

TURNER JAMES L  
Form 4  
August 15, 2006

**FORM 4**

**UNITED STATES SECURITIES AND EXCHANGE COMMISSION  
Washington, D.C. 20549**

OMB APPROVAL

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Check this box if no longer subject to Section 16. Form 4 or Form 5 obligations may continue. See Instruction 1(b).

**STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF SECURITIES**

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940

(Print or Type Responses)

1. Name and Address of Reporting Person \*  
TURNER JAMES L

2. Issuer Name and Ticker or Trading Symbol  
Duke Energy CORP [DUK]

5. Relationship of Reporting Person(s) to Issuer

(Check all applicable)

(Last) (First) (Middle)  
526 S. CHURCH STREET

3. Date of Earliest Transaction (Month/Day/Year)  
08/11/2006

\_\_\_\_ Director \_\_\_\_\_ 10% Owner  
 Officer (give title below) \_\_\_\_\_ Other (specify below)  
Group Executive & CCO-FE&G

(Street)  
CHARLOTTE, NC 28202

4. If Amendment, Date Original Filed(Month/Day/Year)

6. Individual or Joint/Group Filing(Check Applicable Line)  
 Form filed by One Reporting Person  
\_\_\_\_ Form filed by More than One Reporting Person

(City) (State) (Zip)

**Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned**

| 1. Title of Security (Instr. 3) | 2. Transaction Date (Month/Day/Year) | 2A. Deemed Execution Date, if any (Month/Day/Year) | 3. Transaction Code (Instr. 8) | 4. Securities Acquired (A) or Disposed of (D) (Instr. 3, 4 and 5) | 5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4) | 6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4) | 7. Nature of Indirect Beneficial Ownership (Instr. 4) |
|---------------------------------|--------------------------------------|--|--------------------------------|---|---|--|---|
|                                 |                                      |  | Code                           | V   | Amount  | (A) or (D)   | Price   |
| Common Stock                    | 08/11/2006                           | 08/11/2006   | M                              |   | 36,236  | A  | \$ 15.264   |
|                                 |                                      |  |                                |   |   |  | 63,018.9956   |
| Common Stock                    | 08/11/2006                           | 08/11/2006   | M                              |   | 31,824  | A  | \$ 15.625   |
|                                 |                                      |  |                                |   |   |  | 94,842.9956   |
| Common Stock                    | 08/11/2006                           | 08/11/2006   | M                              |   | 12,480  | A  | \$ 17.488   |
|                                 |                                      |  |                                |   |   |  | 107,322.9956  |
| Common Stock                    | 08/11/2006                           | 08/11/2006   | M                              |   | 13,100  | A  | \$ 15.264   |
|                                 |                                      |  |                                |   |   |  | 120,422.9956  |
| Common Stock                    | 08/11/2006                           | 08/11/2006   | M                              |   | 3,588   | A  | \$ 21.715   |
|                                 |                                      |  |                                |   |   |  | 124,010.9956  |
|                                 | 08/11/2006                           | 08/11/2006   | M                              |   | 3,588   | A  |   |
|                                 |                                      |  |                                |   |   |  | 127,598.9956  |

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|              |            |            |   |        |   |          |              |   |  |           |
|--------------|------------|------------|---|--------|---|----------|--------------|---|--|-----------|
| Common Stock |            |            |   |        |   | \$       |              |   |  |           |
|              |            |            |   |        |   | 21.875   |              |   |  |           |
| Common Stock | 08/11/2006 | 08/11/2006 | F | 12,006 | D | \$ 29.68 | 115,592.9956 | D |  |           |
| Common Stock | 08/11/2006 | 08/11/2006 | S | 2,100  | D | \$ 29.58 | 113,492.9956 | D |  |           |
| Common Stock | 08/11/2006 | 08/11/2006 | S | 6,000  | D | \$ 29.59 | 107,492.9956 | D |  |           |
| Common Stock | 08/11/2006 | 08/11/2006 | S | 32,624 | D | \$ 29.6  | 74,868.9956  | D |  |           |
| Common Stock | 08/11/2006 | 08/11/2006 | S | 17,900 | D | \$ 29.61 | 56,968.9956  | D |  |           |
| Common Stock | 08/11/2006 | 08/11/2006 | S | 8,600  | D | \$ 29.63 | 48,368.9956  | D |  |           |
| Common Stock | 08/11/2006 | 08/11/2006 | S | 4,100  | D | \$ 29.65 | 44,268.9956  | D |  |           |
| Common Stock | 08/11/2006 | 08/11/2006 | S | 200    | D | \$ 29.67 | 44,068.9956  | D |  |           |
| Common Stock | 08/11/2006 | 08/11/2006 | S | 1,980  | D | \$ 29.68 | 42,088.9956  | D |  |           |
| Common Stock | 08/11/2006 | 08/11/2006 | S | 7,036  | D | \$ 29.62 | 35,052.9956  | D |  |           |
| Common Stock |            |            |   |        |   |          | 4,491.5542   | I |  | By 401(k) |
| Common Stock |            |            |   |        |   |          | 50,388       | I |  | By Spouse |

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

**Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control number.**

SEC 1474  
(9-02)

**Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned**  
(e.g., puts, calls, warrants, options, convertible securities)

| 1. Title of Derivative Security (Instr. 3) | 2. Conversion or Exercise Price of Derivative Security | 3. Transaction Date (Month/Day/Year) | 3A. Deemed Execution Date, if any (Month/Day/Year) | 4. Transaction Code (Instr. 8) | 5. Number of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5) | 6. Date Exercisable and Expiration Date (Month/Day/Year) | 7. Title and Amount of Underlying Securities (Instr. 3 and 4) |
|--|--|--------------------------------------|--|--------------------------------|---|--|---|
|  |  |                                      |  | Code                           | V (A) (D)   |  | Title   |

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|                                      |           |            |            |   |        | Date<br>Exercisable | Expiration<br>Date |                 | Amount<br>or<br>Number<br>of Shares |
|--------------------------------------|-----------|------------|------------|---|--------|---------------------|--------------------|-----------------|-------------------------------------|
| Stock<br>Option<br>(Right to<br>buy) | \$ 21.715 | 08/11/2006 | 08/11/2006 | M | 3,588  | 04/16/2000          | 04/16/2007         | Common<br>Stock | 3,588                               |
| Stock<br>Option<br>(Right to<br>buy) | \$ 21.875 | 08/11/2006 | 08/11/2006 | M | 3,588  | 01/01/2002          | 01/01/2009         | Common<br>Stock | 3,588                               |
| Stock<br>Option<br>(Right to<br>buy) | \$ 15.264 | 08/11/2006 | 08/11/2006 | M | 36,236 | (1)                 | 12/14/2009         | Common<br>Stock | 36,236                              |
| Stock<br>Option<br>(Right to<br>buy) | \$ 15.264 | 08/11/2006 | 08/11/2006 | M | 13,100 | (1)                 | 12/14/2009         | Common<br>Stock | 13,100                              |
| Stock<br>Option<br>(Right to<br>buy) | \$ 15.625 | 08/11/2006 | 08/11/2006 | M | 31,824 | 01/19/2003          | 01/19/2010         | Common<br>Stock | 31,824                              |
| Stock<br>Option<br>(Right to<br>buy) | \$ 17.488 | 08/11/2006 | 08/11/2006 | M | 12,480 | 07/18/2003          | 07/18/2010         | Common<br>Stock | 12,480                              |

## Reporting Owners

| Reporting Owner Name / Address                                | Relationships |           |                            |       |
|---|---------------|-----------|----------------------------|-------|
|   | Director      | 10% Owner | Officer                    | Other |
| TURNER JAMES L<br>526 S. CHURCH STREET<br>CHARLOTTE, NC 28202 |               |           | Group Executive & CCO-FE&G |       |

## Signatures

By: David S. Maltz,  
attorney-in-fact

08/15/2006

\_\_Signature of Reporting Person

Date

## Explanation of Responses:

\* If the form is filed by more than one reporting person, see Instruction 4(b)(v).

\*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

(1)

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The reporting person was granted 50,000 stock options on December 14, 1999. The options became exercisable in five equal annual installments.

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