

Edgar Filing: AMCAST INDUSTRIAL CORP - Form 4

AMCAST INDUSTRIAL CORP  
Form 4  
January 06, 2003

UNITED STATES SECURITIES AND EXCHANGE COMMISSION  
Washington, D.C. 20549

OMB APPROVAL

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FORM 4

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934,  
Section 17(a) of the Public Utility Holding Company Act of 1935 or  
Section 30(h) of the Investment Company Act of 1940

Check this box if no longer subject to Section 16. Form 4 or 5 obligations  
may continue. See Instruction 1(b).

(Print or Type Responses)

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1. Name and Address of Reporting Person\*

Rethore, Bernard G.

-----  
(Last) (First) (Middle)  
7887 Washington Village Drive

-----  
(Street)  
Dayton, OH 45459

-----  
(City) (State) (Zip)

-----  
2. Issuer Name and Ticker or Trading Symbol

Amcast Industrial Corporation (AIZ)

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3. I.R.S. Identification Number of Reporting Person, if an entity (Voluntary)

-----  
4. Statement for Month/Day/Year

January 2, 2003

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5. If Amendment, Date of Original (Month/Day/Year)

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6. Relationship of Reporting Person(s) to Issuer

(Check all applicable)

Director  10% Owner  
 Officer (give title below)  Other (specify below)

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7. Individual or Joint/Group Filing (Check Applicable Line)

- Form filed by One Reporting Person  
 Form filed by More than One Reporting Person

Table - I Non-Derivative Securities Acquired, Disposed of,  
or Beneficially Owned

| 1.<br>Title of Security<br>(Instr. 3) | 2.<br>Transaction<br>Date<br>(Month/<br>Day/<br>Year) | 2A.<br>Deemed<br>Execution<br>Date,<br>if any<br>(Month/<br>Day/<br>Year) | 3.<br>Transaction<br>Code<br>(Instr. 8) | V | 4. Securities<br>Acquired(A)<br>or Disposed(D)<br>(Instr.3, 4 & 5) | 5.<br>Amount of Securities<br>Beneficially Owned<br>Following Reported<br>Transaction(s)<br>(Instr. 3 & 4) |
|---------------------------------------|---|---|---|---|--|--|
|                                       |   |   |   |   | (A)<br>or<br>(D) Price   |  |
| Common Shares                         | 1/2/2003  | 1/2/2003  | A                                       |   | 9,142 A (1)  |  |
| Common Shares                         | 1/2/2003  | 1/2/2003  | A                                       |   | 200 A (2)  | 17,291 (3)   |

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned  
(e.g., puts, calls, warrants, options, convertible securities)

| 1.<br>Title of<br>Derivative<br>Security<br>(Instr. 3) | 2.<br>Conversion<br>or Exercise<br>Price of<br>Derivative<br>Security | 3.<br>Transaction<br>Date<br>(Month/Day/<br>Year) | 3A.<br>Deemed<br>Execution<br>Date, if<br>any (Month/<br>Day/Year) | 4.<br>Transaction<br>Code<br>(Instr. 8) | 5.<br>Number<br>of Deriv<br>Secur<br>Acqui<br>or Di<br>of (D<br>(Inst<br>4, a<br>-----<br>(A) |
|--|---|---|--|---|---|
|  |   |   |  | Code V                                  |   |
| Stock Option   |   |   |  |   |   |

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(Right to Buy)      \$1.755      1/2/2003      1/2/2003      A      1,500

| 7.<br>Title and<br>Amount of<br>Underlying<br>Securities<br>(Instr.3 and 4) | 8.<br>Amount<br>or<br>Number<br>of<br>Shares | 8.<br>Price of<br>Derivative<br>Security<br>(Instr. 5) | 9.<br>Number of<br>Derivative<br>Securities<br>Beneficially<br>Owned<br>Following<br>Reported<br>Transaction(s)<br>(Instr. 4) | 10.<br>Ownership<br>Form of<br>Derivative<br>Security<br>Direct (D)<br>or<br>Indirect<br>(I)<br>(Instr. 4) | 11.<br>Nature of<br>Indirect<br>Beneficial<br>Ownership<br>(Instr. 4) |
|---|--|--|---|--|---|
| Common Shares   | 1,500  |  | 1,500   | D  |   |

Explanation of responses:

- (1) Represents payment of yearly director stipend in shares of Amcast Industrial Corporation stock rather than cash.
- (2) Represents additional director compensation granted by Company in restricted stock rather than cash.
- (3) Transferred 2,000 to spouse pursuant to a domestic relations order.

/s/ Bernard G. Rethore, by Sandra L. Rawnsley,  
Attorney-in-Fact under POA filed herewith      1/3/03

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\*\*Signature of Reporting Person      Date

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

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\* If the form is filed by more than one reporting person, see Instruction 4(b)(v).

\*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations.  
See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Note: File three copies of this Form, one of which must be manually signed.  
If space is insufficient, see Instruction 6 for procedure.

Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB Number.