HAYES JOHN A Form 4 February 04, 2019

FORM 4

UNITED STATES SECURITIES AND EXCHANGE COMMISSION

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF

Washington, D.C. 20549

if no longer subject to Section 16. Form 4 or Form 5

Check this box

SECURITIES Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934,

obligations may continue. See Instruction

Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940

1(b).

(Print or Type Responses)

1. Name and Address of Reporting Person * HAYES JOHN A

2. Issuer Name and Ticker or Trading Symbol

Issuer

BALL Corp [BLL]

(Last)

(First) (Middle) 3. Date of Earliest Transaction

(Month/Day/Year)

BALL CORPORATION, 10 LONGS

(Street)

PEAK DR.

Security

(Instr. 3)

01/31/2019

4. If Amendment, Date Original

Filed(Month/Day/Year)

3.

Code

5. Relationship of Reporting Person(s) to

(Check all applicable)

OMB APPROVAL

3235-0287

January 31,

2005

0.5

OMB

Number:

Expires:

response...

Estimated average

burden hours per

Director 10% Owner Officer (give title Other (specify

below)

CHAIRMAN, PRESIDENT & C.E.O.

6. Individual or Joint/Group Filing(Check

Applicable Line) _X_ Form filed by One Reporting Person Form filed by More than One Reporting

Person

BROOMFIELD, CO 80021-2510

(City) (State) (Zip) 1. Title of 2. Transaction Date 2A. Deemed

(Month/Day/Year)

Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned

4. Securities TransactionAcquired (A) or

Disposed of (D) (Instr. 3, 4 and 5) (Instr. 8)

5. Amount of Securities Beneficially Owned

Following

6. Ownership 7. Nature of Form: Direct Indirect (D) or Indirect Beneficial (I) (Instr. 4)

Ownership (Instr. 4)

(A)

Reported Transaction(s) (Instr. 3 and 4)

Code V Amount (D) Price

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

Execution Date, if

(Month/Day/Year)

Persons who respond to the collection of SEC 1474 information contained in this form are not (9-02)required to respond unless the form displays a currently valid OMB control number.

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security

2. Conversion or Exercise

3. Transaction Date 3A. Deemed (Month/Day/Year) Execution Date, if

any

4. 5. Number of Derivative TransactionSecurities Acquired (A) or Code Disposed of (D)

6. Date Exercisable and **Expiration Date** (Month/Day/Year)

7. Title a Underly (Instr. 3

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(Histi 5)	Derivative Security	(included)	ay, roar)	Code	ĺ	(A)	(D)	Date Exercisable	Expiration Date	Title
Deferred Compensation Company Stock Plan	Ш	01/31/2019		M(2)		140,170.5555		(3)	<u>(3)</u>	Comm Stock
Restricted Stock Units	<u>(4)</u>	01/31/2019		M(5)			69,894	<u>(5)</u>	<u>(5)</u>	Comm

(Month/Day/Year) (Instr. 8) (Instr. 3, 4, and 5)

Reporting Owners

Price of

Relationships Reporting Owner Name / Address

> Director 10% Owner Officer Other

HAYES JOHN A **BALL CORPORATION** 10 LONGS PEAK DR. BROOMFIELD, CO 80021-2510

CHAIRMAN, PRESIDENT &

C.E.O.

Signatures

(Instr. 3)

/s/ Charles E. Baker attorney-in-fact for Mr. 02/04/2019 Hayes

**Signature of Reporting Person

Explanation of Responses:

- If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- Each unit may be settled for a single share of stock or the equivalent amount of cash pursuant to the Ball Corporation Deferred **(1)** Compensation Company Stock Plan.
- Lapse of Restricted Stock Units deferred into Ball Corporation's Deferred Compensation Company Stock Plan and may include company **(2)** match.

Date

- Stock units in Ball Corporation's Deferred Compensation Company Stock Plan are distributed upon the separation of service in (3) accordance with the Plan.
- (4) Each restricted stock unit represents a contingent right to receive one share of Ball Corporation Common Stock.
- (5) Lapse of restrictions on Performance Contingent Restricted Stock Units as a result of attainment of the performance criteria.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, see Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.

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