Edgar Filing: Clapper David M - Form 4

Form 4										
April 30, 2018 FORM	4 UNITED S	TATES SECUE Was	RITIES Al shington,			NGE (COMMISSION	-	PPROVAL 3235-0287	
Check this if no longer subject to Section 16. Form 4 or Form 5 obligations may contin <i>See</i> Instruct 1(b).	Filed pursu Section 17(a)	STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF SECURITIES Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940						burden hou response	Estimated average burden hours per response 0.5	
(Print or Type Rea										
1. Name and Address of Reporting Person <u>*</u> Clapper David M		Symbol	2. Issuer Name and Ticker or Trading Symbol SVB FINANCIAL GROUP [SIVB]				5. Relationship of Reporting Person(s) to Issuer (Check all applicable)			
(Last) 3005 TASMA	. ,	iddle) 3. Date of (Month/E 04/26/2	-	ansaction			Director Officer (give below)	10%	5 Owner er (specify	
(Street)			4. If Amendment, Date Original Filed(Month/Day/Year)				 6. Individual or Joint/Group Filing(Check Applicable Line) _X_ Form filed by One Reporting Person Form filed by More than One Reporting 			
	(State) (2	Zin)					Person			
(City)	. ,					ties Aco	quired, Disposed o		-	
	2. Transaction Date (Month/Day/Year)	2A. Deemed Execution Date, if any (Month/Day/Year)	3. Transactic Code (Instr. 8)	4. Securit onAcquired Disposed (Instr. 3, Amount	(A) of (D 4 and (A) or)	5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4)	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Indirect Beneficial Ownership (Instr. 4)	
Common Stock	04/26/2018		M	561	A	(<u>1</u>)	16,252	D		

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control number.

 Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned

 (e.g., puts, calls, warrants, options, convertible securities)

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1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transacti Code (Instr. 8)	5. Numb onof Derivativ Securitie Acquirec (A) or Disposec of (D) (Instr. 3, and 5)	Expiration Da e (Month/Day/Y	6. Date Exercisable and Expiration Date (Month/Day/Year)		7. Title and Amount of Underlying Securities (Instr. 3 and 4)	
				Code V	(A) (E) Date Exercisable	Expiration Date	Title	Amount or Number of Shares	
Restricted Stock Unit	\$ 0	04/26/2018		М	56	1 04/26/2018	05/02/2027	Common Stock	561	

Reporting Owners

Reporting Owner Name / Address	Relationships					
	Director	10% Owner	Officer	Other		
Clapper David M 3005 TASMAN DRIVE SANTA CLARA, CA 95054						
Signatures						
Denise West, Attorney-in-fact Clapper	04/30/2018					
**Signature of Reporting Pers	son		Date	e		
Evelopetion of De						

Explanation of Responses:

If the form is filed by more than one reporting person, see Instruction 4(b)(v). *

** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

(1) Each restricted stock unit represents a contingent right to receive one share of the Issuer's common Stock.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, see Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.