE COMMISSION

Washington, D.C. 20549

## **SCHEDULE 13G**

**Under the Securities Exchange Act of 1934** 

(Amendment No. 1)\*

## SPDR® INDEX SHARES FUNDS

(Name of Issuer)

SPDR® S&P® International Small Cap ETF

(Title of Class of Securities)

78463X871

(CUSIP Number)

**December 31, 2014** 

(Date of Event Which Requires Filing of this Statement)

Check the appropriate box to designate the rule pursuant to which this Schedule is filed:

x Rule 13d-1(b)

- "Rule 13d-1(c)
- "Rule 13d-1(d)
- \* The remainder of this cover page shall be filled out for a reporting person s initial filing in this form with respect to the subject class of securities, and for any subsequent amendment containing information which would alter the disclosures provided in a prior cover page.

The information required in the remainder of this cover page shall not be deemed to be filed for the purpose of Section 18 of the Securities Exchange Act of 1934, as amended (the Act ), or otherwise subject to the liabilities of that section of the Act but shall be subject to all other provisions of the Act.

1) Name of Reporting Person

## **Bank of Montreal**

- 2) Check the Appropriate Box if a Member of a Group
  - (a) " (b) "
- 3) SEC Use Only
- 4) Citizenship or Place of Organization

## Canada

5) Sole Voting Power:

Number of

2,001,907<sup>(1)</sup>

Shares 6

6) Shared Voting Power:

Beneficially

Owned by

158,364(1)

Each

7) Sole Dispositive Power:

Reporting

 $1,773,992^{(1)}$ 

Person

8) Shared Dispositive Power:

With:

## 395,163(1)

9) Aggregate Amount Beneficially Owned by Each Reporting Person

## **2,169,155**<sup>(1)</sup>

11)	Percent of Class Represented by Amount in Row (9)
12)	9.51% Type of Reporting Person
	нс
(1)	Shares are held indirectly by the Reporting Person s subsidiaries, Stoker Ostler Wealth Advisors, Inc., Sullivan,

(1) Shares are held indirectly by the Reporting Person's subsidiaries, Stoker Ostler Wealth Advisors, Inc., Sullivan, Bruyette, Speros & Blaney, Inc., BMO Harris Financial Advisors, Inc., BMO Harris Bank N.A. and BMO Nesbitt Burns, Inc.

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1)	Name	of Rep	orting	Person
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## Stoker Ostler Wealth Advisors, Inc.

- 2) Check the Appropriate Box if a Member of a Group
  - (a) " (b) "
- 3) SEC Use Only
- 4) Citizenship or Place of Organization

## Arizona, United States

5) Sole Voting Power:

Number of

17,204

Shares

6) Shared Voting Power:

Beneficially

Owned by

0

Each

7) Sole Dispositive Power:

Reporting

17,204

Person

8) Shared Dispositive Power:

With:

O

9) Aggregate Amount Beneficially Owned by Each Reporting Person

## 17,204

11) Percent of Class Represented by Amount in Row (9)

0.08%

12) Type of Reporting Person

IA

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CUS	IP No. 7	7846	3X871
1)	Name	of R	eporting Person
2)		the A	Bruyette, Speros & Blaney, Inc. Appropriate Box if a Member of a Group  b) "
3)	SEC U	Jse C	Only
4)	Citizer	nship	or Place of Organization
	Virgin	i <b>a, U</b> 5)	Jnited States Sole Voting Power:
Nun	nber of		
	ares	6)	<b>0</b> Shared Voting Power:
Bene	ficially		
	ned by	7)	0 Sole Dispositive Power:
Rep	orting		
Pe	rson	8)	3,263 Shared Dispositive Power:
W	ith:		
9)	Aggre	gate	0 Amount Beneficially Owned by Each Reporting Person
	2.262		

11) Percent of Class Represented by Amount in Row (9)

0.01%

12) Type of Reporting Person

IA

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1) Name of Reporting Person

## **BMO Harris Financial Advisors, Inc.**

- 2) Check the Appropriate Box if a Member of a Group
  - (a) " (b) "
- 3) SEC Use Only
- 4) Citizenship or Place of Organization

## **Delaware, United States**

5) Sole Voting Power:

Number of

13,704

Shares 6) Shared

6) Shared Voting Power:

Beneficially

Owned by

156,424

Each

7) Sole Dispositive Power:

Reporting

13,704

Person

8) Shared Dispositive Power:

With:

## 156,424

9) Aggregate Amount Beneficially Owned by Each Reporting Person

## 170,128

11) Percent of Class Represented by Amount in Row (9)

0.75%

12) Type of Reporting Person

BD, IA

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CUSIP No.	78463X871
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## **BMO Harris Bank N.A.**

- 2) Check the Appropriate Box if a Member of a Group
  - (a) " (b) "
- 3) SEC Use Only
- 4) Citizenship or Place of Organization

## **United States**

5) Sole Voting Power:

Number of

1,970,819

Shares

6) Shared Voting Power:

Beneficially

Owned by

1,940

Each

7) Sole Dispositive Power:

Reporting

1,739,640

Person

8) Shared Dispositive Power:

With:

## 238,739

9) Aggregate Amount Beneficially Owned by Each Reporting Person

## 1,978,379

11) Percent of Class Represented by Amount in Row (9)

8.68%

12) Type of Reporting Person

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1)	Name	of R	eporting Person
2)	Check		Appropriate Box if a Member of a Group
	(a)	(1	5)
3)	SEC U	Jse C	Only
4)	Citizer	nship	or Place of Organization
	Canad	<b>la</b> 5)	Sole Voting Power:
Nun	nber of		
	nares	6)	180 Shared Voting Power:
	ficially		
	ned by	7)	<b>0</b> Sole Dispositive Power:
Rep	orting		
Pe	erson	8)	180 Shared Dispositive Power:
W	ith:		
9)	Aggre	gate	0 Amount Beneficially Owned by Each Reporting Person
	100		

11) Percent of Class Represented by Amount in Row (9)

0.00%

12) Type of Reporting Person

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CUSIP No. 78463X871

## ITEM 1(a). Name of Issuer.

SPDR® INDEX SHARES FUNDS

## ITEM 1(b). Address of Issuer s Principal Executive Offices.

One Lincoln Street

Boston, Massachusetts 02111

## ITEM 2(a). Names of Persons Filing.

Bank of Montreal and its subsidiaries, Stoker Ostler Wealth Advisors, Inc., Sullivan, Bruyette, Speros & Blaney, Inc., BMO Harris Financial Advisors, Inc. and BMO Harris Bank N.A. and BMO Nesbitt Burns, Inc.

## ITEM 2(b). Address of Principal Business Office or, if none, Residence.

Bank of Montreal

1 First Canadian Place

Toronto, Ontario, Canada M5X 1A1

Stoker Ostler Wealth Advisors, Inc.

4900 North Scottsdale Road

**Suite 2600** 

Scottsdale, AZ 85251

Sullivan, Bruyette, Speros & Blaney, Inc.

8444 Westpark Drive

Suite 610

McLean, VA 22102

BMO Harris Financial Advisors, Inc.

311 W. Monroe

14th Floor

Chicago, IL 60603

BMO Harris Bank N.A.

111 West Monroe Street

Floor 6E

Chicago, IL 60690

BMO Nesbitt Burns Inc.

IBG Finance Dept.

FCP 7th Floor

Toronto, ON M5X 1H3 Canada

## ITEM 2(c). Citizenship or Place of Organization.

Bank of Montreal is organized under the laws of Canada. Stoker Ostler Wealth Advisors, Inc. is organized under the laws of Arizona, United States. Sullivan, Bruyette, Speros & Blaney, Inc. is organized under the laws of Virginia, United States. BMO Harris Financial Advisors, Inc. is organized under the laws of Delaware, United States. BMO Harris Bank N.A. is organized under the laws of the United States. BMO Nesbitt Burns Inc. is organized under the laws of Canada.

#### ITEM 2(d). Title of Class of Securities.

SPDR® S&P® International Small Cap ETF

## ITEM 2(e). CUSIP Number.

78463X871

# ITEM 3. If this statement is filed pursuant to Sections 240.13d-1(b) or 240.13d-2(b) or (c), check whether the person filing is a:

- (a) x Broker or dealer registered under Section 15 of the Act (15 U.S.C. 780);
- (b) x Bank as defined in Section 3(a)(6) of the Act (15 U.S.C. 78c);
- (c) "Insurance company as defined in Section 3(a)(19) of the Act (15 U.S.C. 78c);
- (d) "Investment company registered under Section 8 of the Investment Company Act of 1940 (15 U.S.C. 80a-8);
- (e) x An investment adviser in accordance with Section 240.13d-1(b)(1)(ii)(E);
- (f) " An employee benefit plan or endowment fund in accordance with Section 240.13d-1(b)(1)(ii)(F);
- (g) x A parent holding company or control person in accordance with Section 240.13d-1(b)(1)(ii)(G);
- (h) " A savings association as defined in Section 3(b) of the Federal Deposit Insurance Act (12 U.S.C. 1813);
- (i) "

A church plan that is excluded from the definition of an investment company under Section 3(c)(14) of the Investment Company Act of 1940 (15 U.S.C. 80a-3);

- (j) x A non-U.S. institution in accordance with Section 240.13d-1(b)(1)(ii)(J);
- (k) "Group, in accordance with Section 240.13d-1(b)(1)(ii)(K).

## ITEM 4. Ownership.

The information contained in Items 5 11 on the cover pages is incorporated herein by reference.

## ITEM 5. Ownership of Five Percent or Less of a Class.

If this statement is being filed to report the fact that as of the date hereof the reporting person has ceased to be the beneficial owner of more than five percent of the class of securities, check the following ".

## ITEM 6. Ownership of More than Five Percent on Behalf of Another Person.

Bank of Montreal is reporting on this Schedule 13G securities held through its, subsidiaries, Stoker Ostler Wealth Advisors, Inc., Sullivan, Bruyette, Speros & Blaney, Inc., BMO Harris Financial Advisors, Inc., BMO Harris Bank N.A. and BMO Nesbitt Burns, Inc. as fiduciaries for certain employee benefit plans, trust and/or customer accounts. As a result, participants in the plans, trust beneficiaries and customers are entitled to receive, or have the power to direct the receipt of, dividends and proceeds from the sale of such securities. No such person is known to have such an interest relating to more than five percent of the class of subject securities.

# ITEM 7. Identification and Classification of the Subsidiary Which Acquired the Security Being Reported on by the Parent Holding Company or Control Person.

Bank of Montreal is the ultimate parent company of Stoker Ostler Wealth Advisors, Inc., an investment adviser registered under Section 203 of the Investment Advisers Act of 1940, Sullivan, Bruyette, Speros & Blaney, Inc., an investment adviser registered under Section 203 of the Investment Advisers Act of 1940, BMO Harris Financial Advisors, Inc., a broker dealer registered under Section 15 of the Act and an investment adviser registered under Section 203 of the Investment Advisers Act of 1940, BMO Harris Bank N.A., a bank as defined in Section 3(a)(6) of the Act and BMO Nesbitt Burns Inc., a non-U.S. institution.

## ITEM 8. Identification and Classification of Members of the Group.

Not Applicable.

## **ITEM 9.** Notice of Dissolution of Group.

Not Applicable.

#### ITEM 10. Certifications.

By signing below I certify that, to the best of my knowledge and belief, the securities referred to above were acquired and are held in the ordinary course of business and were not acquired and are not held for the purpose of or with the effect of changing or influencing the control of the issuer of the securities and were not acquired and are not held in connection with or as a participant in any transaction having that purpose or effect.

## **SIGNATURE**

After reasonable inquiry and to the best of my knowledge and belief, I certify that the information set forth in this statement is true, complete and correct.

Dated as of the 13th day of February, 2015.

**BANK OF MONTREAL** 

/s/ Barbara Muir Barbara Muir SVP, Deputy General Counsel Corporate Affairs & Corporate Secretary

STOKLER OSTLER WEALTH ADVISORS, INC.

\*

SULLIVAN, BRUYETTE, SPEROS & BLAYNEY, INC.

\*

BMO HARRIS FINANCIAL ADVISORS, INC.

\*

BMO HARRIS BANK N.A.

\*

BMO NESBITT BURNS, INC.

\*

\* Pursuant to Power of Attorney filed as Exhibit 2 to Schedule 13G filed on February 14, 2014 by Reporting Persons named herein (File No. 005-59405), which is incorporated by reference.

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Item 6 of the Schedule 13D is hereby amended and restated in its entirety as follows:

On February 26, 2010, RioCan Holdings and the Company entered into that certain Agreement (the "DRIP Agreement") which amended the Securities Purchase Agreement in order to permit RioCan Holdings, at its discretion, to reinvest all or part of the cash dividends received on its Common Stock holdings in additional shares of Common Stock pursuant to the Company's Dividend Reinvestment and Direct Stock Purchase Plan.

The DRIP Agreement may be amended or modified, and its provisions may be waived, with the consent of the Company and RioCan Holdings. The foregoing summary of the DRIP Agreement does not purport to be complete and is qualified in its entirety by reference to the complete text of such agreement attached hereto as Exhibit 9.

Except as set forth herein, none of the Reporting Persons or their respective directors or trustees, as the case may be, or executive officers has any contract, arrangement, understanding or relationship (legal or otherwise) with any person with respect to securities of the Company, including, but not limited to, transfer or voting of any such securities, finder's fees, joint ventures, loans or option arrangements, puts or calls, guarantees of profits, division of profits or losses or the giving or withholding of proxies.

Item 7. Material to be filed as Exhibits.

Item 7 of the Schedule 13D is hereby amended and restated in its entirety as follows:

- Exhibit 1 Joint Filing Agreement, dated as of November 5, 2009, between RioCan REIT and RioCan Holdings
- Exhibit 2 Securities Purchase Agreement, dated October 26, 2009, among the Company, Cedar Shopping Centers Partnership L.P., RioCan Holdings and RioCan REIT\*
- Exhibit 3 Registration Rights Agreement, dated October 30, 2009, between RioCan Holdings and the Company
- Exhibit 4 Agreement Regarding Purchase of Partnership Interests, dated October 26, 2009, between Cedar Shopping Centers Partnership L.P. and RioCan Holdings\*\*
- Exhibit 5 Guaranty given by RioCan REIT in favor of the Company and Cedar Shopping Centers Partnership L.P., dated October 26, 2009
- Exhibit 6 Warrant to Purchase Shares of Common Stock of the Company, dated October 30, 2009

Exhibit 7	Agreement, dated as of February 5, 2010, between RioCan Holdings and the Company***
Exhibit 8	Agreement, dated as of March 9, 2010, between RioCan Holdings and the Company
Exhibit 9	Agreement, dated as of February 26, 2010, between RioCan Holdings and the Company

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<sup>\*</sup> Previously filed as Exhibit 10-1 to the Form 8-K filed by the Company on October 30, 2009 and incorporated by reference in this Statement.

<sup>\*\*</sup> Previously filed as Exhibit 10-2 to the Form 8-K filed by the Company on October 30, 2009 and incorporated by reference in this Statement.

<sup>\*\*\*</sup> Previously filed as Exhibit 10-1 to the Form 8-K filed by the Company on February 9, 2010 and incorporated by reference in this Statement.

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## **SIGNATURE**

After reasonable inquiry and to the best of its knowledge and belief, the undersigned certify that the information set forth in this statement is true, complete and correct.

Date: March 11, 2010 RIOCAN REAL ESTATE INVESTMENT TRUST

By: /s/ Raghunath Davloor Name: Raghunath Davloor

Title: Senior Vice President and Chief Financial

Officer

Date: March 11, 2010 RIOCAN HOLDINGS USA INC.

By: /s/ Raghunath Davloor Name: Raghunath Davloor

Title: Senior Vice President, Chief Financial

Officer and Secretary