#### ISSUER DIRECT CORP

Form 4

March 23, 2015

## FORM 4

### UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF

**SECURITIES** 

**OMB** Number:

3235-0287

Expires:

January 31, 2005

0.5

Estimated average burden hours per

**OMB APPROVAL** 

response...

if no longer subject to Section 16. Form 4 or Form 5

Check this box

obligations may continue. See Instruction

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940

1(b).

(Last)

Security

(Instr. 3)

(Print or Type Responses)

1. Name and Address of Reporting Person \* **AUER METALS & MINERALS** 

2. Issuer Name and Ticker or Trading Symbol

5. Relationship of Reporting Person(s) to

Issuer

(First)

(Street)

ISSUER DIRECT CORP [ISDR] (Middle)

3. Date of Earliest Transaction

(Check all applicable) Director 10% Owner

5585 BUCKINGHAM

(Month/Day/Year)

01/01/1996

Other (specify Officer (give title below)

4. If Amendment, Date Original

6. Individual or Joint/Group Filing(Check

Filed(Month/Day/Year)

Applicable Line)

\_X\_ Form filed by One Reporting Person Form filed by More than One Reporting

Person

DETROIT, MI 72206

(City) (State) (Zip) 1.Title of 2. Transaction Date 2A. Deemed

(Month/Day/Year)

Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned 4. Securities Acquired 5. Amount of Execution Date, if

Transaction(A) or Disposed of (D) Securities Beneficially

6. Ownership 7. Nature of Form: Direct (D) or Indirect (I)

(Instr. 4)

Indirect Beneficial Ownership (Instr. 4)

(Month/Day/Year)

Code (Instr. 3, 4 and 5) (Instr. 8)

Following Reported Transaction(s)

Owned

(Instr. 3 and 4)

**ISDR** 03/23/2015

04/01/2015

Code V Amount 50,000 Α

(D) Price \$0 Α

(A)

or

D \$ 9,500,000

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control number.

**SEC 1474** (9-02)

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

1. Title of 2.. Derivative Conversion 3. Transaction Date 3A. Deemed (Month/Day/Year) Execution Date, if

5. Number of TransactionDerivative

6. Date Exercisable and **Expiration Date** 

7. Title and Amount of **Underlying Securities** 

#### Edgar Filing: ISSUER DIRECT CORP - Form 4

Code

| (Instr. 3) | Price of<br>Derivative<br>Security |            | (Month/Day/Year) | (Instr. 8) | Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5) | ·                   |                    |                    |                        |
|------------|------------------------------------|------------|------------------|------------|--|---------------------|--------------------|--------------------|------------------------|
|            |                                    |            |                  | Code V     | (A) (D)  | Date<br>Exercisable | Expiration<br>Date | Title              | Amou<br>Numb<br>Shares |
| LGCY       | \$ 10                              | 03/23/2015 |                  | A          | 50,000   | 01/01/1997          | 01/01/2020         | LEGACY<br>RESERVES | 9,50                   |

Securities

(Month/Day/Year)

(Instr. 3 and 4)

## **Reporting Owners**

or Exercise

| Reporting Owner Name / Address | Relationships |           |         |       |  |  |
|--------------------------------|---------------|-----------|---------|-------|--|--|
| ·r·                            | Director      | 10% Owner | Officer | Other |  |  |
| AUER METALS & MINERALS         |               |           |         |       |  |  |
| 5585 BUCKINGHAM                |               | X         |         |       |  |  |
| DETROIT, MI 72206              |               |           |         |       |  |  |

# **Signatures**

Security

LEVESTER BROWN / RICHARD SAJAC / JOSEPH **INGARRA** 

03/23/2015

\*\*Signature of Reporting Person

Date

## **Explanation of Responses:**

- If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, see Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.

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