

TRUPANION INC.  
Form 3  
October 27, 2014

# FORM 3 UNITED STATES SECURITIES AND EXCHANGE COMMISSION

Washington, D.C. 20549

OMB APPROVAL

OMB Number: 3235-0104  
Expires: January 31, 2015  
Estimated average burden hours per response... 0.5

## INITIAL STATEMENT OF BENEFICIAL OWNERSHIP OF SECURITIES

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934,  
Section 17(a) of the Public Utility Holding Company Act of 1935 or Section  
30(h) of the Investment Company Act of 1940

(Print or Type Responses)

1. Name and Address of Reporting Person \*

Â SUSEN CRAIG  
(Last) (First) (Middle)

C/O TRUPANION, INC., Â 907  
NW BALLARD WAY

(Street)

SEATTLE, Â WA Â 98107

(City) (State) (Zip)

2. Date of Event Requiring Statement

(Month/Day/Year)  
10/27/2014

3. Issuer Name and Ticker or Trading Symbol  
TRUPANION INC. [TRUP]

4. Relationship of Reporting Person(s) to Issuer

(Check all applicable)

\_\_\_ Director \_\_\_ 10% Owner  
\_X\_ Officer \_\_\_ Other  
(give title below) (specify below)  
Chief Technology Officer

5. If Amendment, Date Original Filed(Month/Day/Year)

6. Individual or Joint/Group Filing(Check Applicable Line)  
\_X\_ Form filed by One Reporting Person  
\_\_\_ Form filed by More than One Reporting Person

### Table I - Non-Derivative Securities Beneficially Owned

1. Title of Security  
(Instr. 4)

2. Amount of Securities Beneficially Owned  
(Instr. 4)

3. Ownership Form:  
Direct (D)  
or Indirect (I)  
(Instr. 5)

4. Nature of Indirect Beneficial Ownership  
(Instr. 5)

Common Stock

0

D Â

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

SEC 1473 (7-02)

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### Table II - Derivative Securities Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security  
(Instr. 4)

2. Date Exercisable and Expiration Date  
(Month/Day/Year)

3. Title and Amount of Securities Underlying Derivative Security  
(Instr. 4)  
Title

4. Conversion or Exercise Price of Derivative Security

5. Ownership Form of Derivative Security:  
Direct (D)

6. Nature of Indirect Beneficial Ownership  
(Instr. 5)

# Edgar Filing: TRUPANION INC. - Form 3

|                             | Date<br>Exercisable | Expiration<br>Date |                 | Amount or<br>Number of<br>Shares |         | or Indirect<br>(I)<br>(Instr. 5) |   |
|-----------------------------|---------------------|--------------------|-----------------|----------------------------------|---------|----------------------------------|---|
| Stock Option (right to buy) | Â (1)               | 02/04/2023         | Common<br>Stock | 40,000                           | \$ 4.05 | D                                | Â |
| Stock Option (right to buy) | Â (2)               | 11/07/2023         | Common<br>Stock | 60,000                           | \$ 4.8  | D                                | Â |

## Reporting Owners

| Reporting Owner Name / Address                                                | Relationships |           |                            |       |
|-------------------------------------------------------------------------------|---------------|-----------|----------------------------|-------|
|                                                                               | Director      | 10% Owner | Officer                    | Other |
| SUSEN CRAIG<br>C/O TRUPANION, INC.<br>907 NW BALLARD WAY<br>SEATTLE, WA 98107 | Â             | Â         | Â Chief Technology Officer | Â     |

## Signatures

/s/ Charlotte Sim-Warner as attorney-in-fact for Craig  
Susen 10/27/2014

\_\_Signature of Reporting Person

Date

## Explanation of Responses:

\* If the form is filed by more than one reporting person, *see* Instruction 5(b)(v).

\*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. *See* 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

The option vests as to 25% of the total shares on October 1, 2013, and then 2.0833% of the total shares vest monthly thereafter, with

- (1) 100% of the total shares vested and exercisable on October 1, 2016, subject to the reporting person's provision of service to the issuer on each vesting date.

The option vests as to 25% of the total shares on November 8, 2014, and then 2.0833% of the total shares vest monthly thereafter, with

- (2) 100% of the total shares vested and exercisable on November 8, 2017, subject to the reporting person's provision of service to the issuer on each vesting date.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *See* Instruction 6 for procedure.

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