Wittig Stephen Form 4 September 11, 2017

#### UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

Check this box if no longer subject to Section 16. Form 4 or

# STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF

**SECURITIES** 

Form 5 obligations may continue.

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section

30(h) of the Investment Company Act of 1940 See Instruction

1(b).

(Last)

(Print or Type Responses)

1. Name and Address of Reporting Person \* Wittig Stephen

> (First) (Middle)

**420 NATIONAL BUSINESS** PARKWAY, 5TH FLOOR

(Street)

**ANNAPOLIS** JUNCTION, MD 20701

2. Issuer Name and Ticker or Trading Symbol

Colfax CORP [CFX]

3. Date of Earliest Transaction

(Month/Day/Year) 09/07/2017

4. If Amendment, Date Original Filed(Month/Day/Year)

5. Relationship of Reporting Person(s) to

Issuer

(Check all applicable)

OMB

Number:

Expires:

response...

**OMB APPROVAL** 

Estimated average

burden hours per

3235-0287

January 31,

2005

0.5

Director 10% Owner Other (specify \_X\_\_ Officer (give title below)

SVP - CBS & Supply Chain

6. Individual or Joint/Group Filing(Check

Applicable Line)

\_X\_ Form filed by One Reporting Person Form filed by More than One Reporting

Person

#### (City) (State) (Zip)

(City)	(State)	Table 1 - Non-Derivative Securities Acquir					red, Disposed of, or Beneficially Owned			
1.Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)	2A. Deemed Execution Date, if any (Month/Day/Year)	3. Transactio Code (Instr. 8)	4. Securities Acquired (A) our Disposed of (D) (Instr. 3, 4 and 5)			5. Amount of 6. Securities Ownership Beneficially Form: Owned Direct (D) Following or Indirect	7. Nature of Indirect Beneficial Ownership (Instr. 4)		
			Code V	Amount	(A) or (D)	Price	Reported Transaction(s) (Instr. 3 and 4)	(I) (Instr. 4)		
Common Stock, par value \$.001	09/07/2017		M	8,954	A	\$ 35.6	15,479.3131	D		
Common Stock, par value \$.001	09/07/2017		M	9,551	A	\$ 26.51	25,030.3131	D		
Common Stock, par value	09/07/2017		M	9,137	A	\$ 24.95	34,167.3131	D		

#### Edgar Filing: Wittig Stephen - Form 4

\$.001										
Common Stock, par value \$.001	09/07/2017	S	27,642	D	\$ 39.28 (1)	6,525.3131	D			
Common Stock, par value \$.001	09/07/2017	S	547.3131	D	\$ 39.64	5,978	D			
Common Stock, par value \$.001	09/07/2017	S	1,000	D	\$ 39.64	0	I	By wife		
Common Stock, par value \$.001						1,636.434	I	By 401K Plan		
Common Stock, par value \$.001						7.9707	I	By son		
Common Stock, par value \$.001						7.9707	I	By son		
Common Stock, par value \$.001						7.9707	I	By daughter		
Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.										
Tomado. Re	port on a sopulate line for each class of see		Persona informa require	s who ation o d to r s a cu	respon containe espond	d to the collect d in this form a unless the forn valid OMB cont	ire not 1	SEC 1474 (9-02)		
	Table II - Derivative Sec	curities A	cquired, Dispo	osed o	f, or Bene	eficially Owned				

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative	2. Conversion	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if	4. Transactio	5. Number	6. Date Exercisable and Expiration Date	7. Title and Amount of Underlying Securities	
Security	or Exercise	(Wolldin Day Tear)	any	Code	Securities	(Month/Day/Year)	(Instr. 3 and 4)	S
(Instr. 3)	Price of		(Month/Day/Year)			(	(======================================	(]
· · ·	Derivative			, , ,	(A) or			ì
	Security				Disposed of			
					(D)			
					(Instr. 3, 4,			
					and 5)			

#### Edgar Filing: Wittig Stephen - Form 4

			Code V (A)	(D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares
Employee Stock Option (right to buy)	\$ 35.6	09/07/2017	M	8,954	<u>(2)</u>	02/22/2019	Common Stock, par value \$.001	8,954
Employee Stock Option (right to buy)	\$ 26.51	09/07/2017	M	9,551	(3)	11/15/2022	Common Stock, par value \$.001	9,551
Employee Stock Option (right to buy)	\$ 24.95	09/07/2017	M	9,137	<u>(4)</u>	02/14/2023	Common Stock, par value \$.001	9,137

## **Reporting Owners**

Reporting Owner Name / Address	Relationships					
	Director	10% Owner	Officer	Other		

SVP - CBS & Supply Chain

Wittig Stephen

420 NATIONAL BUSINESS PARKWAY 5TH FLOOR

ANNAPOLIS JUNCTION, MD 20701

Signatures

/s/ A. Lynne Puckett,

Attorney-in-Fact 09/11/2017

\*\*Signature of Reporting Person Date

### **Explanation of Responses:**

- \* If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

The price reported in this row of Column 4 is a weighted average price. These shares were sold in multiple transactions at prices ranging from \$39.12 to \$39.41, inclusive. The reporting person undertakes to provide to Colfax Corporation, any security holder of Colfax

- Corporation, or the staff of the Securities and Exchange Commission, upon request, full information regarding the number of shares sold at each separate price within the ranges set forth in footnote (1) to this Form 4.
- (2) The option vested in three equal annual installments beginning on February 23, 2013.
- (3) The option vests in three equal annual installments beginning on November 16, 2016.
- (4) The option vests in three equal annual installments beginning on February 15, 2017.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.

Reporting Owners 3