

LeClaire Brian P.  
Form 4  
December 18, 2018

**FORM 4**

**UNITED STATES SECURITIES AND EXCHANGE COMMISSION  
Washington, D.C. 20549**

OMB APPROVAL

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**STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF SECURITIES**

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940

(Print or Type Responses)

1. Name and Address of Reporting Person \*  
LeClaire Brian P.

(Last) (First) (Middle)

HUMANA INC., 500 WEST MAIN STREET

(Street)

LOUISVILLE, KY 40202

(City) (State) (Zip)

2. Issuer Name and Ticker or Trading Symbol  
HUMANA INC [HUM]

3. Date of Earliest Transaction (Month/Day/Year)  
12/15/2018

4. If Amendment, Date Original Filed(Month/Day/Year)

5. Relationship of Reporting Person(s) to Issuer

(Check all applicable)

\_\_\_ Director \_\_\_ 10% Owner  
\_X\_ Officer (give title below) \_\_\_ Other (specify below)

Chief Information Officer

6. Individual or Joint/Group Filing(Check Applicable Line)

\_X\_ Form filed by One Reporting Person  
\_\_\_ Form filed by More than One Reporting Person

**Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned**

1. Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)	2A. Deemed Execution Date, if any (Month/Day/Year)	3. Transaction Code (Instr. 8)	4. Securities Acquired (A) or Disposed of (D) (Instr. 3, 4 and 5)	5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4)	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Ownership (Instr. 4)
			Code	V	Amount	(D)	Price
Humana Common	12/15/2018		M		1,093	A	\$ 0 8,651
Humana Common	12/15/2018		F		509	D	\$ 304.045 8,142
Humana Common	12/15/2018		M		1,188	A	\$ 0 9,330
Humana Common	12/15/2018		F		554	D	\$ 304.045 8,776
Humana Common	12/15/2018		M		480	A	\$ 0 9,256

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Humana Common	12/15/2018	F	224	D	\$ 304.045	9,032	D	
Humana Common	12/18/2018	S	1,474	D	\$ 299.2897 <u>(10)</u>	7,558 <u>(11)</u>	D	
Humana Common						134	I	(See Footnote) <u>(1)</u>

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

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**Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned**  
(e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transaction Code (Instr. 8)	5. Number of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)	6. Date Exercisable and Expiration Date (Month/Day/Year)	7. Title and Amount of Underlying Securities (Instr. 3 and 4)	8. Amount or Number of Shares
Options <u>(2)</u>	\$ 167.805					<u>(2)</u> 02/18/2023	Humana Common	4,922
Options <u>(3)</u>	\$ 217.415					<u>(3)</u> 03/08/2024	Humana Common	18,082
Options <u>(4)</u>	\$ 268.47					<u>(4)</u> 02/19/2025	Humana Common	6,221
Restricted Stock Units <u>(5)</u>	<u>(5)</u>	12/15/2018		M	1,093	<u>(6)</u> <u>(6)</u>	Humana Common	1,093
Restricted Stock Units <u>(5)</u>	<u>(5)</u>	12/15/2018		M	1,188	<u>(7)</u> <u>(7)</u>	Humana Common	1,188
Restricted Stock Units <u>(5)</u>	<u>(5)</u>	12/15/2018		M	480	<u>(8)</u> <u>(8)</u>	Humana Common	480
Phantom	<u>(9)</u>					<u>(9)</u> <u>(9)</u>	Humana	61

