Edgar Filing: CAPITAL SENIOR LIVING CORP - Form 4

CAPITAL SENIOR LIVING CORP Form 4 November 18, 2013 OMB APPROVAL FORM 4 UNITED STATES SECURITIES AND EXCHANGE COMMISSION OMB 3235-0287 Washington, D.C. 20549 Number: Check this box January 31, Expires: if no longer 2005 STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF subject to Estimated average **SECURITIES** Section 16. burden hours per Form 4 or response... 0.5 Form 5 Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, obligations Section 17(a) of the Public Utility Holding Company Act of 1935 or Section may continue. 30(h) of the Investment Company Act of 1940 See Instruction 1(b). (Print or Type Responses) 1. Name and Address of Reporting Person * 5. Relationship of Reporting Person(s) to 2. Issuer Name and Ticker or Trading **BEATHARD DAVID W** Issuer Symbol CAPITAL SENIOR LIVING CORP (Check all applicable) [CSU] (Last) (First) (Middle) 3. Date of Earliest Transaction Director 10% Owner Other (specify X_Officer (give title (Month/Day/Year) below) below) 14160 DALLAS 11/15/2013 Vice President - Operations PARKWAY, SUITE 300 (Street) 4. If Amendment, Date Original 6. Individual or Joint/Group Filing(Check Filed(Month/Day/Year) Applicable Line) _X_ Form filed by One Reporting Person Form filed by More than One Reporting DALLAS, TX 75254 Person (City) (State) (Zip) Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned 1.Title of 2. Transaction Date 2A. Deemed 3. 4. Securities Acquired 5. Amount of 6. 7. Nature of Security (Month/Day/Year) Execution Date, if Transaction(A) or Disposed of (D) Securities Ownership Indirect (Instr. 3) anv Code (Instr. 3, 4 and 5) Beneficially Form: Direct Beneficial (Month/Day/Year) (Instr. 8) Owned Ownership (D) or Following Indirect (I) (Instr. 4) Reported (Instr. 4) (A) Transaction(s) or (Instr. 3 and 4) Price Code V Amount (D) Common 11/15/2013 \$ 6.3 Μ 20,560 Α 44,660 D Stock \$ Common 11/15/2013 $S^{(1)}$ D 20,560 D 20.76 24,100 Stock (2)

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

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 Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned

 (e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transacti Code (Instr. 8)	5. Number of orDerivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)	6. Date Exercisable and Expiration Date (Month/Day/Year)		7. Title and Amount of Underlying Securities (Instr. 3 and 4)	
				Code V	(A) (D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares
Common Stock Option (right to purchase)	\$ 6.3	11/15/2013		М	20,560	<u>(3)</u>	12/03/2013	Common Stock	20,560

Reporting Owners

Reporting Owner Name / Address	Relationships					
1 8	Director	10% Owner	Officer	Other		
BEATHARD DAVID W 14160 DALLAS PARKWAY SUITE 300 DALLAS, TX 75254			Vice President - Operations			
Signatures						

/s/ David W. 11/18/2013 Beathard

<u>**</u>Signature of Reporting Person Date

Explanation of Responses:

- * If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- ** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) A portion of the sale proceeds will be used by the reporting person to satisfy tax liabilities. As indicated in the table above, the options were scheduled to expire on December 3, 2013 if not exercised prior to such date.

The price reported in Column 4 is a weighted average price. The shares were sold in multiple transactions at prices ranging from \$20.71 to \$21.03, inclusive. The reporting person undertakes to provide Capital Senior Living Corporation, any stockholder of Capital Senior

- (2) It series in the reporting person undertakes to provide Capital Senior Erving Corporation, any stockholder of Capital Senior Erving Corporation, and stockholder of Senior Erving Corporation, and stockholde
- (3) The options vested in three installments of 33%, 33% and 34% on December 4, 2003, December 4, 2004 and December 5, 2005, respectively.
- (4) Granted on December 4, 2003 pursuant to the Capital Senior Living Corporation 1997 Omnibus Stock Incentive Plan.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, see Instruction 6 for procedure.

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