#### Edgar Filing: LIVE VENTURES Inc - Form 3

LIVE VENTURES Inc

Form 3

January 17, 2017

### FORM 3

#### UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

**OMB APPROVAL** 

**OMB** Number:

3235-0104

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INITIAL STATEMENT OF BENEFICIAL OWNERSHIP OF **SECURITIES** 

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, response... Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940

(Print or Type Responses)

1. Name and Address of Reporting

Person \*

A Johnson Virland A

(Last)

(First)

(Middle)

Statement

(Month/Day/Year)

01/03/2017

2. Date of Event Requiring 3. Issuer Name and Ticker or Trading Symbol

LIVE VENTURES Inc [LIVE]

4. Relationship of Reporting Person(s) to Issuer

Director \_X\_\_ Officer

5. If Amendment, Date Original

Filed(Month/Day/Year)

325 E. WARM SPRINGS RD.

#102

(Street)

(Check all applicable)

10% Owner Other (give title below) (specify below)

Chief Financial Officer

6. Individual or Joint/Group Filing(Check Applicable Line)

\_X\_ Form filed by One Reporting

Person

Form filed by More than One

Reporting Person

LAS VEGAS, NVÂ 89119

(City) (State) (Zip)

1. Title of Security (Instr. 4)

Table I - Non-Derivative Securities Beneficially Owned 2. Amount of Securities

Beneficially Owned

(Instr. 4)

Ownership Form:

4. Nature of Indirect Beneficial Ownership

(Instr. 5)

Direct (D) or Indirect (I) (Instr. 5)

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

SEC 1473 (7-02)

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control number.

Table II - Derivative Securities Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 4)

2. Date Exercisable and **Expiration Date** (Month/Day/Year)

3. Title and Amount of Securities Underlying Derivative Security (Instr. 4)

4. Conversion or Exercise Price of

5. Ownership Form of Derivative

6. Nature of Indirect Beneficial

Ownership

(Instr. 5)

Date Exercisable Expiration Date

Title

Amount or Number of

Security: Derivative Security Direct (D)

or Indirect (I)

Shares

1

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						(Instr. 5)	
Stock Option (Right to Buy) Common Stock, par value \$0.001	01/03/2018	01/03/2027	Common Stock	4,000	\$ <u>(1)</u>	D	Â
Stock Option (Right to Buy) Common Stock, par value \$0.001	01/03/2019	01/03/2027	Common Stock	4,000	\$ <u>(1)</u>	D	Â
Stock Option (Right to Buy) Common Stock, par value \$0.001	01/03/2020	01/03/2027	Common Stock	4,000	\$ <u>(1)</u>	D	Â
Stock Option (Right to Buy) Common Stock, par value \$0.001	01/03/2021	01/03/2027	Common Stock	4,000	\$ <u>(1)</u>	D	Â
Stock Option (Right to Buy) Common Stock, par value \$0.001	01/03/2022	01/03/2027	Common Stock	4,000	\$ <u>(1)</u>	D	Â

# **Reporting Owners**

Reporting Owner Name / Address	Relationships				
1	Director	10% Owner	Officer	Other	
Johnson Virland A 325 E. WARM SPRINGS RD. #102	Â	Â	Chief Financial	Â	
LAS VEGAS, NV 89119			Officer		

## **Signatures**

/s/ Virland A. Johnson	01/17/201		
**Signature of	Date		

## **Explanation of Responses:**

- \* If the form is filed by more than one reporting person, see Instruction 5(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) Issued under the Company's 2014 Omnibus Equity Incentive Plan.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *See* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.

Reporting Owners 2