Edgar Filing: UNIVERSAL ELECTRONICS INC - Form 4

UNIVERSAL ELECTRONICS INC

Form 4

Common

Common

Stock

Stock

11/13/2015

November 1	6, 2015									
FORM	14 _{UNITED}	Washington, D.C. 20549 STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF SECURITIES Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 20(b) of the Investment Company Act of 1040						OMB APPROVAL OMB 3235-0287		
Check the if no long subject to Section 1 Form 4 or Form 5 obligation may continue See Instruction 1(b).	ger o STATEM 16. or Filed purents section 176							Expires: January 31, 2005 Estimated average burden hours per response 0.5		
Print or Type l	Responses)									
1. Name and A ARLING P.	Address of Reporting AUL D	g Person *	Symbol	r Name and RSAL EI				5. Relationship of Issuer (Check	Reporting Pers	
(Last) 201 EAST S FLOOR	(First) (SANDPOINTE,	(Middle)	3. Date of (Month/E) 11/13/2	•	ransaction			_X_ Director _X_ Officer (give below)		Owner r (specify
				If Amendment, Date Original led(Month/Day/Year)			6. Individual or Joint/Group Filing(Check Applicable Line) _X_ Form filed by One Reporting Person Form filed by More than One Reporting			
	NA, CA 92707-6							Person	ore than One Rej	porting
(City)	(State)	(Zip)	Tabl	le I - Non-I	Derivative	Secur	rities Acq	uired, Disposed of,	or Beneficiall	y Owned
1.Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)		n Date, if	Code (Instr. 8)	4. Securi on(A) or Di (Instr. 3,	spose	d of (D)	5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4)	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Indirect Beneficial Ownership (Instr. 4)
Common Stock	11/13/2015			M	2,275 (1)	A	\$0	161,071	D	

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

Persons who respond to the collection of SEC 1474 information contained in this form are not (9-02)

D

I

\$

(3)

46.675 159,884

1,000

D

1,187

(2)

F

By Spouse

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required to respond unless the form displays a currently valid OMB control number.

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transactic Code (Instr. 8)	5. Number on Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)	6. Date Exercisable and Expiration Date (Month/Day/Year)		7. Title and Amount of Underlying Securities (Instr. 3 and 4)		8. P Der Sec (Ins
				Code V	(A) (D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares	
Restricted Stock Unit	<u>(4)</u>	11/13/2015		M	2,275	(5)	(5)	Common Stock	2,275	

Reporting Owners

Reporting Owner Name / Address	Relationships					
reporting 6 wher runner runners	Director	10% Owner	Officer	Other		
ARLING PAUL D 201 EAST SANDPOINTE 8TH FLOOR SANTA ANA, CA 92707-6708	X		CEO			

Signatures

/s/Paul D. Arling, by Richard A. Firehammer, Jr., pursuant to Limited Power of Attorney dated January 22, 2003 (attached)

11/16/2015

**Signature of Reporting Person

Date

Explanation of Responses:

- * If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- ** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) Stock issued pursuant to Restricted Stock Grant approved by the Compensation Committee of the Board of Directors on February 4, 2013 and ratified by the Board of Directors on February 13-14, 2013.
- (2) This transaction represents a withholding of shares to cover taxes applicable to a vesting of RSUs also reported on this Form 4.
- (3) Price determined in accordance with the Restricted Stock Grant approved by the Compensation Committee of the Board of Directors on February 4, 2013 and ratified by the Board of Directors on February 13-14, 2013.
- (4) Each restricted stock unit represents a contingent right to receive one share of UEI common stock.
- These restricted stock units granted on February 13, 2013 vest over a 3 year ratable quarterly vesting period which began on May 13, 2013

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, see Instruction 6 for procedure.

Reporting Owners 2

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