DiCecco Susan J Form 4 January 03, 2013

#### FORM 4

#### UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

OMB 3235-0287 Number:

Check this box if no longer STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF subject to

January 31, Expires: 2005

**OMB APPROVAL** 

**SECURITIES** 

Estimated average burden hours per response... 0.5

Section 16. Form 4 or Form 5 obligations may continue. See Instruction 1(b).

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940

(Print or Type Responses)

Stock

Stock

Common

1. Name and Address of Reporting Person \* 5. Relationship of Reporting Person(s) to 2. Issuer Name and Ticker or Trading DiCecco Susan J Issuer Symbol AMERICAN SUPERCONDUCTOR (Check all applicable) CORP /DE/ [AMSC] (Last) (First) (Middle) 3. Date of Earliest Transaction Director 10% Owner X\_ Officer (give title Other (specify (Month/Day/Year) below) C/O AMERICAN 12/31/2012 SVP, Corporate Administration **SUPERCONDUCTOR** CORPORATION, 64 JACKSON **ROAD** (Street) 4. If Amendment, Date Original 6. Individual or Joint/Group Filing(Check Filed(Month/Day/Year) Applicable Line) \_X\_ Form filed by One Reporting Person Form filed by More than One Reporting **DEVENS, MA 01434** (City) (State) (Zip) Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned 1. Title of 2. Transaction Date 2A. Deemed 3. 4. Securities Acquired 5. Amount of 6. Ownership 7. Nature of Transaction(A) or Disposed of (D) Form: Direct Indirect Security (Month/Day/Year) Execution Date, if Securities (Instr. 3) Code (Instr. 3, 4 and 5) Beneficially (D) or Beneficial any (Month/Day/Year) (Instr. 8) Owned Indirect (I) Ownership Following (Instr. 4) (Instr. 4) Reported (A) Transaction(s) or (Instr. 3 and 4) Price Code Amount (D) Common 38,800 12/31/2012 D D \$0 147,857 D (1) Stock Common 125,000 D 12/31/2012 D \$0  $22,857^{(3)}$ D

(2)

3,673 (4)

Ι

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

By 401(k)

Plan

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SEC 1474 (9-02)

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transaction Code (Instr. 8)	5. Number of or Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)		6. Date Exercisable and Expiration Date (Month/Day/Year)		7. Title and Amount of Underlying Securities (Instr. 3 and 4)	
				Code V	(A)	(D)	Date Exercisable	Expiration Date	Title	Amount Number Shares
Restricted Stock Unit	\$ 0 (5)	01/02/2013		A	38,800		<u>(5)</u>	03/01/2013	Common Stock	38,800
Restricted Stock Unit	\$ 0 (5)	01/02/2013		A	119,521		<u>(5)</u>	03/01/2013	Common Stock	119,52

## **Reporting Owners**

Reporting Owner Name / Address Relationships

Director 10% Owner Officer Other

DiCecco Susan J C/O AMERICAN SUPERCONDUCTOR CORPORATION 64 JACKSON ROAD DEVENS, MA 01434

SVP, Corporate Administration

## **Signatures**

/s/ David A. Henry, Attorney-in-Fact

01/03/2013

\*\*Signature of Reporting Person

Date

# **Explanation of Responses:**

- \* If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) Represents unvested time-based restricted stock awards forfeited upon Ms. DiCecco's separation from the company on December 31, 2012.
- (2) Represents unvested performance based restricted stock award forfeited upon Ms. DiCecco's separation from the company on December 31, 2012.
- (3) Following all the transactions reported on this Form 4, the reporting person holds 22,857 shares directly.
- (4) Following all the transactions reported on this Form 4, the reporting person holds 3,673 shares indirectly through the company's 401(k) plan as of December 31, 2012.

Reporting Owners 2

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Each restricted stock unit represents a contingent right to receive one share of company common stock upon vesting. The restricted stock (5) units vest in their entirety on the 8th day after Ms. DiCecco executes and delivers, and does not revoke, a new severance agreement with certain contractual protections for the company.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.