

Zurbay Donald
Form 3
July 03, 2018

FORM 3

UNITED STATES SECURITIES AND EXCHANGE COMMISSION
Washington, D.C. 20549

OMB APPROVAL

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**INITIAL STATEMENT OF BENEFICIAL OWNERSHIP OF
SECURITIES**

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934,
Section 17(a) of the Public Utility Holding Company Act of 1935 or Section
30(h) of the Investment Company Act of 1940

(Print or Type Responses)

1. Name and Address of Reporting
Person *

Â Zurbay Donald
(Last) (First) (Middle)

1031 MENDOTA HEIGHTS

(Street)

ST. PAUL,Â MNÂ 55120

(City) (State) (Zip)

2. Date of Event Requiring
Statement

(Month/Day/Year)
06/29/2018

3. Issuer Name **and** Ticker or Trading Symbol
PATTERSON COMPANIES, INC. [PDCO]

4. Relationship of Reporting
Person(s) to Issuer

(Check all applicable)

☐ Director ☐ 10% Owner
☒ Officer ☐ Other
(give title below) (specify below)
Chief Financial Officer

5. If Amendment, Date Original
Filed(Month/Day/Year)

6. Individual or Joint/Group
Filing(Check Applicable Line)
☒ Form filed by One Reporting
Person
☐ Form filed by More than One
Reporting Person

Table I - Non-Derivative Securities Beneficially Owned

1. Title of Security
(Instr. 4)

2. Amount of Securities
Beneficially Owned
(Instr. 4)

3. Ownership
Form:
Direct (D)
or Indirect
(I)
(Instr. 5)

4. Nature of Indirect Beneficial
Ownership
(Instr. 5)

Common Stock

30,878 ⁽¹⁾

D Â

Common Stock

11,121 ⁽²⁾

D Â

Reminder: Report on a separate line for each class of securities beneficially
owned directly or indirectly.

SEC 1473 (7-02)

**Persons who respond to the collection of
information contained in this form are not
required to respond unless the form displays a
currently valid OMB control number.**

Table II - Derivative Securities Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security
(Instr. 4)

2. Date Exercisable and
Expiration Date
(Month/Day/Year)

3. Title and Amount of
Securities Underlying
Derivative Security
(Instr. 4)

4. Conversion
or Exercise
Price of
Derivative

5. Ownership
Form of
Derivative
Security:

6. Nature of Indirect
Beneficial
Ownership
(Instr. 5)

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	Date Exercisable	Expiration Date	Title	Amount or Number of Shares	Security	Direct (D) or Indirect (I) (Instr. 5)	
Employee Stock Options	Â (3)	06/29/2028	Common Stock	99,250	\$ 22.67	D	Â
Employee Stock Options (4)	07/01/2021	07/01/2028	Common Stock	33,363	\$ 22.48	D	Â

Reporting Owners

Reporting Owner Name / Address	Relationships			
	Director	10% Owner	Officer	Other
Zurbay Donald 1031 MENDOTA HEIGHTS ST. PAUL, MN 55120	Â	Â	Â Chief Financial Officer	Â

Signatures

Les B. Korsh, by Power of Attorney
07/03/2018

__Signature of Reporting Person

Date

Explanation of Responses:

* If the form is filed by more than one reporting person, *see* Instruction 5(b)(v).

** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. *See* 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Represents an inducement award of Restricted Stock Units ("RSUs") granted outside the Patterson Companies, Inc. 2015 Omnibus Incentive Plan ("Plan") to Reporting Person on 6/29/2018. The RSUs awarded vest, assuming continued employment, 50% on the first anniversary of the date of the grant and the remaining 50% on the second anniversary of the date of the grant.

Represents RSUs awarded on 7/1/2018 to Reporting Person pursuant to the Plan. The award vests, contingent upon continued employment, in 5 equal annual installments commencing on the one year anniversary of the date of the grant and each anniversary thereafter

Represents an inducement award of stock options granted outside the Plan on 6/29/2018. The stock options granted would vest pro-rata over the course of 3 years, with one-third of the shares vesting on 6/29/2019, one-third vesting on 6/29/2020, and the remaining one-third vesting 6/29/2021, in all cases subject to continued employment.

(4) Stock Options granted pursuant to the Plan on 7/1/2018.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *See* Instruction 6 for procedure.

Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.