Edgar Filing: SVB FINANCIAL GROUP - Form 4

SVB FINAN	CIAL GROUP										
Form 4											
April 22, 201	6										
FORM	1									PPROVAL	
	UNITED	STATES			ND EX D.C. 20		NGE	COMMISSION	OMB Number:	3235-0287	
Check thi									Expires:	January 31,	
if no long subject to	STATEN	MENT O	F CHAN	GES IN	GES IN BENEFICIAL OWNERSHIP OF				Estimated	2005 d average	
Section 10							burden hou	•			
Form 4 or								response	•		
Form 5 obligation	· · ·							ge Act of 1934,			
may conti				•	•	· ·		of 1935 or Sectio	n		
See Instru	ction	30(n)) of the Inv	vestment	Compan	y Act	OF 19	40			
1(b).											
(Print or Type R	(esponses)										
1. Name and Address of Reporting Person * 2. Issuer STAGLIN GAREN K Symbol SVB FIN				r Name and Ticker or Trading			5. Relationship of Reporting Person(s) to Issuer				
				NANCIA	L GROU	JP [S]	[VB]	(Check all applicable)			
(Last)	(First) (Middle)	3. Date of Earliest Transaction								
		2 00 7	(Month/D	•				X Director		6 Owner	
SVB FINAN TASMAN D	ICIAL GROUP,	3005	04/21/20)16				Officer (give below)	below)	er (specify	
I ASMAN L											
				Amendment, Date Original				6. Individual or Joint/Group Filing(Check			
			Filed(Mon	th/Day/Year	.)			Applicable Line) _X_ Form filed by	One Reporting Pa	erson	
SANTA CL	ARA, CA 95054	ł						Form filed by M Person	1 0		
(City)	(State)	(Zip)	Table	e I - Non-E	Derivative	Securi	ties Ac	quired, Disposed o	f, or Beneficia	lly Owned	
1.Title of	2. Transaction Da			3.	4. Secur			5. Amount of	6. Ownership	7. Nature of	
Security (Instr. 3)	(Month/Day/Year		Execution Date, if any (Month/Day/Year)		ionAcquire Dispose					Indirect Beneficial	
(IIIsu: 5)		-			CodeDisposed of (D)(Instr. 8)(Instr. 3, 4 and 5)			•		Ownership	
			•					Following	(Instr. 4)	(Instr. 4)	
						(A)		Reported Transaction(s)			
				~		or		(Instr. 3 and 4)			
Common				Code V	Amount	(D)	Price				
Stock	04/21/2016			М	737	А	<u>(1)</u>	11,406	D		

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control number.

 Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned

 (e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transacti Code (Instr. 8)	5. Number or of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)	Expiration Date		(Instr. 3 and 4) S		8. D Se (I
				Code V	(A) (D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares	
Restricted Stock Unit	\$ 0	04/21/2016		М	737	04/21/2016	05/20/2022	Common Stock	737	

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Reporting Owners

Reporting Owner Name / Address	Relationships							
	Director	10% Owner	Officer	Other				
STAGLIN GAREN K SVB FINANCIAL GROUP 3005 TASMAN DRIVE SANTA CLARA, CA 95054	Х							
Signatures								
Denise West, Attorney-in-Fact Staglin	1	04/22/2016						
**Signature of Reporting Pers	on		Date					

Explanation of Responses:

- * If the form is filed by more than one reporting person, *see* Instruction 4(b)(v).
- ** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) Each restricted stock unit represents a contingent right to receive one share of the Issuer's common Stock.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.