Wozniak Kurt F Form 4 May 23, 2013

## FORM 4

#### UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

Check this box if no longer subject to Section 16.

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF **SECURITIES** 

Form 4 or Form 5 obligations may continue. See Instruction

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940

(Print or Type Responses)

1(b).

(Last)

1. Name and Address of Reporting Person \* Wozniak Kurt F

2. Issuer Name and Ticker or Trading Symbol

COLUMBUS MCKINNON CORP [CMCO]

3. Date of Earliest Transaction

(Month/Day/Year)

05/21/2013

(Middle)

5. Relationship of Reporting Person(s) to

Issuer

below)

(Check all applicable)

10% Owner

Other (specify

**OMB APPROVAL** 

Estimated average

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January 31,

2005

0.5

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Number:

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response...

140 JOHN JAMES AUDUBON **PARKWAY** 

(First)

(Street) 4. If Amendment, Date Original

Filed(Month/Day/Year)

VP-Latin America 6. Individual or Joint/Group Filing(Check

Applicable Line)

Director

X\_ Officer (give title

\_X\_ Form filed by One Reporting Person Form filed by More than One Reporting

AMHERST, NY 14228

(City)	(State) (	(Zip) Table	I - Non-D	erivative Securities Acqu	uired, Disposed of	, or Beneficiall	y Owned
1.Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)	2A. Deemed Execution Date, if any (Month/Day/Year)	3. Transactio Code (Instr. 8)	4. Securities Acquired n(A) or Disposed of (D) (Instr. 3, 4 and 5)  (A) or Amount (D) Price	5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4)	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Indirect Beneficial Ownership (Instr. 4)
Common Stock					12,433	D	
Common Stock	05/21/2013		F	234 (1) D \$ 18.92	12,199 (2)	D	
Common Stock					1,424.0474 (3)	D	

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

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**SEC 1474** (9-02)

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# Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transacti Code (Instr. 8)	5. orNumber of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)	6. Date Exercisable and Expiration Date (Month/Day/Year)		7. Title and Amount of Underlying Securities (Instr. 3 and 4)	
				Code V	(A) (D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares
Non-Qualified Stock Options (Right to Buy)	\$ 28.45					05/19/2011	05/18/2018	Common Stock	356
Non-Qualified Stock Options (Right to Buy)	\$ 13.27					05/18/2010	05/17/2019	Common Stock	2,246
Non-Qualified Stock Options (Right to Buy)	\$ 18.24					05/17/2011	05/16/2020	Common Stock	1,777
Non-Qualified Stock Options (Right to Buy)	\$ 19.5					05/23/2012	05/22/2021	Common Stock	1,809
Non-Qualified Stock Options (Right to Buy)	\$ 13.43					05/21/2013	05/20/2022	Common Stock	4,446
Non-Qualified Stock Options (Right to Buy)	\$ 18.95					05/20/2014	05/20/2023	Common Stock	6,788 (9)

## **Reporting Owners**

Reporting Owner Name / Address	Keiationships					
	Director	10% Oxynar	Officer	Other		

Wozniak Kurt F 140 JOHN JAMES AUDUBON PARKWAY AMHERST, NY 14228

VP-Latin America

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### **Signatures**

Kurt F. Wozniak 05/23/2013

\*\*Signature of Reporting Person Date

### **Explanation of Responses:**

- \* If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) Represents shares withheld to satisfy tax withholding obligation upon vesting 554 restricted stock units on 5/21/2013.
  - Includes 8,483 shares of restricted stock issued to reporting person subject to forfeiture in whole or in part; 333 shares become fully vested and non-forfeitable 10/2/2013; 234 shares become fully vested and non-forfeitable on 5/17/2014; 719 shares become fully vested and non-forfeitable 33,33%, per year for three years beginning 5/23/2013, 1,663 shares become fully vested and non-forfeitable 33,33%.
- and non-forfeitable 33.33% per year for three years beginning 5/23/2013, 1,663 shares become fully vested and non-forfeitable 33.33% per year for three years beginning 5/21/2014; 3,218 shares become fully vested and non-forfeitable 25% per year for four years beginning 5/20/2014, and the remaining 2,316 shares become fully vested and non-forfeitable on 5/21/2015, if reporting person remains an employee of issuer.
- (3) Reports shares allocated to account of reporting person under the Columbus McKinnon Corporation Employee Stock Ownership Plan, as amended (the "ESOP").
- (4) Exercisable 33.33% per year for three years beginning 5/19/2011, if reporting person remains an employee of issuer.
- (5) Exercisable 25% per year for four years beginning 5/18/2010, if reporting person remains an employee of issuer.
- (6) Exercisable 25% per year for four years beginning 5/17/2011, if reporting person remains an employee of issuer.
- (7) Exercisable 25% per year for four years beginning 5/23/2012, if reporting person remains an employee of issuer.
- (8) Exercisable 25% per year for four years beginning 5/21/2013, if reporting person remains an employee of issuer.
- (9) Exercisable 25% per year for four years beginning 5/20/2014, if reporting person remains an employee of issuer.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.

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