

Edgar Filing: Capitol Federal Financial Inc - Form 8-K

Capitol Federal Financial Inc
Form 8-K
August 30, 2018

UNITED STATES
SECURITIES AND EXCHANGE COMMISSION
Washington, D.C. 20549

FORM 8-K

CURRENT REPORT

Pursuant to Section 13 or 15(d) of the
Securities Exchange Act of 1934

Date of Report (Date of earliest event reported)
August 30, 2018

CAPITOL
FEDERAL
FINANCIAL,
INC.

(Exact name
of Registrant
as specified in
its Charter)

Maryland 001-34814 27-2631712
(State or other jurisdiction of incorporation) (Commission File Number) (IRS Employer Identification Number)

700 South
Kansas
Avenue
Topeka,
Kansas
66603
(Address
of
principal
executive
offices)
(Zip
Code)

Registrant's telephone number, including area code:
(785) 235-1341

N/A
(Former
name or
former
address,
if
changed
since
last
report)

Check the appropriate box below if the Form 8-K filing is intended to simultaneously satisfy the filing obligation of the registrant under any of the following provisions:

- ☐ Written communications pursuant to Rule 425 under the Securities Act (17 CFR 230.425)
- ☐ Soliciting material pursuant to Rule 14a-12 under the Exchange Act (17 CFR 240.14a-12)
- ☐ Pre-commencement communications pursuant to Rule 14d-2(b) under the Exchange Act (17 CFR 240.14d-2(b))
- ☐ Pre-commencement communications pursuant to Rule 13e-4(c) under the Exchange Act (17 CFR 240.13e-4(c))

Indicate by check mark whether the registrant is an emerging growth company as defined in Rule 405 of the Securities Act of 1933 (§230.405 of this chapter) or Rule 12b-2 of the Securities Exchange Act of 1934 (§240.12b-2 of this chapter).

Emerging growth company ☐

If an emerging growth company, indicate by check mark if the registrant has elected not to use the extended transition period for complying with any new or revised financial accounting standards provided pursuant to Section 13(a) of the Exchange Act. ☐

ITEM 5.02 Departure of Directors or Principal Officers; Election of Directors; Appointment of Principal Officers

Capitol Federal Financial, Inc. (the "Company") announces that Carlton A. Ricketts, Executive Vice President - Corporate Services will retire in February 2019.

Anthony (Tony) Barry has been hired to succeed Mr. Ricketts upon his retirement. Mr. Barry will be joining Capitol Federal Savings Bank and the Company on October 1, 2018. Mr. Barry has been in private law practice for 29 years. He has been a partner in Barry Law Offices, LLC since 1990. In addition to his law practice, Mr. Barry has served as President of Associates Management Corporation since 1990.

SIGNATURES

Pursuant to the requirements of the Securities Exchange Act of 1934, the registrant has duly caused this report to be signed on its behalf by the undersigned hereunto duly authorized.

CAPITOL FEDERAL FINANCIAL, INC.

Date: August 30, 2018 By: /s/ Kent G. Townsend

Kent G. Townsend, Executive Vice-President,
Chief Financial Officer, and Treasurer