Edgar Filing: SIMMONS HAROLD C - Form 4

Form 4	HAROLD C										
August 29, 2012 FORM 4 Check this box if no longer subject to Section 16. Form 4 or Form 5 chligations Filed pursuant to		ENT OI	Was F CHAN Section 1	Shington, GES IN SECUR 6(a) of th	D.C. 20 BENEF ITIES e Securit	549 ICIA ties E	NERSHIP OF	OMB Number: Expires: Estimated a burden hour response			
may con <i>See</i> Instr 1(b).	tinue. Section 17(2			vestment	•	· ·		1935 or Sectior 0	1		
(Print or Type	Responses)										
	Address of Reporting F HAROLD C	Person <u>*</u>	Symbol	r Name and INC /DE		Tradi	ng	5. Relationship of Issuer			
(Last) 5430 LBJ F	(First) (M	fiddle) E 1700	3. Date of Earliest Transaction (Month/Day/Year) _X_ Director 08/29/2012 _X_ Officer (gin below)					X Director X Officer (give below)	xk all applicable) X 10% Owner e title Other (specify below) man of the Board		
DALLAS, 7	(Street) TX 75240			ndment, Da nth/Day/Year	-	1		6. Individual or Jo Applicable Line) _X_ Form filed by O Form filed by M Person	one Reporting Pe	rson	
(City)	(State)	(Zip)	Tabl	e I - Non-D) erivative	Secur	ities Acq	uired, Disposed of	, or Beneficial	ly Owned	
1.Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)	2A. Deen Executior any (Month/D	n Date, if	3. Transactic Code (Instr. 8)	(Instr. 3,	(A) or	d of (D) 5)	5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4)	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Indirect Beneficial Ownership (Instr. 4)	
Common stock, \$0.01 par value per share	08/29/2012			Code V P	2,000	(D)	Price \$ 12.75	1,559,853	D		
Common stock, \$0.01 par value per share	08/29/2012			Р	350	A	\$ 12.79	1,560,203	D		
Common stock	08/29/2012			Р	1,650	А	\$ 12.8	1,561,853	D		

Edgar Filing: SIMMONS HAROLD C - Form 4

\$0.01 par value per share Common								
stock, \$0.01 par value per share	08/29/2012	Р	2,000	A	\$ 12.85	1,563,853	D	
Common stock, \$0.01 par value per share						818,514	I	By spouse (1)
Common stock, \$0.01 par value per share						314,033,148	I	by VHC (2)
Common stock, \$0.01 par value per share						6,367,017	I	by TFMC (3)
Common stock, \$0.01 par value per share						1,100,541	I	by CDCT (4)
Common stock, \$0.01 par value per share						77,745	I	by Contran (5)

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control number.

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

1. Title of	2.	3. Transaction Date	3A. Deemed	4.	5.	6. Date Exercisable and	7. Title and	8. Price of	9. Nu
Derivative	Conversion	(Month/Day/Year)	Execution Date, if	Transaction	onNumber	Expiration Date	Amount of	Derivative	Deriv
Security	or Exercise		any	Code	of	(Month/Day/Year)	Underlying	Security	Secu
(Instr. 3)	Price of		(Month/Day/Year)	(Instr. 8)	Derivativ	e	Securities	(Instr. 5)	Bene
	Derivative				Securities	š	(Instr. 3 and 4)		Owne

Edgar Filing: SIMMONS HAROLD C - Form 4

Security

Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5) Code V (A) (D) Date Expiration Title Amount Exercisable Date or Number of Shares

08/29/2012

Date

Reporting Owners

Reporting Owner Name / Address	Relationships							
	Director	10% Owner	Officer	Other				
SIMMONS HAROLD C 5430 LBJ FREEWAY, SUITE 1700 DALLAS, TX 75240	Х	Х	Chairman of the Board					
Signatures								
A. Andrew R. Louis, Attorney-in-fact, for Harold C.								

A. Andrew R. Louis, Attorney-in-fact, for Harold C Simmons

**Signature of Reporting Person

Explanation of Responses:

- * If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- ** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) Directly held by the reporting person's spouse. The reporting person disclaims beneficial ownership of any shares of the issuer's common stock that his spouse holds.
- (2) Directly held by Valhi Holding Company. See the Additional Information filed as Exhibit 99 to this statement for a description of the relationship to the reporting person.
- (3) Directly held by TIMET Finance Management Company. See the Additional Information filed as Exhibit 99 to this statement for a description of the relationship to the reporting person.
- (4) Directly held by the Contran Amended and Restated Deferred Compensation Trust. See the Additional Information filed as Exhibit 99 to this statement for a description of the relationship to the reporting person.
- (5) Directly held by Contran Corporation. See the Additional Information filed as Exhibit 99 to this statement for a description of the relationship to the reporting person.

Remarks:

Exhibit Index: Exhibit 99 - Additional Information

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.

Follo

Repo

Trans

(Insti