Edgar Filing: SIMMONS HAROLD C - Form 4

SIMMONS Form 4 June 28, 201	HAROLD C										
FORM	14		~~~~~						OMB AF	PROVAL	
	• • UNITED S	STATES					NGE (COMMISSION	OMB Number:	3235-0287	
Check th if no lon subject t Section Form 4 o Form 5	ger o STATEM 16. or	STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF SECURITIES									
obligatic may con <i>See</i> Instr 1(b).	tinue. Section 17(a	a) of the I	Public U		ding Cor	npan	y Act of	e Act of 1934, E 1935 or Section 40	1		
(Print or Type	Responses)										
	Address of Reporting I HAROLD C	Person <u>*</u>	Symbol	r Name and		[.] Tradi	ng	5. Relationship of Issuer	Reporting Pers	on(s) to	
(Last)	(First) (N	fiddle)		HI INC /DE/ [VHI] e of Earliest Transaction				(Check all applicable)			
· · ·	REEWAY, SUIT		(Month/E 06/28/2	Day/Year)	ansaction			X Director X Officer (give below) Chairm	$\begin{array}{c} \underline{X} & 10\% \\ \text{title} & \underline{X} & 0\% \\ \text{below} \\ \text{nan of the Boar} \end{array}$	r (specify	
	(Street)			endment, Da nth/Day/Year	-	ıl		6. Individual or Jo Applicable Line) _X_ Form filed by O Form filed by M	one Reporting Pe	rson	
DALLAS, 7	TX 75240							Person		porung	
(City)	(State)	(Zip)	Tabl	le I - Non-D	Derivative	Secur	rities Acq	uired, Disposed of	, or Beneficial	ly Owned	
1.Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)	2A. Deem Execution any (Month/D	Date, if	3. Transactic Code (Instr. 8)	4. Securi on(A) or D (Instr. 3,	ispose	d of (D)	5. Amount of Securities Beneficially Owned Following Reported Transaction(s)	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Indirect Beneficial Ownership (Instr. 4)	
Common stock, \$0.01 par value per share	06/28/2012			Code V A <u>(1)</u>	Amount 1,000	(D)	Price (<u>1</u>)	(Instr. 3 and 4) 1,428,966	D		
Common stock, \$0.01 par value per share	06/28/2012			Р	2,000	A	\$ 13.15	1,430,966	D		
Common stock,								314,033,148	Ι	by VHC	

\$0.01 par value per share						
Common stock, \$0.01 par value per share	6,367,017	I by TFMC (3)				
Common stock, \$0.01 par value per share	1,100,541	I by CDCT (4)				
Common stock, \$0.01 par value per share	818,514	I by Spouse				
Common stock, \$0.01 par value per share	77,745	I by Contran <u>(6)</u>				
Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly. Persons who respond to the collection of SEC 1474 information contained in this form are not required to respond unless the form displays a currently valid OMB control						

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

number.

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	4. Transactic Code (Instr. 8)	5. of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)		ate	Amou Unde Secur	le and int of rlying ities . 3 and 4)	8. Price of Derivative Security (Instr. 5)	9. Nu Deriv Secu Bene Owne Follo Repo Trans (Instr
			Code V	(A) (D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares		

Reporting Owners

Reporting Owner Name / Address	Relationships							
FB	Director	10% Owner	Officer	Other				
SIMMONS HAROLD C 5430 LBJ FREEWAY, SUITE 1700 DALLAS, TX 75240	Х	Х	Chairman of the Board					
Signatures								
Robert D. Graham, Attorney-in-fact, Simmons	for Harolo	1 C.	06/28/2012					
<u>**</u> Signature of Reporting Person		Date						
Explanation of Respo	nses	:						

- * If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- ** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) Shares issued for no cash consideration to directors under the Valhi, Inc. 2012 Director Stock Plan.
- (2) Directly held by Valhi Holding Company. See the Additional Information filed as Exhibit 99 to this statement for a description of the relationship to the reporting person.
- (3) Directly held by TIMET Finance Management Company. See the Additional Information filed as Exhibit 99 to this statement for a description of the relationship to the reporting person.
- (4) Directly held by the Contran Amended and Restated Deferred Compensation Trust. See the Additional Information filed as Exhibit 99 to this statement for a description of the relationship to the reporting person.
- (5) Directly held by the reporting person's spouse.
- (6) Directly held by Contran Corporation. See the Additional Information filed as Exhibit 99 to this statement for a description of the relationship to the reporting person.

Remarks:

Exhibit Index:

Exhibit 99 - Additional Information

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.