Thai-Tang Hau N Form 4 March 05, 2019

## FORM 4

# UNITED STATES SECURITIES AND EXCHANGE COMMISSION

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF

Washington, D.C. 20549

if no longer subject to Section 16. Form 4 or Form 5

Check this box

**SECURITIES** 

obligations may continue. See Instruction 1(b).

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940

(Print or Type Responses)

1. Name and Address of Reporting Person \* Thai-Tang Hau N

2. Issuer Name and Ticker or Trading

5. Relationship of Reporting Person(s) to Issuer

**OMB APPROVAL** 

3235-0287

January 31,

2005

0.5

**OMB** 

Number:

Expires:

response...

Estimated average

burden hours per

FORD MOTOR CO [F]

Symbol

(Check all applicable)

(Last) (First) (Middle) 3. Date of Earliest Transaction

(Month/Day/Year) 03/02/2019

Director 10% Owner Other (specify \_X\_\_ Officer (give title

ONE AMERICAN ROAD

(Street)

4. If Amendment, Date Original

Chief PD & Purchasing Officer

below)

Filed(Month/Day/Year)

6. Individual or Joint/Group Filing(Check Applicable Line)

\_X\_ Form filed by One Reporting Person Form filed by More than One Reporting

Person

below)

DEARBORN, MI 48126

(City)	(State)	(Zip) Tabl	le I - Non-D	erivative S	Securi	ties Acq	quired, Disposed	of, or Beneficia	lly Owned
1.Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)	2A. Deemed Execution Date, if any (Month/Day/Year)	3. 4. Securities Acquired Transaction(A) or Disposed of (D) Code (Instr. 3, 4 and 5) (Instr. 8)  (A) or			5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4)	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Indirect Beneficial Ownership (Instr. 4)	
Common Stock, \$0.01 par value	03/02/2019		Code V  M(1)	Amount 57,877	(D)	Price (1)	151,483	D	
Common Stock, \$0.01 par value	03/03/2019		M <u>(1)</u>	13,811	A	(1)	165,294	D	
Common Stock, \$0.01 par value	03/03/2019		M(2)	46,307	A	(2)	211,601	D	

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Common Stock, \$0.01 par value	03/04/2019	F(3)	34,339	D	\$ 8.79	177,262	D	
Common Stock, \$0.01 par value						4,716	I	By Company Plan
Common Stock, \$0.01 par value						102,905	I	By Spouse as Trustee (4)

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

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SEC 1474 (9-02)

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transactio Code (Instr. 8)	5. Number of on Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)		6. Date Exercisable and Expiration Date (Month/Day/Year)		7. Title and Amount of Underlying Securities (Instr. 3 and 4)	
				Code V	(A)	(D)	Date Exercisable	Expiration Date	Title	Amount Number Shares
Ford Stock Units	(1)	03/03/2019		M <u>(1)</u>	,	13,811	<u>(1)</u>	<u>(1)</u>	Common Stock, \$0.01 par value	13,81
Ford Stock Units	(1)	03/02/2019		M <u>(1)</u>		14,597	<u>(1)</u>	<u>(1)</u>	Common Stock, \$0.01 par value	14,59′
Ford Stock Units	(1)	03/02/2019		M <u>(1)</u>		43,280	<u>(1)</u>	<u>(1)</u>	Common Stock, \$0.01 par value	43,280
Ford Stock Units	<u>(5)</u>	03/04/2019		A(5)	173,439		<u>(5)</u>	<u>(5)</u>	Common Stock, \$0.01 par value	173,43

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## **Reporting Owners**

Reporting Owner Name / Address Relationships

Director 10% Owner Officer Other

Thai-Tang Hau N

ONE AMERICAN ROAD Chief PD & Purchasing Officer DEARBORN, MI 48126

**Signatures** 

Jerome F. Zaremba, Attorney-in-Fact 03/05/2019

\*\*Signature of Reporting Person Date

## **Explanation of Responses:**

- \* If the form is filed by more than one reporting person, *see* Instruction 4(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) The reported transaction involved the conversion, without payment by me, of Ford Stock Units into shares of Common Stock under the Company's Long-Term Incentive Plan.
- (2) These shares were acquired under the Company's Long-Term Incentive Plan without payment by me and are a final award related to a 2016 performance-based restricted stock unit opportunity.
- These shares were withheld by the Company to cover my income tax liability relating to March 2, 2019 and March 3, 2019 vesting of
- (3) awards of Common Stock under the Company's Long-Term Incentive Plan. The amount withheld for taxes for each award was determined using the closing price of Ford stock on March 1, 2019.
- (4) These shares are held by my spouse as Trustee.
- These Ford Restricted Stock Units were acquired under the Company's Long-Term Incentive Plan without payment by me. These Ford (5) Restricted Stock Units will be converted and distributed to me, without payment, in shares of Common Stock to the extent of 33% after
- (5) Restricted Stock Units will be converted and distributed to me, without payment, in shares of Common Stock to the extent of 33% after one year from the date of grant (03/04/2019), 66% after two years, and in full after three years.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.

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