

Edgar Filing: Clough Global Allocation Fund - Form NSAR-A

Clough Global Allocation Fund
Form NSAR-A
November 29, 2012

PAGE 1
000 A000000 09/30/2012
000 C000000 0001288795
000 D000000 N
000 E000000 NF
000 F000000 Y
000 G000000 N
000 H000000 N
000 I000000 6.1
000 J000000 A
001 A000000 CLOUGH GLOBAL ALLOCATION FUND
001 B000000 811-21583
001 C000000 8772568445
002 A000000 1290 BROADWAY, SUITE 1100
002 B000000 DENVER
002 C000000 CO
002 D010000 80203
003 000000 N
004 000000 N
005 000000 N
006 000000 N
007 A000000 N
007 B000000 0
008 A000001 CLOUGH CAPITAL PARTNERS
008 B000001 A
008 C000001 801-63142
008 D010001 BOSTON
008 D020001 MA
008 D030001 02109
010 A000001 ALPS FUND SERVICES, INC.
010 B000001 84-5730
010 C010001 DENVER
010 C020001 CO
010 C030001 80203
011 A000001 MERRILL LYNCH
011 B000001 8-0114235
011 C010001 NEW YORK
011 C020001 NY
011 C030001 10080
012 A000001 COMPUTERSHARE SHAREOWNER SERVICES LLC
012 B000001 84-05579
012 C010001 JERSEY CITY
012 C020001 NJ
012 C030001 07310
013 A000001 COHEN FUND AUDIT SERVICES, LTD.
013 B010001 WESTLAKE
013 B020001 OH
013 B030001 44145
015 A000001 BANK OF NEW YORK MELLON
015 B000001 C
015 C010001 NEW YORK
015 C020001 NY
PAGE 2
015 C030001 10286
015 E010001 X
015 A000002 HSBC Bank Malaysia
015 B000002 S
015 C010002 Kuala Lumpur
015 D010002 Malaysia Berhad

Edgar Filing: Clough Global Allocation Fund - Form NSAR-A

015 D020002 206-001
015 E040002 X
015 A000003 Clearstream Banking Frankfurt
015 B000003 S
015 C010003 Frankfurt
015 D010003 Germany
015 D020003 7297
015 E040003 X
015 A000004 DBS Bank Ltd., Singapore
015 B000004 S
015 C010004 The Comtech
015 D010004 Singapore
015 D020004 118502
015 E040004 X
015 A000005 HSBC Hong Kong
015 B000005 S
015 C010005 Kowloon
015 D010005 Hong Kong
015 D020005 C00019
015 E040005 X
015 A000006 CIBC Mellon Trust Company
015 B000006 S
015 C010006 Toronto
015 D010006 Canada
015 D020006 M5H 4A6
015 E040006 X
015 A000007 HSBC Seoul
015 B000007 S
015 C010007 Bongrae-dong
015 D010007 South Korea
015 D020007 1-ga Seoul
015 E040007 X
015 A000008 HSBC Manila
015 B000008 S
015 C010008 Taguig City
015 D010008 Philippines
015 D020008 1634
015 E040008 X
015 A000009 Citibank N.A., Brazilian Branch
015 B000009 S
015 C010009 Sao Paulo
015 D010009 Brazil
015 D020009 01311
015 E040009 X
018 000000 Y
PAGE 3
019 A000000 N
019 B000000 0
020 A000001 KNIGHT EQUITY MARKETS, LP
020 B000001 22-3660471
020 C000001 60
020 A000002 MERRILL LYNCH & CO., INC.
020 B000002 13-2740599
020 C000002 34
020 A000003 STATE STREET GLOBAL MARKETS, LLC
020 B000003 04-3464336
020 C000003 30
020 A000004 CREDIT SUISSE SECURITIES (USA) LLC
020 B000004 05-0546650
020 C000004 23
020 A000005 SUSQUEHANNA FINANCIAL GROUP LLLP
020 B000005 23-2626048

Edgar Filing: Clough Global Allocation Fund - Form NSAR-A

020 C000005 21
020 A000006 BARCLAYS CAPITAL, INC.
020 B000006 06-1031656
020 C000006 18
020 A000007 UBS FINANCIAL SERVICES, INC.
020 B000007 13-2638166
020 C000007 16
020 A000008 GOLDMAN SACHS & CO.
020 B000008 13-5108880
020 C000008 15
020 A000009 BNY CONVERGEX EXECUTION SOLUTIONS LLC
020 B000009 13-3989198
020 C000009 14
020 A000010 BNP PARIBAS SECURITIES CORP.
020 B000010 13-3459854
020 C000010 14
021 000000 399
022 A000001 DREYFUS TREASURY PRIME CASH MANAGEMENT
022 C000001 87162
022 D000001 96399
022 A000002 LEGG MASON WOOD WALKER, INC.
022 B000002 52-0902557
022 C000002 22482
022 D000002 30528
022 A000003 RAYMOND JAMES & ASSOCIATES, INC.
022 B000003 59-1237041
022 C000003 13711
022 D000003 11597
022 A000004 MORGAN STANLEY & CO., INC.
022 B000004 13-2655998
022 C000004 17736
022 D000004 5581
022 A000005 STIFEL, NICOLAUS & CO., INC.
022 B000005 43-0538770
022 C000005 7752
PAGE 4
022 D000005 7745
022 A000006 CREDIT SUISSE SECURITIES (USA) LLC
022 B000006 05-0546650
022 C000006 5757
022 D000006 5542
022 A000007 MORGAN STANLEY INSTITUTIONAL LIQUIDITY FUND
022 C000007 4533
022 D000007 4533
022 A000008 BARCLAYS CAPITAL, INC.
022 B000008 06-1031656
022 C000008 3766
022 D000008 0
022 A000009 ITAU BBA USA SECURITIES, INC.
022 B000009 13-4197122
022 C000009 1262
022 D000009 0
022 A000010 JP MORGAN CHASE & CO.
022 B000010 13-2624428
022 C000010 1113
022 D000010 0
023 C000000 166910
023 D000000 162540
024 000000 Y
025 A000001 JP MORGAN CHASE & CO.
025 B000001 13-2624428
025 C000001 E

Edgar Filing: Clough Global Allocation Fund - Form NSAR-A

025 D000001 571
025 A000002 GOLDMAN SACHS & CO.
025 B000002 13-5108880
025 C000002 E
025 D000002 931
025 A000003 DREYFUS TREASURY PRIME CASH MANAGEMENT
025 B000003 -
025 C000003 D
025 D000003 7398
025 A000004 BANK OF AMERICA NA
025 B000004 94-1687665
025 C000004 E
025 D000004 6283
025 A000005 CITIGROUP GLOBAL MARKETS, INC.
025 B000005 11-2418191
025 C000005 E
025 D000005 5594
026 A000000 N
026 B000000 Y
026 C000000 Y
026 D000000 Y
026 E000000 Y
026 F000000 N
026 G010000 N
026 G020000 N
026 PAGE 5
026 H000000 N
027 000000 N
045 000000 Y
046 000000 N
047 000000 Y
048 000000 0.700
048 A010000 0
048 A020000 0.000
048 B010000 0
048 B020000 0.000
048 C010000 0
048 C020000 0.000
048 D010000 0
048 D020000 0.000
048 E010000 0
048 E020000 0.000
048 F010000 0
048 F020000 0.000
048 G010000 0
048 G020000 0.000
048 H010000 0
048 H020000 0.000
048 I010000 0
048 I020000 0.000
048 J010000 0
048 J020000 0.000
048 K010000 0
048 K020000 0.000
049 000000 N
050 000000 N
051 000000 N
052 000000 N
053 A000000 N
054 A000000 Y
054 B000000 Y
054 C000000 Y

Edgar Filing: Clough Global Allocation Fund - Form NSAR-A

054 D000000 Y
054 E000000 Y
054 F000000 N
054 G000000 Y
054 H000000 Y
054 I000000 N
054 J000000 Y
054 K000000 Y
054 L000000 N
054 M000000 Y
054 N000000 N
054 O000000 Y
055 A000000 N
055 B000000 Y
056 000000 Y

PAGE 6

057 000000 N
058 A000000 N
059 000000 Y
060 A000000 N
060 B000000 N
061 000000

0

062 A000000 N
062 B000000 0.0
062 C000000 0.0
062 D000000 0.0
062 E000000 0.0
062 F000000 0.0
062 G000000 0.0
062 H000000 0.0
062 I000000 0.0
062 J000000 0.0
062 K000000 0.0
062 L000000 0.0
062 M000000 0.0
062 N000000 0.0
062 O000000 0.0
062 P000000 0.0
062 Q000000 0.0
062 R000000 0.0

066 A000000 Y
066 B000000 N
066 C000000 N
066 D000000 N
066 E000000 N
066 F000000 N
066 G000000 Y
067 000000 Y
068 A000000 N
068 B000000 N
069 000000 N
070 A010000 Y
070 A020000 N
070 B010000 Y
070 B020000 Y
070 C010000 Y
070 C020000 N
070 D010000 Y
070 D020000 N
070 E010000 Y
070 E020000 N
070 F010000 Y

Edgar Filing: Clough Global Allocation Fund - Form NSAR-A

| | | | |
|-------|---------|-----|--------|
| 070 | F020000 | N | |
| 070 | G010000 | Y | |
| 070 | G020000 | N | |
| 070 | H010000 | Y | |
| 070 | H020000 | N | |
| | PAGE | 7 | |
| 070 | I010000 | N | |
| 070 | I020000 | N | |
| 070 | J010000 | Y | |
| 070 | J020000 | N | |
| 070 | K010000 | Y | |
| 070 | K020000 | Y | |
| 070 | L010000 | Y | |
| 070 | L020000 | Y | |
| 070 | M010000 | Y | |
| 070 | M020000 | Y | |
| 070 | N010000 | Y | |
| 070 | N020000 | Y | |
| 070 | O010000 | Y | |
| 070 | O020000 | Y | |
| 070 | P010000 | N | |
| 070 | P020000 | N | |
| 070 | Q010000 | N | |
| 070 | Q020000 | N | |
| 070 | R010000 | Y | |
| 070 | R020000 | Y | |
| 071 | A000000 | | 226837 |
| 071 | B000000 | | 225720 |
| 071 | C000000 | | 219222 |
| 071 | D000000 | 103 | |
| 072 | A000000 | 6 | |
| 072 | B000000 | | 370 |
| 072 | C000000 | | 2719 |
| 072 | D000000 | | 0 |
| 072 | E000000 | | 46 |
| 072 | F000000 | | 1056 |
| 072 | G000000 | | 430 |
| 072 | H000000 | | 0 |
| 072 | I000000 | | 0 |
| 072 | J000000 | | 0 |
| 072 | K000000 | | 0 |
| 072 | L000000 | | 0 |
| 072 | M000000 | | 68 |
| 072 | N000000 | | 0 |
| 072 | O000000 | | 0 |
| 072 | P000000 | | 856 |
| 072 | Q000000 | | 0 |
| 072 | R000000 | | 0 |
| 072 | S000000 | | 17 |
| 072 | T000000 | | 0 |
| 072 | U000000 | | 0 |
| 072 | V000000 | | 0 |
| 072 | W000000 | | 478 |
| 072 | X000000 | | 2905 |
| 072 | Y000000 | | 0 |
| 072 | Z000000 | | 230 |
| 072AA | 000000 | | 564 |
| | PAGE | 8 | |
| 072BB | 000000 | | 0 |
| 072CC | 010000 | | 0 |
| 072CC | 020000 | | 1276 |
| 072DD | 010000 | | 6261 |

Edgar Filing: Clough Global Allocation Fund - Form NSAR-A

| | |
|-------------|--------------|
| 072DD020000 | 0 |
| 072EE000000 | 0 |
| 073 A010000 | 0.6000 |
| 073 A020000 | 0.0000 |
| 073 B000000 | 0.0000 |
| 073 C000000 | 0.0000 |
| 074 A000000 | 92 |
| 074 B000000 | 0 |
| 074 C000000 | 17995 |
| 074 D000000 | 33614 |
| 074 E000000 | 702 |
| 074 F000000 | 189966 |
| 074 G000000 | 2 |
| 074 H000000 | 0 |
| 074 I000000 | 3856 |
| 074 J000000 | 12209 |
| 074 K000000 | 0 |
| 074 L000000 | 720 |
| 074 M000000 | 50539 |
| 074 N000000 | 309695 |
| 074 O000000 | 5061 |
| 074 P000000 | 247 |
| 074 Q000000 | 0 |
| 074 R010000 | 0 |
| 074 R020000 | 51100 |
| 074 R030000 | 68 |
| 074 R040000 | 89841 |
| 074 S000000 | 0 |
| 074 T000000 | 163378 |
| 074 U010000 | 10435 |
| 074 U020000 | 0 |
| 074 V010000 | 15.66 |
| 074 V020000 | 0.00 |
| 074 W000000 | 0.0000 |
| 074 X000000 | 8 |
| 074 Y000000 | 200518 |
| 075 A000000 | 0 |
| 075 B000000 | 160825 |
| 076 000000 | 13.54 |
| 077 A000000 | N |
| 078 000000 | N |
| 080 C000000 | 0 |
| 081 B000000 | 0 |
| 086 A010000 | 0 |
| 086 A020000 | 0 |
| 086 B010000 | 0 |
| 086 B020000 | 0 |
| PAGE 9 | |
| 086 C010000 | 0 |
| 086 C020000 | 0 |
| 086 D010000 | 0 |
| 086 D020000 | 0 |
| 086 E010000 | 0 |
| 086 E020000 | 0 |
| 086 F010000 | 0 |
| 086 F020000 | 0 |
| 087 A010000 | COMMON STOCK |
| 087 A020000 | 18913Y103 |
| 087 A030000 | GLV |
| 088 A000000 | Y |
| 088 B000000 | N |
| 088 C000000 | N |

Edgar Filing: Clough Global Allocation Fund - Form NSAR-A

088 D000000 N

SIGNATURE Dawn Cotten

TITLE Assistant Treasurer