

Giesige Charles R  
 Form 4  
 May 22, 2013

**FORM 4**

**UNITED STATES SECURITIES AND EXCHANGE COMMISSION  
 Washington, D.C. 20549**

OMB APPROVAL

OMB Number: 3235-0287  
 Expires: January 31, 2005  
 Estimated average burden hours per response... 0.5

Check this box if no longer subject to Section 16. Form 4 or Form 5 obligations may continue. See Instruction 1(b).

**STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF SECURITIES**

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940

(Print or Type Responses)

1. Name and Address of Reporting Person \*  
 Giesige Charles R

2. Issuer Name and Ticker or Trading Symbol  
 COLUMBUS MCKINNON CORP  
 [CMCO]

5. Relationship of Reporting Person(s) to Issuer

(Check all applicable)

(Last) (First) (Middle)

3. Date of Earliest Transaction  
 (Month/Day/Year)  
 05/18/2013

\_\_\_\_ Director \_\_\_\_\_ 10% Owner  
 Officer (give title below) \_\_\_\_\_ Other (specify below)  
 VP Corporate Development

140 JOHN JAMES AUDUBON PARKWAY

(Street)

4. If Amendment, Date Original Filed(Month/Day/Year)

6. Individual or Joint/Group Filing(Check Applicable Line)  
 Form filed by One Reporting Person  
 Form filed by More than One Reporting Person

AMHERST, NY 14228

(City) (State) (Zip)

**Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned**

| 1. Title of Security (Instr. 3) | 2. Transaction Date (Month/Day/Year) | 2A. Deemed Execution Date, if any (Month/Day/Year) | 3. Transaction Code (Instr. 8) | 4. Securities Acquired (A) or Disposed of (D) (Instr. 3, 4 and 5) | 5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4) | 6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4) | 7. Nature of Ownership (Instr. 4) |
|---------------------------------|--------------------------------------|--|--------------------------------|---|---|--|-----------------------------------|
|                                 |                                      |  | Code                           | V   | Amount or Price   |  |                                   |
| Common Stock                    |                                      |  |                                |   | 13,397  | D  |                                   |
| Common Stock                    | 05/18/2013                           |  | F                              |   | 452 <sup>(1)</sup>  | D  | \$ 18.95 12,945 D                 |
| Common Stock                    | 05/19/2013                           |  | F                              |   | 33 <sup>(2)</sup>   | D  | \$ 18.95 12,912 D                 |
| Common Stock                    | 05/20/2013                           |  | A                              |   | 2,060 <sup>(3)</sup>  | A  | \$ 0 14,972 D                     |
| Common Stock                    | 05/20/2013                           |  | A                              |   | 4,934 <sup>(13)</sup>   | A  | \$ 0 19,906 <sup>(4)</sup> D      |

Edgar Filing: Giesige Charles R - Form 4

Common  
Stock

737.9507 <sup>(5)</sup> D

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

**Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control number.**

SEC 1474  
(9-02)

**Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned**  
(e.g., puts, calls, warrants, options, convertible securities)

| 1. Title of Derivative Security (Instr. 3) | 2. Conversion or Exercise Price of Derivative Security | 3. Transaction Date (Month/Day/Year) | 3A. Deemed Execution Date, if any (Month/Day/Year) | 4. Transaction Code (Instr. 8) | 5. Number of Derivative Securities (A) or Disposed of (D) (Instr. 3, 4, and 5) | 6. Date Exercisable and Expiration Date (Month/Day/Year) | 7. Title and Amount of Underlying Security (Instr. 3 and 4) | 8. Amount or Number of Shares |                            |
|--|--|--------------------------------------|--|--------------------------------|--|--|---|-------------------------------|----------------------------|
|  |  |                                      |  | Code                           | V (A) (D)  | Date Exercisable   | Expiration Date   | Title                         | Amount or Number of Shares |
| Non-Qualified Stock Options (Right to Buy) | \$ 28.45   |                                      |  |                                |  | 05/19/2009   | 05/18/2018  | Common Stock                  | 52,000                     |
| Incentive Stock Options (Right to Buy)     | \$ 19.15   |                                      |  |                                |  | 01/19/2010   | 07/31/2016  | Common Stock                  | 10,000                     |
| Non-Qualified Stock Options (Right to Buy) | \$ 13.27   |                                      |  |                                |  | 05/18/2010   | 05/17/2019  | Common Stock                  | 8,500                      |
| Non-Qualified Stock Options (Right to Buy) | \$ 18.24   |                                      |  |                                |  | 05/17/2011   | 05/16/2020  | Common Stock                  | 6,200                      |
| Non-Qualified Stock Options (Right to Buy) | \$ 19.5  |                                      |  |                                |  | 05/23/2012   | 05/22/2021  | Common Stock                  | 5,700                      |
| Non-Qualified Stock Option (Right to Buy)  | \$ 13.43   |                                      |  |                                |  | 05/21/2013   | 05/20/2022  | Common Stock                  | 9,400                      |
| Non-Qualified Stock Options (Right to Buy) | \$ 18.95   | 05/20/2013                           |  | A                              | 4,346  | <sup>(12)</sup>  | 05/20/2023  | Common Stock                  | 4,346 <sup>(1)</sup>       |

## Reporting Owners

| Reporting Owner Name / Address   | Relationships |           |                             |       |
|--|---------------|-----------|-----------------------------|-------|
|  | Director      | 10% Owner | Officer                     | Other |
| Giesige Charles R<br>140 JOHN JAMES AUDUBON PARKWAY<br>AMHERST, NY 14228 |               |           | VP Corporate<br>Development |       |

## Signatures

Charles R.  
Giesige

05/22/2013

Signature of  
Reporting Person

Date

## Explanation of Responses:

- \* If the form is filed by more than one reporting person, *see* Instruction 4(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. *See* 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) Represents shares withheld to satisfy tax withholding obligation upon vesting of 1,136 restricted stock units on 5/18/2013.
- (2) Represents shares withheld to satisfy tax withholding obligation upon vesting of 92 restricted stock units on 5/19/2013.
- (3) Represents restricted stock units issued to reporting person under the Columbus McKinnon Corporation 2010 Long Term Incentive Plan dated as of July 26, 2010, subject to forfeiture in whole or part; units become fully vested and non-forfeitable 25% per year for four years beginning 5/20/2014, if reporting person remains a director of issuer.  
Includes 14,820 shares of restricted stock issued to reporting person subject to forfeiture in whole or part; 824 shares become fully vested and non-forfeitable on 5/17/2014; 2,280 shares become fully vested and non-forfeitable 33.33% per year for three years beginning 5/23/2013; 4,722 shares become fully vested and non-forfeitable 25% per year for four years beginning 5/21/2013; 2,060 shares become fully vested and non-forfeitable 25% per year for four years beginning 5/20/2014; and the remaining 4,934 shares become fully vested and non-forfeitable on 5/21/2015, if reporting person remains an employee of issuer.
- (5) Reports shares allocated to account of reporting person under the Columbus McKinnon Corporation Employee Stock Ownership Plan, as amended (the "ESOP").
- (6) All exercisable, subject to IRS limitations.
- (7) All exercisable, subject to IRS limitations.
- (8) Exercisable 25% per year for four years beginning 5/18/2010, if reporting person remains an employee of issuer.
- (9) Exercisable 25% per year for four years beginning 5/17/2011, if reporting person remains an employee of issuer.
- (10) Exercisable 25% per year for four years beginning 5/23/2012, if reporting person remains an employee of issuer.
- (11) Exercisable 25 % per year for four years beginning 5/21/2013, if reporting person remains an employee of issuer.
- (12) Represents non-qualified stock options issued to reporting person under the Columbus McKinnon 2010 Long Term Incentive Plan as of July 26, 2010; options become exercisable 25% per year for four years beginning 5/20/2014, if reporting person remains an employee of issuer.
- (13) Represents performance shares issued to reporting person under the Columbus McKinnon Corporation 2010 Long Term Incentive Plan dated as of July 26, 2010; shares become fully vested and non-forfeitable on 5/21/2015, if reporting person remains an employee of issuer.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.