Edgar Filing: ODYSSEY MARINE EXPLORATION INC - Form 4

ODYSSEY MARINE EXPLORATION INC

Form 4

October 15, 2013

UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF

OMB Number:

3235-0287

Expires:

January 31, 2005

0.5

burden hours per

Estimated average

OMB APPROVAL

response...

if no longer subject to Section 16. Form 4 or Form 5

obligations

may continue.

Check this box

SECURITIES Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section

See Instruction 1(b).

(Print or Type Responses)

1. Name and Address of Reporting Person * BAKER BRADFORD B

2. Issuer Name and Ticker or Trading

30(h) of the Investment Company Act of 1940

Symbol

ODYSSEY MARINE EXPLORATION INC [OMEX]

Issuer

(Check all applicable)

5. Relationship of Reporting Person(s) to

(Last)

(City)

(First) (Middle) 3. Date of Earliest Transaction

(Month/Day/Year) 10/11/2013

_X__ Director Officer (give title

below)

10% Owner Other (specify

800 N. TAMIAMI TRAIL, #201

(Street)

(State)

4. If Amendment, Date Original Filed(Month/Day/Year)

Applicable Line)

X Form filed by One Reporting Person Form filed by More than One Reporting

6. Individual or Joint/Group Filing(Check

Person

Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned

SARASOTA, FL 34236

1.Title of 2. Transaction Date 2A. Deemed Security (Month/Day/Year) Execution Date, if (Instr. 3) (Month/Day/Year)

(Zip)

3. 4. Securities Acquired Transaction(A) or Disposed of (D) Code (Instr. 3, 4 and 5) (Instr. 8)

6. Ownership 7. Nature of 5. Amount of Securities Form: Direct Indirect Beneficially (D) or Beneficial Owned Indirect (I) Ownership Following (Instr. 4) (Instr. 4) Reported

D

I

(A) or Amount (D)

Transaction(s) (Instr. 3 and 4) Price

115,665

29,000

Common 10/11/2013 Stock

X 41,004

Common Stock

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control number.

SEC 1474 (9-02)

By Trust

Edgar Filing: ODYSSEY MARINE EXPLORATION INC - Form 4

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

| 1. Title of Derivative Security (Instr. 3) | 2. Conversion or Exercise Price of Derivative Security | 3. Transaction Date (Month/Day/Year) | 3A. Deemed Execution Date, if any (Month/Day/Year) | 4. Transactic Code (Instr. 8) | 5. Number of Derivative Securities (A) or Dis (D) (Instr. 3, 4 | Acquired sposed of | 6. Date Exercisable and Expiration Date (Month/Day/Year) | | 7. Title and Amoun Underlying Securit (Instr. 3 and 4) | |
|---|---|---|---|--|--|--------------------|--|--------------------|--|---------------------------|
| | | | | Code V | (A) | (D) | Date Exercisable | Expiration Date | Title | Amo or Num of Sh |
| Warrant (right to buy) | \$ 2.44 | 10/11/2013 | | P | 75,000 | | 10/11/2013 | 10/11/2013 | Common | 75,0 |
| Warrant (right to buy) | \$ 2.44 | 10/11/2013 | | X | | 41,004 | 10/11/2013 | 10/11/2013 | Common | 41,0 |
| Warrant (right to buy) | \$ 2.44 | 10/11/2013 | | S | | 33,996 | 10/11/2013 | 10/11/2013 | Common | 33,9 |

Reporting Owners

| Reporting Owner Name / Address | Relationships | | | | | | |
|--|---------------|-----------|---------|-------|--|--|--|
| • 0 | Director | 10% Owner | Officer | Other | | | |
| BAKER BRADFORD B 800 N. TAMIAMI TRAIL #201 SARASOTA, FL 34236 | X | | | | | | |

Signatures

/s/ Bradford
Baker
10/15/2013

**Signature of Date

Reporting Person

Explanation of Responses:

- * If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- ** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.

Reporting Owners 2