COLUMBUS MCKINNON CORP

Form 4

October 29, 2013

FORM 4

UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

Check this box if no longer subject to

Section 16. Form 4 or Form 5 obligations

may continue. See Instruction STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF **SECURITIES**

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940

(Print or Type Responses)

1(b).

(Last)

(City)

1. Name and Address of Reporting Person *

Wozniak Kurt F

(First) (Middle)

(Zip)

140 JOHN JAMES AUDUBON **PARKWAY**

AMHERST, NY 14228

(Street)

(State)

2. Issuer Name and Ticker or Trading

Symbol

COLUMBUS MCKINNON CORP [CMCO]

(Month/Day/Year) 10/28/2013

4. If Amendment, Date Original

3. Date of Earliest Transaction

Filed(Month/Day/Year)

OMB APPROVAL

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(Check all applicable) Director 10% Owner X_ Officer (give title Other (specify below) VP-Latin America 6. Individual or Joint/Group Filing(Check Applicable Line) _X_ Form filed by One Reporting Person Form filed by More than One Reporting

5. Relationship of Reporting Person(s) to

Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned

Person

Issuer

		Table 1 - Non-Derivative Securities Acquired, Disposed of, or Deficiently Owned							
1.Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)	2A. Deemed Execution Date, if any (Month/Day/Year)	3. Transacti Code (Instr. 8)	4. Securior Dispo (Instr. 3,	sed of	` ′	5. Amount of Securities Beneficially Owned Following	6. Ownership Form: Direct (D) or Indirect	7. Nature of Indirect Beneficial Ownership (Instr. 4)
			Code V	Amount	(A) or (D)	Price	Reported Transaction(s) (Instr. 3 and 4)	(I) (Instr. 4)	(
Common Stock							11,975 <u>(1)</u>	D	
Common Stock	10/28/2013		M	1,111	A	\$ 13.43	13,086 <u>(1)</u>	D	
Common Stock	10/28/2013		M	2,246	A	\$ 13.27	15,332 (1)	D	
Common Stock	10/28/2013		S	774	D	\$ 26.9073	14,558 (1)	D	
Common Stock	10/28/2013		S	2,069	D	\$ 26.9073	12,489 (1)	D	

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 $\begin{array}{c} \text{Common} \\ \text{Stock} \end{array} \qquad \begin{array}{c} 1,424.0474 \\ \underline{^{(2)}} \end{array} \quad D$

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

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SEC 1474

(9-02)

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transact Code (Instr. 8)	tion	5. Numb of Deriving Securities Acquired (A) or Disposed (D) (Instr. 3, and 5)	ative es d	6. Date Exercisable and Expiration Date (Month/Day/Year)		7. Title and Amour Underlying Securit (Instr. 3 and 4)	
				Code V	V	(A)	(D)	Date Exercisable	Expiration Date	Title	Amo or Num of Share
Non-Qualified Stock Options (Right to Buy)	\$ 13.27	10/28/2013		M		2,246		05/18/2010	05/17/2019	Common Stock	2,2
Non-Qualified Stock Options (Right to Buy)	\$ 13.43	10/28/2013		M		1,111		05/21/2013	05/20/2022	Common Stock	1,1
Non-Qualified Stock Options (Right to Buy)	\$ 28.45							05/19/2011	05/18/2018	Common Stock	35
Non-Qualified Stock Options (Right to Buy)	\$ 18.24							05/17/2011	05/16/2020	Common Stock	1,7
Non-Qualified Stock Options (Right to Buy)	\$ 19.5							05/23/2012	05/22/2021	Common Stock	1,8
Non-Qualified Stock Options (Right to Buy)	\$ 18.95							05/20/2014	05/20/2023	Common Stock	6,7

Reporting Owners

Reporting Owner Name / Address Relationships

Reporting Owners 2

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Director 10% Owner Officer Other

Wozniak Kurt F 140 JOHN JAMES AUDUBON PARKWAY AMHERST, NY 14228

VP-Latin America

Signatures

Kurt F. Wozniak 10/29/2013

**Signature of Reporting Person Date

Explanation of Responses:

- * If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- ** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
 - Includes 7,910 shares of restricted stock issued to reporting person subject to forfeiture in whole or part; 234 shares become fully vested and non-forfeitable 5/17/2014; 479 shares become fully vested and non-forfeitable 50% per year for two years beginning 5/23/2014,
- (1) 1,663 shares become fully vested and non-forfeitable 33.33% per year for three years beginning 5/21/2014; 3,218 share become fully vested and non-forfeitable 25% per year for four years beginning 5/20/2014, and the remaining 2,316 shares become fully vested and non-forfeitable on 5/21/2014, if reporting person remains an employee of issuer.
- (2) Reports shares allocated to account of reporting person under the Columbus McKinnon Corporation Employee Stock Ownership Plan, as amended (the "ESOP").
- (3) The reporting person exercised 2,246 options on 10/28/13.
- (4) The reporting person exercised 1,111 options on 10/28/2013. The remaining 3,335 options become exercisable 33% per year for three years beginning 5/21/2014, if reporting person remains an employee of issuer.
- (5) All exercisable, subject to IRS limitations.
- (6) Exercisable 25% per year for four years beginning 5/17/2011, if reporting person remains an employee of issuer.
- (7) Exercisable 25% per year for four years beginning 5/23/2012, if reporting person remains an employee of issuer.
- (8) Exercisable 25% per year for four years beginning 5/20/2014, if reporting person remains an employee of issuer.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.

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