Edgar Filing: HARSHMAN PATRICK - Form 4

HARSHMA	N PATRICK										
Form 4											
August 17, 2	2010										
FORM 4 UNITED STATES SECURITIES AND EXCHANCE COMMISSION								OMB AF	PPROVAL		
UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549							OMB Number:	3235-0287			
Check th				8 /					Expires:	January 31,	
if no long		EMENT O	F CHAN	GES IN	BENEFI	CIAI	LOW	NERSHIP OF	200		
Section 16.				SECURITIES					Estimated average burden hours per		
Form 4 o Form 5		and the second terms	Section 1	f(a) = f(b)	. Saanniti	as Er	rahana	a A at of 1024	response	0.5	
obligatio	n o *						•	e Act of 1934, 1935 or Section	n		
may cont <i>See</i> Instru 1(b).	inue.) of the In	•	•	- ·			11		
1(0).											
(Print or Type I	Responses)										
1. Name and Address of Reporting Person *2. IssuerHARSHMAN PATRICKSymbol				Name and	Ticker or Trading 5. Relationship of				f Reporting Person(s) to		
				, i i i i i i i i i i i i i i i i i i i				Issuer			
			HARM	ONIC IN	C [HLIT]			(Chec	k all applicable	.)	
(Last)	(First)	(Middle)	3. Date of	Earliest Tr	ansaction			(Chee	k all applicable)	
4300 NORTH FIRST STREET (Month/D 08/15/20			ay/Year)			X Director 10% Owner					
			2010				X Officer (give title Other (specify below) below)				
								· · · · · · · · · · · · · · · · · · ·	ident and CEO		
(Street) 4. If A			4. If Ame	Amendment, Date Original				6. Individual or Joint/Group Filing(Check			
				nth/Day/Year)				Applicable Line)			
								_X_Form filed by C	One Reporting Pe fore than One Re		
SAN JOSE,	CA 95134							Person		porting	
(City)	(State)	(Zip)	Tabl	e I - Non-D	erivative S	Securi	ties Acq	uired, Disposed of	, or Beneficial	ly Owned	
1.Title of	2. Transaction D	Date 2A. Dee	emed	3.	4. Securit	ies Ac	quired	5. Amount of	6. Ownership	7. Nature of	
Security	(Month/Day/Year) Execution Date, any				on(A) or Di			Securities	Form: Direct		
(Instr. 3)		Code(Instr. 3, 4 and 5)Day/Year)(Instr. 8)					Beneficially Owned		Beneficial Ownership		
		(INIOIILII/	Day/1Cal)	(Instr. 8)				Following	(Instr. 4)	(Instr. 4)	
						(A)		Reported			
						or		Transaction(s) (Instr. 3 and 4)			
~				Code V		(D)	Price	(msu. 5 and 4)			
Common	08/15/2010			А	13,125 (1)	А	\$0	157,376	D		
Stock					—						
Common Stock	08/15/2010			F	4,814 (2)	D	\$ 6.11	152,562 <u>(3)</u>	D		

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

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Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transactic Code (Instr. 8)	5. of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)		ate	7. Titl Amou Under Secur (Instr.	int of lying	8. Price of Derivative Security (Instr. 5)	9. Nu Deriv Secur Bene Owne Follo Repo Trans (Instr
				Code V	(A) (D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares		

Reporting Owners

Reporting Owner Name / Address	Relationships							
	Director	10% Owner	Officer	Other				
HARSHMAN PATRICK 4300 NORTH FIRST STREET SAN JOSE, CA 95134	Х		President and CEO					
Signatures								
/s/ Laura Donovan By Attorney- Donovan	Laura	08/17/2010						
<u>**Signature of Reporting F</u>		Date						

**Signature of Reporting Person

Explanation of Responses:

- If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- ** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- These shares of common stock were acquired upon the vesting of restricted stock units on 8/15/2010. These restricted stock units were (1)initially granted to the Reporting Person on 2/24/2009, and were identified on a Form 4 filed by the Reporting Person on 2/26/2009.
- Shares of Harmonic Inc. common stock withheld by Harmonic Inc. to satisfy tax withholding obligation upon vesting of restricted stock (2)units.
- Includes 65,625 unvested shares which remain subject to Restricted Stock Units from the Restricted Stock Unit grant that was made to (3) Mr. Harshman on 2/24/2009, previously identified on a Form 4 filed 2/26/2009.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, see Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.