Edgar Filing: Clifford Deborah - Form 4

Clifford Deborah Form 4												
October 19, 2018									OMB A	PPROVA	۸L	
	STATES	S SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549						01	MB umber:	3235-	-0287	
Check this box if no longer subject to Section 16. Form 4 or Form 5 obligations may continue. <i>See</i> Instruction 1(b).	F CHANGES IN BENEFICIAL OWNERSHIP OF SECURITIES Section 16(a) of the Securities Exchange Act of 1934, Public Utility Holding Company Act of 1935 or Sectior of the Investment Company Act of 1940						F Es bu res	xpires: stimated a rden hou sponse	•			
(Print or Type Respor	ises)											
1. Name and Address of Reporting Person <u>*</u> Clifford Deborah			2. Issuer Name and Ticker or Trading Symbol HARMONIC INC [HLIT]				5. Relationship of Reporting Person(s) to Issuer (Check all applicable)					
(Last) (First) (Middle) 4300 NORTH FIRST STREET			3. Date of Earliest Transaction (Month/Day/Year) 10/18/2018				X_ Director 10% Owner Officer (give title Other (specify below) below)					
(Street) SAN JOSE, CA 95134			4. If Amendment, Date Original Filed(Month/Day/Year)				6. Individual or Joint/Group Filing(Check Applicable Line) _X_ Form filed by One Reporting Person Form filed by More than One Reporting Person					
(City) (S	State)	(Zip)	Tab	le I - Non-	Deriva	tive S	ecurities A	cquired, Disposed	of, or l	Beneficia	lly Owne	d
	nsaction Date h/Day/Year)	2A. Deeme Execution any (Month/Da	Date, if	3. Transactic Code (Instr. 8) Code V	onAcqu Dispo (Instr	osed of . 3, 4 a (A) or f (D)	5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4)	Form:		7. Nature Indirect Beneficia Ownersh (Instr. 4)	al iip
Reminder: Report on	a separate line	for each cla	ass of sec		eficially Pe int re dis	owne erson forma quire	ed directly of s who res ation cont d to respo s a currer	or indirectly. pond to the colle ained in this forr ond unless the fo ntly valid OMB co	n are r orm		SEC 1474 (9-02)	

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

1. Title of	2.	3. Transaction Date	3A. Deemed	4.	5. Number of	6. Date Exercisable and	7. Title and Amount
Derivative	Conversion	(Month/Day/Year)	Execution Date, if	TransactionDerivative		Expiration Date	Underlying Securitie
Security	or Exercise		any	Code	Securities	(Month/Day/Year)	(Instr. 3 and 4)
(Instr. 3)	Price of		(Month/Day/Year)	(Instr. 8)	Acquired (A)		

	Derivative Security				or Dispose (D) (Instr. 3, 4 and 5)					
			Code	V	(A)	(D)	Date Exercisable	Expiration Date	Title	Amou or Numb of Sha
Restricted Stock Units (1)	\$ 0	10/18/2018	А		29,795		10/18/2019 <u>(2)</u>	10/18/2021	Common Stock	29,7

Reporting Owners

Reporting Owner Name / Address	Relationships								
	Director	10% Owner	Officer	Other					
Clifford Deborah 4300 NORTH FIRST STREET SAN JOSE, CA 95134	Х								
Signatures									
/s/ Laura Donovan By Attorney-in-Fact Laura									
Donovan	10/19/2018								

**Signature of Reporting Person

Date

Explanation of Responses:

If the form is filed by more than one reporting person, see Instruction 4(b)(v). *

- ** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) Each restricted stock unit represents a contingent right to receive one share of HLIT common stock.
- The shares subject to the restricted stock units are scheduled to vest in three equal parts on October 18, 2019, October 18, 2020, and (2)October 18, 2021. Vested shares will be delivered to the reporting person on or immediately following each vest date.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, see Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.