#### UNIVERSAL ELECTRONICS INC

Form 4 July 02, 2015

# FORM 4

### UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF

**SECURITIES** 

**OMB APPROVAL OMB** 

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obligations may continue. See Instruction

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940

(Print or Type Responses)

1(b).

ZINSER EDWARD K Issuer Symbol UNIVERSAL ELECTRONICS INC (Check all applicable) [UEIC]

2. Issuer Name and Ticker or Trading

(Last) (First) (Middle) 3. Date of Earliest Transaction X\_ Director 10% Owner Officer (give title Other (specify (Month/Day/Year) below) 06/30/2015

201 EAST SANDPOINTE, 8TH **FLOOR** 

1. Name and Address of Reporting Person \*

(Street) 4. If Amendment, Date Original

Filed(Month/Day/Year) Applicable Line)

SANTA ANA, CA 92707

\_X\_ Form filed by One Reporting Person Form filed by More than One Reporting

6. Individual or Joint/Group Filing(Check

5. Relationship of Reporting Person(s) to

(City) (State) (Zip) Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned 1. Title of 2. Transaction Date 2A. Deemed 3. 4. Securities Acquired 5. Amount of 6. Ownership 7. Nature of Security (Month/Day/Year) Execution Date, if Transaction(A) or Disposed of (D) Securities Form: Direct Indirect (Instr. 3) Code (Instr. 3, 4 and 5) Beneficially (D) or Beneficial Ownership (Month/Day/Year) (Instr. 8) Owned Indirect (I) (Instr. 4) Following (Instr. 4) Reported (A) Transaction(s) (Instr. 3 and 4) Price Code V Amount (D) \$ Common 06/30/2015 A 1,250 49.99 14,438 D A Stock (1) (2)

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

Persons who respond to the collection of SEC 1474 information contained in this form are not (9-02)required to respond unless the form displays a currently valid OMB control number.

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

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1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transacti Code (Instr. 8)	5. Number owf Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)	6. Date Exercisable and Expiration Date (Month/Day/Year)		7. Title and Amount of Underlying Securities (Instr. 3 and 4)		8. P Der Sec (Ins
				Code V	(A) (D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares	
Restricted Stock Units (3)	\$ 0	07/01/2015		A	5,000	(3)	(3)	Common Stock	5,000	
Stock Option (Rt to Buy)	<u>(5)</u>					<u>(6)</u>	<u>(6)</u>	Common Stock	20,000	

## **Reporting Owners**

Reporting Owner Name / Address	Relationships					
• 0	Director	10% Owner	Officer	Other		
ZINSER EDWARD K 201 EAST SANDPOINTE 8TH FLOOR SANTA ANA, CA 92707	X					

### **Signatures**

/s/Edward K. Zinser, by Richard A. Firehammer, Jr., pursuant to Limited Power of Attorney dated December 11, 2006 (attached)

07/02/2015

\*\*Signature of Reporting Person

Date

# **Explanation of Responses:**

- \* If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) Stock issued pursuant to 2004 Directors Compensation Plan approved by the stockholders on June 14, 2004.
- (2) Price determined in accordance with the terms of the 2004 Directors Compensation Plan approved by the stockholders on June 14, 2004.
- (3) Restricted Stock Units awarded pursuant to the 2004 Directors Compensation Plan as described in the Company's definitive Proxy materials for the 2015 Annual Meeting of Stockholders. These units will vest 25% each quarter, commencing on September 30, 2015.
- (4) Figure represents the aggregate number of unvested RSUs as of the reporting date.
- (5) Exercise Price was reported when the Stock Options were initially granted. This holding represents an aggregate number of unexercised Stock Options held and may include a number of different Stock Option Grants.
- (6) The Exercisable and Expiration Dates were reported at the time the Stock Options were granted. This holding represents an aggregate number of unexercised Stock Options held and may include a number of different Stock Option Grants.
- (7) Figure represents the number of Stock Options held as of the reporting date.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, see Instruction 6 for procedure.

Reporting Owners 2

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