

AUTOLIV INC
Form 3
January 07, 2014

FORM 3 UNITED STATES SECURITIES AND EXCHANGE COMMISSION
Washington, D.C. 20549

OMB APPROVAL

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INITIAL STATEMENT OF BENEFICIAL OWNERSHIP OF SECURITIES

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934,
Section 17(a) of the Public Utility Holding Company Act of 1935 or Section
30(h) of the Investment Company Act of 1940

(Print or Type Responses)

1. Name and Address of Reporting Person *

Â Nellis Anthony J

(Last)

(First)

(Middle)

2. Date of Event Requiring Statement

(Month/Day/Year)

01/01/2014

3. Issuer Name **and** Ticker or Trading Symbol
AUTOLIV INC [ALV]

4. Relationship of Reporting Person(s) to Issuer

5. If Amendment, Date Original Filed(Month/Day/Year)

(Check all applicable)

___ Director ___ 10% Owner

X Officer ___ Other
(give title below) (specify below)

See Remarks

6. Individual or Joint/Group Filing(Check Applicable Line)
 X Form filed by One Reporting Person
___ Form filed by More than One Reporting Person

C/O AUTOLIV,
INC.,Â VASAGATAN 11, 7TH
FLOOR

(Street)

STOCKHOLM,Â V7Â SE-111
20

(City)

(State)

(Zip)

Table I - Non-Derivative Securities Beneficially Owned

1. Title of Security
(Instr. 4)

2. Amount of Securities Beneficially Owned
(Instr. 4)

3. Ownership Form:
Direct (D)
or Indirect (I)
(Instr. 5)

4. Nature of Indirect Beneficial Ownership
(Instr. 5)

Common Stock

0

D

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Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

SEC 1473 (7-02)

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control number.

Table II - Derivative Securities Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security
(Instr. 4)

2. Date Exercisable and Expiration Date
(Month/Day/Year)

3. Title and Amount of Securities Underlying Derivative Security
(Instr. 4)

4. Conversion or Exercise Price of Derivative

5. Ownership Form of Derivative Security:

6. Nature of Indirect Beneficial Ownership
(Instr. 5)

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	Date Exercisable	Expiration Date	Title	Amount or Number of Shares	Security	Direct (D) or Indirect (I) (Instr. 5)	
Employee Stock Option (right to buy) ⁽¹⁾	02/22/2012	02/22/2021	Common Stock	357	\$ 72.95	D	Â
Employee Stock Option (right to buy) ⁽¹⁾	02/19/2014	02/19/2023	Common Stock	1,209	\$ 67.42	D	Â
Restricted Stock Units ⁽¹⁾ ⁽²⁾	02/22/2014	02/22/2014	Common Stock	119	\$ ⁽²⁾	D	Â
Restricted Stock Units ⁽¹⁾ ⁽²⁾	02/22/2015	02/22/2015	Common Stock	320	\$ ⁽²⁾	D	Â
Restricted Stock Units ⁽¹⁾ ⁽²⁾	02/19/2016	02/19/2016	Common Stock	403	\$ ⁽²⁾	D	Â

Reporting Owners

Reporting Owner Name / Address	Relationships			
	Director	10% Owner	Officer	Other
Nellis Anthony J C/O AUTOLIV, INC. VASAGATAN 11, 7TH FLOOR STOCKHOLM, V7 SE-111 20	Â	Â	Â See Remarks	Â

Signatures

/s/ Anthony J. Nellis 01/07/2014

^{**}Signature of Reporting Person Date

Explanation of Responses:

- * If the form is filed by more than one reporting person, *see* Instruction 5(b)(v).
- ** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. *See* 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) The Restricted Stock Units and the Employee Stock Options were granted under the Company's Stock Incentive Plan of 1997, as amended, at no cost to the reporting person.
- (2) The Restricted Stock Units vest in full 3 years from the date of the grant, at which point vested shares will be delivered to the reporting person free of charge.

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Remarks:

Interim Vice President Legal Affairs, General Counsel and Secretary

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *See* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.