

Tjaden Kurt A
 Form 3
 November 08, 2018

FORM 3 UNITED STATES SECURITIES AND EXCHANGE COMMISSION
Washington, D.C. 20549

OMB APPROVAL

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INITIAL STATEMENT OF BENEFICIAL OWNERSHIP OF SECURITIES

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934,
 Section 17(a) of the Public Utility Holding Company Act of 1935 or Section
 30(h) of the Investment Company Act of 1940

(Print or Type Responses)

| | | | | | |
|--|--|---|---|--|---|
| 1. Name and Address of Reporting Person * Tjaden Kurt A (Last) (First) (Middle) 600 EAST SECOND STREET (Street) MUSCATINE, IA 52761 (City) (State) (Zip) | 2. Date of Event Requiring Statement (Month/Day/Year) 11/07/2018 | 3. Issuer Name and Ticker or Trading Symbol HNI CORP [HNI] | 4. Relationship of Reporting Person(s) to Issuer (Check all applicable) <input type="checkbox"/> Director <input type="checkbox"/> 10% Owner <input checked="" type="checkbox"/> Officer <input type="checkbox"/> Other (give title below) (specify below) SVP HNI; President HNI Intl | 5. If Amendment, Date Original Filed(Month/Day/Year) | 6. Individual or Joint/Group Filing(Check Applicable Line) <input checked="" type="checkbox"/> Form filed by One Reporting Person <input type="checkbox"/> Form filed by More than One Reporting Person |
|--|--|---|---|--|---|

Table I - Non-Derivative Securities Beneficially Owned

| 1. Title of Security (Instr. 4) | 2. Amount of Securities Beneficially Owned (Instr. 4) | 3. Ownership Form: Direct (D) or Indirect (I) (Instr. 5) | 4. Nature of Indirect Beneficial Ownership (Instr. 5) |
|------------------------------------|--|---|--|
| Common Stock | 40,224.71 | D | Tjaden Kurt A |
| Common Stock | 1,170.53 | I | Profit-Sharing Retirement Plan |

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

SEC 1473 (7-02)

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control number.

Table II - Derivative Securities Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

| 1. Title of Derivative Security (Instr. 4) | 2. Date Exercisable and Expiration Date (Month/Day/Year) | 3. Title and Amount of Securities Underlying Derivative Security (Instr. 4) | 4. Conversion or Exercise Price of Derivative | 5. Ownership Form of Derivative Security: | 6. Nature of Indirect Beneficial Ownership (Instr. 5) |
|---|---|--|---|---|--|
|---|---|--|---|---|--|

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| | Date Exercisable | Expiration Date | Title | Amount or Number of Shares | Security | Direct (D) or Indirect (I) (Instr. 5) | |
|--|---------------------------|-----------------|--------------|----------------------------|----------|---------------------------------------|---|
| Non-qualifying employee stock option (right to buy) | 02/13/2017 ⁽¹⁾ | 02/13/2023 | Common Stock | 35,709 | \$ 31.79 | D | Â |
| Non-qualifying employee stock options (right to buy) | 02/12/2018 ⁽¹⁾ | 02/12/2024 | Common Stock | 31,289 | \$ 34.78 | D | Â |
| Non-qualifying employee stock options (right to buy) | 02/18/2019 ⁽²⁾ | 02/18/2025 | Common Stock | 20,219 | \$ 51.54 | D | Â |
| Non-qualifying employee stock options (right to buy) | 02/17/2020 ⁽²⁾ | 02/17/2026 | Common Stock | 49,022 | \$ 32.03 | D | Â |
| Non-qualifying employee stock option (right to buy) | 02/15/2021 ⁽²⁾ | 02/15/2027 | Common Stock | 31,011 | \$ 46.62 | D | Â |
| Non-qualifying employee stock option (right to buy) | 02/14/2022 ⁽²⁾ | 02/14/2028 | Common Stock | 43,511 | \$ 38.68 | D | Â |

Reporting Owners

| Reporting Owner Name / Address | Relationships | | | |
|--|---------------|-----------|-------------------------------|-------|
| | Director | 10% Owner | Officer | Other |
| Tjaden Kurt A 600 EAST SECOND STREET MUSCATINE, IA 52761 | Â | Â | Â SVP HNI; President HNI Intl | Â |

Signatures

/s/ Kurt A.
Tjaden
11/08/2018
Date
**Signature of Reporting Person

Explanation of Responses:

- * If the form is filed by more than one reporting person, see Instruction 5(b)(v).
- ** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) The option became fully vested and exercisable in one installment on the fourth anniversary of the grant date.
- (2) The option will become fully vested and exercisable in one installment on the fourth anniversary of the grant date.

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Remarks:

Exhibit List: Exhibit 24-1 Power of Attorney

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, See Instruction 6 for procedure.

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