

LAZARD GLOBAL TOTAL RETURN & INCOME FUND INC

Form SC 13D/A

September 21, 2017

SCHEDULE 13D/A

DATE OF EVENT WHICH REQUIRES FILING OF THIS STATEMENT
9/20/17

1. NAME OF REPORTING PERSON
Bulldog Investors, LLC

2. CHECK THE BOX IF MEMBER OF A GROUP a[]

b[]

3. SEC USE ONLY

4. SOURCE OF FUNDS
WC

5. CHECK BOX IF DISCLOSURE OF LEGAL PROCEEDINGS IS REQUIRED
PURSUANT TO ITEMS 2(d) AND 2(e) []

6. CITIZENSHIP OR PLACE OF ORGANIZATION
DE

7. SOLE VOTING POWER
306,389

8. SHARED VOTING POWER
313,173

9. SOLE DISPOSITIVE POWER
306,389

10. SHARED DISPOSITIVE POWER
313,173

11. AGGREGATE AMOUNT OWNED BY EACH REPORTING PERSON
619,562 (Footnote 1)

12. CHECK IF THE AGGREGATE AMOUNT EXCLUDES CERTAIN SHARES

[]

13. PERCENT OF CLASS REPRESENTED BY ROW 11

6.45%

14. TYPE OF REPORTING PERSON

Edgar Filing: LAZARD GLOBAL TOTAL RETURN & INCOME FUND INC - Form SC 13D/A

IA

1. NAME OF REPORTING PERSON

Phillip Goldstein

2. CHECK THE BOX IF MEMBER OF A GROUP

a[X]

b[]

3. SEC USE ONLY

4. SOURCE OF FUNDS

WC

5. CHECK BOX IF DISCLOSURE OF LEGAL PROCEEDINGS IS REQUIRED
PURSUANT TO ITEMS 2(d) AND 2(e) []

6. CITIZENSHIP OR PLACE OF ORGANIZATION

USA

7. SOLE VOTING POWER

306,389

8. SHARED VOTING POWER

313,173

9. SOLE DISPOSITIVE POWER

306,389

10. SHARED DISPOSITIVE POWER

313,173

11. AGGREGATE AMOUNT OWNED BY EACH REPORTING PERSON

619,562 (Footnote 1)

12. CHECK IF THE AGGREGATE AMOUNT EXCLUDES CERTAIN SHARES

[]

13. PERCENT OF CLASS REPRESENTED BY ROW 11

6.45%

14. TYPE OF REPORTING PERSON

IN

1. NAME OF REPORTING PERSON

Andrew Dakos

Edgar Filing: LAZARD GLOBAL TOTAL RETURN & INCOME FUND INC - Form SC 13D/A

2. CHECK THE BOX IF MEMBER OF A GROUP a[]

b[]

3. SEC USE ONLY

4. SOURCE OF FUNDS

WC

5. CHECK BOX IF DISCLOSURE OF LEGAL PROCEEDINGS IS REQUIRED
PURSUANT TO ITEMS 2 (d) AND 2 (e) []

6. CITIZENSHIP OR PLACE OF ORGANIZATION

USA

7. SOLE VOTING POWER

306,389

8. SHARED VOTING POWER

313,173

9. SOLE DISPOSITIVE POWER

306,389

10. SHARED DISPOSITIVE POWER

313,173

11. AGGREGATE AMOUNT OWNED BY EACH REPORTING PERSON

619,562 (Footnote 1)

12. CHECK IF THE AGGREGATE AMOUNT EXCLUDES CERTAIN SHARES

[]

13. PERCENT OF CLASS REPRESENTED BY ROW 11

6.45%

14. TYPE OF REPORTING PERSON

IN

1. NAME OF REPORTING PERSON

Steven Samuels

2. CHECK THE BOX IF MEMBER OF A GROUP a[]

b[]

3. SEC USE ONLY

Edgar Filing: LAZARD GLOBAL TOTAL RETURN & INCOME FUND INC - Form SC 13D/A

4. SOURCE OF FUNDS

WC

5. CHECK BOX IF DISCLOSURE OF LEGAL PROCEEDINGS IS REQUIRED
PURSUANT TO ITEMS 2(d) AND 2(e)

6. CITIZENSHIP OR PLACE OF ORGANIZATION

USA

7. SOLE VOTING POWER

306,389

8. SHARED VOTING POWER

313,173

9. SOLE DISPOSITIVE POWER

306,389

10. SHARED DISPOSITIVE POWER

313,173

11. AGGREGATE AMOUNT OWNED BY EACH REPORTING PERSON

619,562 (Footnote 1)

12. CHECK IF THE AGGREGATE AMOUNT EXCLUDES CERTAIN SHARES

13. PERCENT OF CLASS REPRESENTED BY ROW 11

6.45%

14. TYPE OF REPORTING PERSON

IN

Item 1. SECURITY AND ISSUER

This statement constitutes Amendment #3 to the schedule 13D filed October 24, 2016. Except as specifically set forth herein, the Schedule 13D remains unmodified.

ITEM 5. INTEREST IN SECURITIES OF THE ISSUER

(a) As per the N-CSRS filed on September 6, 2017, there were 9,605,237 shares of common stock outstanding as of June 30, 2016. The percentages set forth herein were derived using such number. Phillip Goldstein, Andrew Dakos and Steven Samuels own Bulldog Investors, LLC, a registered investment advisor. As of September 20, 2017, Bulldog Investors, LLC is deemed to be the beneficial owner of 619,562 shares of LGI (representing 6.45% of LGI's

Edgar Filing: LAZARD GLOBAL TOTAL RETURN & INCOME FUND INC - Form SC 13D/A

outstanding shares) solely by virtue of Bulldog Investors LLC's power to direct the vote of, and dispose of, these shares. These 619,562 shares of LGI include 306,389 shares (representing 3.19% of LGI's outstanding shares) that are beneficially owned by Mr. Goldstein and the following entities over which Messrs. Goldstein, Dakos and Samuels exercise control: Opportunity Partners LP, Calapasas West Partners LP, Full Value Special Situations Fund, LP, Opportunity Income Plus, Full Value Partners, LP, and MCM Opportunity Partners, LP (collectively, "Bulldog Investors Group of Funds"). Bulldog Investors Group of Funds may be deemed to constitute a group. All other shares included in the aforementioned 619,562 shares of LGI beneficially owned by Bulldog Investors LLC (solely by virtue of its power to sell or direct the vote of these shares) are also beneficially owned by clients of Bulldog Investors, LLC who are not members of any group. The total number of these "non-group" shares is 313,173 (representing 3.26% of LGI's outstanding shares).

(b) Bulldog Investors, LLC has sole power to dispose of and vote 306,389 shares. Bulldog Investors, LLC has shared power to dispose of and vote 313,173 shares. Certain of Bulldog Investors, LLC's clients (none of whom beneficially own more than 5% of LGI's shares) share this power with Bulldog Investors, LLC. Messrs. Goldstein, Dakos and Samuels are control persons of Bulldog Investors, LLC.

c) Since the last filing on 9/1/17 the following shares of LGI were Sold:

Date:	Shares:	Price:
09/01/17	(13,353)	16.6903
09/06/17	(2,155)	16.6723
09/07/17	(7,864)	16.6768
09/08/17	(8,800)	16.7000
09/11/17	(163)	16.8200
09/12/17	(1,469)	16.8973
09/13/17	(3,824)	16.8526
09/14/17	(18,463)	16.8321
09/15/17	(10,738)	16.8747
09/18/17	(20,884)	16.9253
09/19/17	(5,000)	16.9304
09/20/17	(21,233)	16.9376

d) Clients of Bulldog Investors, LLC are entitled to receive any dividends or sales proceeds.

e) N/A

ITEM 6. CONTRACTS, ARRANGEMENTS, UNDERSTANDINGS OR RELATIONSHIPS WITH RESPECT TO SECURITIES OF THE ISSUER.

N/A

ITEM 7. MATERIAL TO BE FILED AS EXHIBITS

None

After reasonable inquiry and to the best of my knowledge and belief, I certify that the information set forth in this statement is true, complete and correct.

Dated: 9/21/17

By: /S/ Phillip Goldstein

Edgar Filing: LAZARD GLOBAL TOTAL RETURN & INCOME FUND INC - Form SC 13D/A

Name: Phillip Goldstein

By: /s/ Andrew Dakos

Name: Andrew Dakos

By: /s/ Steven Samuels

Name: Steven Samuels

Bulldog Investors, LLC

By: /s/ Andrew Dakos

Andrew Dakos, Member

Footnote 1: The reporting persons disclaim beneficial ownership except to the extent of any pecuniary interest therein.