GAIAM, INC Form 4 October 03, 2011

## FORM 4

### UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF

**SECURITIES** 

OMB Number:

3235-0287

Expires:

January 31, 2005

0.5

Estimated average burden hours per

**OMB APPROVAL** 

response...

if no longer subject to Section 16. Form 4 or Form 5 obligations

may continue.

See Instruction

Check this box

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940

1(b).

Common

Stock

09/30/2011

(Print or Type Responses)

1. Name and Address of Reporting Person * MOWRY BARBARA  (Last) (First) (Middle)			2. Issuer Name and Ticker or Trading Symbol GAIAM, INC [GAIA]				5. Relationship of Reporting Person(s) to Issuer			
							(Check all applicable)			
(Last)	Middle)	3. Date of Earliest Transaction								
833 WEST SOUTH BOULDER ROAD			(Month/Day/Year) 09/30/2011				_X_ Director Officer (giv below)		6 Owner er (specify	
(Street)			4. If Amer	ndment, Dat	te Original		6. Individual or Joint/Group Filing(Check			
LOUISVILI	Filed(Month/Day/Year)				Applicable Line) _X_ Form filed by One Reporting Person Form filed by More than One Reporting					
20010 (121	22, 00 0002, 2						Person			
(City)	(State)	(Zip)	Table	e I - Non-D	erivative S	Securities Ac	quired, Disposed	of, or Beneficia	lly Owned	
1.Title of	2. Transaction Dat	e 2A. Dee	emed	3.	4. Securit	ties	5. Amount of	6. Ownership	7. Nature of	
Security (Month/Day/Year) Execut		on Date, if	Transactio	onAcquired	(A) or	Securities	Form: Direct	Indirect		
(Instr. 3)		any		Code	Disposed		Beneficially	(D) or	Beneficial	
		(Month)	/Day/Year)	(Instr. 8)	(Instr. 3,	4 and 5)  (A) or (D) Price	Owned Following Reported Transaction(s) (Instr. 3 and 4)	Indirect (I) (Instr. 4)	Ownership (Instr. 4)	
Class A				Code V	Amount	(D) Flice				
Ciuss II										

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

Α

Persons who respond to the collection of SEC 1474 information contained in this form are not (9-02)required to respond unless the form displays a currently valid OMB control number.

D

50,325

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

74

Α

(1)

### Edgar Filing: GAIAM, INC - Form 4

1. Title of Derivative	2. Conversion	3. Transaction Date (Month/Day/Year)		4. Transactio	5. Mumber	6. Date Exerc Expiration D		7. Title at Amount of		8. Price of Derivative	9. Nu Deriv
Security (Instr. 3)	or Exercise Price of Derivative Security	(монил дау/ теаг)	any (Month/Day/Year)	Code (Instr. 8)	of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)	(Month/Day/	(Month/Day/Year)		ng	Security (Instr. 5)	Secur Bene Owne Follo Repo Trans (Instr
				Code V	(A) (D)	Date Exercisable	Expiration Date	or Title Nu of	umber		

# **Reporting Owners**

#### Relationships

Reporting Owner Name / Address

Director  $\frac{10\%}{\text{Owner}}$  Officer Other

MOWRY BARBARA
833 WEST SOUTH BOULDER ROAD X
LOUISVILLE, CO 80027-2452

## **Signatures**

Thomas R. Stephens Attorney-in Fact for Barbara Mowry

10/03/2011

\*\*Signature of Reporting Person

Date

## **Explanation of Responses:**

- \* If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) Issued in consideration for director services.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.

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