#### HORIZON BANCORP /IN/

Form 4

March 24, 2017

## FORM 4

# UNITED STATES SECURITIES AND EXCHANGE COMMISSION

Washington, D.C. 20549

Check this box if no longer subject to Section 16. Form 4 or

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF **SECURITIES** 

2. Issuer Name and Ticker or Trading

HORIZON BANCORP /IN/ [HBNC]

Form 5 Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, obligations Section 17(a) of the Public Utility Holding Company Act of 1935 or Section may continue. 30(h) of the Investment Company Act of 1940 See Instruction

Symbol

1(b).

(Print or Type Responses)

DeRuiter Kathie A

1. Name and Address of Reporting Person \*

(T)	(T)' ()	(First) (Middle) 3. Date of Earliest Transaction						(Check all applicable)			
(Last)	(First)	(Middle)	3. Date of	Earliest Tr	ansaction						
			(Month/D	ay/Year)				Director		Owner	
206 BEECH	03/21/2	017				_X_ Officer (giv		er (specify			
		03/21/2017				below) below)					
								Execut	ive Vice Presid	ent	
(Street) 4. If A				f Amendment, Date Original				6. Individual or Joint/Group Filing(Check			
Filed(Mo				Ionth/Day/Year)				Applicable Line)			
								_X_ Form filed by One Reporting Person			
THREE OA						Form filed by More than One Reporting					
Person											
(City)	(State) Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned										
1.Title of	2. Transaction D	ate 2A. Dee	med	3.	4. Securi	ties A	cquired	5. Amount of	6. Ownership	7. Nature of	
Security	(Month/Day/Year	r) Execution	on Date, if					Securities	Form: Direct	Indirect	
(Instr. 3)		any		Code	(Instr. 3,	4 and	5)	Beneficially	(D) or	Beneficial	
		(Month/	Day/Year)	(Instr. 8)				Owned Indirect (I)		Ownership	
			•					Following	(Instr. 4)	(Instr. 4)	
								Reported			
				(A)			Transaction(s)				
						or		(Instr. 3 and 4)			
				Code V	Amount	(D)	Price	,			
Common	03/21/2017			A	380	A	\$ 0	380	D		
Stock	03/21/2017			А	300	A	\$ 0	360	ע		
Common	03/21/2017			F	108	D	\$	272	D		
Stock	03/21/2017			1,	100	ע	25.14	212	D		
Common								9,937 (1)	I	By SERP	
Stock								9,937	1	by SEKF	
_											
Common								18,805	I	By ESOP	
Stock								10,005	1	by LSOI	
C											
Common								12,141 (1)	I	By Thrift	
Stock								,	-	2) 111111	

**OMB APPROVAL** 

3235-0287

January 31,

2005

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**OMB** 

Number:

Expires:

response...

5. Relationship of Reporting Person(s) to

(Check all applicable)

Issuer

Estimated average

burden hours per

#### Edgar Filing: HORIZON BANCORP /IN/ - Form 4

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control number.

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Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. 5. Number Transaction Derivative Code Securities (Instr. 8) Acquired (A) or Disposed of (D)		6. Date Exercisable and Expiration Date (Month/Day/Year)		7. Title and Amount of Underlying Securities (Instr. 3 and 4)	
				Code V	(Instr. 3, 4, and 5)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares
Employee Stock Option	\$ 25.14	03/21/2017		A	1,605	<u>(2)</u>	03/21/2027	Common Stock	1,605

## **Reporting Owners**

Reporting Owner Name / Address Relationships

Director 10% Owner Officer Other

DeRuiter Kathie A 206 BEECH STREET E THREE OAKS, MI 49128

**Executive Vice President** 

### **Signatures**

/s/Mark E. Secor, Attorney-in-Fact for Kathie A.

DeRuiter 03/24/2017

\*\*Signature of Reporting Person Date

## **Explanation of Responses:**

- \* If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) Adjusted by 1 share due to rounding.
- (2) The options vest in three equal annual installments on the anniversary of the date of grant, beginning March 21, 2018.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.

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