

MANNKIND CORP
Form 3
February 29, 2016

FORM 3 UNITED STATES SECURITIES AND EXCHANGE COMMISSION
Washington, D.C. 20549

OMB APPROVAL

OMB Number: 3235-0104
Expires: January 31, 2015
Estimated average burden hours per response... 0.5

INITIAL STATEMENT OF BENEFICIAL OWNERSHIP OF SECURITIES

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934,
Section 17(a) of the Public Utility Holding Company Act of 1935 or Section
30(h) of the Investment Company Act of 1940

(Print or Type Responses)

<p>1. Name and Address of Reporting Person *</p> <p>Â Alinaya Rosabel Realica</p> <p>(Last) (First) (Middle)</p> <p>25134 RYE CANYON LOOP,Â SUITE 300</p> <p>(Street)</p> <p>VALENCIA,Â CAÂ 91355</p> <p>(City) (State) (Zip)</p>	<p>2. Date of Event Requiring Statement</p> <p>(Month/Day/Year)</p> <p>02/28/2016</p>	<p>3. Issuer Name and Ticker or Trading Symbol</p> <p>MANNKIND CORP [MNKD]</p>	<p>4. Relationship of Reporting Person(s) to Issuer</p> <p>(Check all applicable)</p> <p><input type="checkbox"/> Director <input type="checkbox"/> 10% Owner <input checked="" type="checkbox"/> Officer <input type="checkbox"/> Other (give title below) (specify below) SVP, Prin Acctg Officer</p>	<p>5. If Amendment, Date Original Filed(Month/Day/Year)</p>	<p>6. Individual or Joint/Group Filing(Check Applicable Line)</p> <p><input checked="" type="checkbox"/> Form filed by One Reporting Person <input type="checkbox"/> Form filed by More than One Reporting Person</p>
---	---	---	---	---	---

Table I - Non-Derivative Securities Beneficially Owned

1. Title of Security (Instr. 4)	2. Amount of Securities Beneficially Owned (Instr. 4)	3. Ownership Form: Direct (D) or Indirect (I) (Instr. 5)	4. Nature of Indirect Beneficial Ownership (Instr. 5)
Common Stock, \$.01 par value	55,863	D	Â
Common Stock, \$.01 par value	3,625 ⁽¹⁾	D	Â
Common Stock, \$.01 par value	4,250 ⁽²⁾	D	Â
Common Stock, \$.01 par value	5,775 ⁽³⁾	D	Â
Common Stock, \$.01 par value	12,900 ⁽⁴⁾	D	Â

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

SEC 1473 (7-02)

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control number.

Table II - Derivative Securities Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

Edgar Filing: MANNKIND CORP - Form 3

1. Title of Derivative Security (Instr. 4)	2. Date Exercisable and Expiration Date (Month/Day/Year)		3. Title and Amount of Securities Underlying Derivative Security (Instr. 4)		4. Conversion or Exercise Price of Derivative Security	5. Ownership Form of Derivative Security: Direct (D) or Indirect (I) (Instr. 5)	6. Nature of Indirect Beneficial Ownership (Instr. 5)
	Date Exercisable	Expiration Date	Title	Amount or Number of Shares			
Employee Stock Option (right to buy)	07/02/2012	07/02/2018	Common Stock	9,000 ⁽⁵⁾	\$ 2.86	D	Â
Employee Stock Option (right to buy)	08/19/2013	08/19/2019	Common Stock	4,500 ⁽⁵⁾	\$ 7.48	D	Â
Employee Stock Option (right to buy)	08/19/2014	08/19/2020	Common Stock	12,000 ⁽⁵⁾	\$ 5.93	D	Â
Employee Stock Option (right to buy)	03/03/2015	03/02/2021	Common Stock	6,400 ⁽⁵⁾	\$ 3.8	D	Â
Employee Stock Option (right to buy)	06/02/2015	06/02/2021	Common Stock	10,600 ⁽⁵⁾	\$ 4.03	D	Â
Employee Stock Option (right to buy)	08/18/2015	08/17/2021	Common Stock	20,000 ⁽⁵⁾	\$ 2.41	D	Â
Employee Stock Option (right to buy)	05/17/2016	05/17/2022	Common Stock	70,000 ⁽⁵⁾	\$ 1.69	D	Â
Employee Stock Option (right to buy)	08/16/2013	08/16/2022	Common Stock	21,000 ⁽⁶⁾	\$ 2.32	D	Â
Employee Stock Option (right to buy)	08/23/2014	08/23/2023	Common Stock	34,200 ⁽⁶⁾	\$ 6.85	D	Â
Employee Stock Option (right to buy)	08/22/2014	08/22/2023	Common Stock	13,200 ⁽⁶⁾	\$ 5.89	D	Â
Employee Stock Option (right to buy)	08/21/2015	08/20/2024	Common Stock	11,600 ⁽⁶⁾	\$ 7.09	D	Â
Employee Stock Option (right to buy)	08/27/2016	08/26/2025	Common Stock	19,300 ⁽⁶⁾	\$ 3.91	D	Â

Reporting Owners

Reporting Owner Name / Address	Relationships			
	Director	10% Owner	Officer	Other
Alinaya Rosabel Realica 25134 RYE CANYON LOOP SUITE 300 VALENCIA, CA 91355	Â	Â	Â SVP, Prin Acctg Officer	Â

Signatures

/s/ Rosabel R.
Alinaya

02/28/2016

__Signature of
Reporting Person

Date

Explanation of Responses:

- * If the form is filed by more than one reporting person, *see* Instruction 5(b)(v).
 - ** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. *See* 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) Acquired pursuant to a Restricted Stock Unit Award vesting on 8/16/16.
 - (2) Acquired pursuant to a Restricted Stock Unit Award; 2125 shares vesting on 8/22/16 and 2125 shares vesting on 8/22/17.
 - (3) Acquired pursuant to a Restricted Stock Unit Award; 1925 shares vesting on 8/21/16, 1925 shares vesting on 8/21/17 and 1925 shares vesting on 8/21/18.
 - (4) 4-year vesting schedule with 1/4th of shares vesting on the first anniversary or 8/27/16 and thereafter 1/4th annually.
 - (5) Fully vested as of filing.
 - (6) 4-year vesting schedule with 1/4th of shares vesting on the first anniversary of the vesting determination date and thereafter 1/48th monthly.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *See* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.