Intra-Cellular Therapies, Inc. Form 8-K November 07, 2018

## **UNITED STATES**

## SECURITIES AND EXCHANGE COMMISSION

Washington, D.C. 20549

## Form 8-K

# **CURRENT REPORT**

Pursuant to Section 13 or 15(d)

of the Securities Exchange Act of 1934

Date of Report (Date of earliest event reported): November 7, 2018

**Intra-Cellular Therapies, Inc.** 

(Exact name of registrant as specified in its charter)

Commission File Number: 001-36274

Delaware (State or other jurisdiction

36-4742850 (IRS Employer

of incorporation)

**Identification No.)** 

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# 430 East 29th Street

### New York, New York 10016

(Address of principal executive offices, including zip code)

(646) 440-9333

(Registrant s telephone number, including area code)

# Not applicable

(Former name or former address, if changed since last report)

Check the appropriate box below if the Form 8-K filing is intended to simultaneously satisfy the filing obligation of the registrant under any of the following provisions:

Written communications pursuant to Rule 425 under the Securities Act (17 CFR 230.425)

Soliciting material pursuant to Rule 14a-12 under the Exchange Act (17 CFR 240.14a-12)

Pre-commencement communications pursuant to Rule 14d-2(b) under the Exchange Act (17 CFR 240.14d-2(b))

Pre-commencement communications pursuant to Rule 13e-4(c) under the Exchange Act (17 CFR 240.13e-4(c)) Indicate by check mark whether the registrant is an emerging growth company as defined in Rule 405 of the Securities Act of 1933 (§230.405 of this chapter) or Rule 12b-2 of the Securities Exchange Act of 1934 (§240.12b-2 of this chapter).

Emerging growth company

If an emerging growth company, indicate by check mark if the registrant has elected not to use the extended transition period for complying with any new or revised financial accounting standards provided pursuant to Section 13(a) of the Exchange Act.

# ITEM 2.02 Results of Operations and Financial Condition.

On November 7, 2018, Intra-Cellular Therapies, Inc. (the Company ) announced its financial results for the third quarter ended September 30, 2018, and provided a corporate update.

A copy of the Company s press release containing such announcements is attached hereto as Exhibit 99.1. The information in the press release set forth in the first three paragraphs under the heading Third Quarter 2018 Financial Results, together with the condensed consolidated financial information included in the press release, are incorporated by reference into this Item 2.02 of this Current Report on Form 8-K.

#### ITEM 8.01 Other Events.

In the press release dated November 7, 2018, the Company also provided a corporate update. The information set forth in the last paragraph under the heading Third Quarter 2018 Financial Results and under the headings Corporate Update and About Intra-Cellular Therapies, together with the forward-looking statement disclaimer at the end of the press release, are incorporated by reference into this Item 8.01 of this Current Report on Form 8-K.

#### ITEM 9.01 Financial Statements and Exhibits.

(d) Exhibits

#### **Exhibit**

#### **Number** Description

# 99.1 Press release dated November 7, 2018.

The press release may contain hypertext links to information on our website. The information on our website is not incorporated by reference into this Current Report on Form 8-K and does not constitute a part of this Form 8-K.

The portions of the press release incorporated by reference into Item 8.01 of this Current Report on Form 8-K are being filed pursuant to Item 8.01. The remaining portions of the press release are being furnished pursuant to Item 2.02 of this Current Report on Form 8-K and shall not be deemed filed for purposes of Section 18 of the Securities Exchange Act of 1934, as amended (the Exchange Act ), or otherwise subject to the liabilities of that Section, nor shall it be deemed incorporated by reference in any filing under the Securities Act of 1933, as amended, or the Exchange Act except as shall be expressly set forth by specific reference in such filing.

## **SIGNATURES**

Pursuant to the requirements of the Securities Exchange Act of 1934, the registrant has duly caused this report to be signed on its behalf by the undersigned hereunto duly authorized.

# INTRA-CELLULAR THERAPIES, INC.

By: /s/ Lawrence J. Hineline Lawrence J. Hineline Vice President of Finance, Chief Financial Officer, Treasurer and Assistant Secretary

Date: November 7, 2018