Edgar Filing: VALHI INC /DE/ - Form 4

VALHI ING Form 4 July 25, 201												
FORM	ЛЛ									OMB AF	PROVAL	
	UNITED	STATES				AND EX , D.C. 2(OMMISSION	OMB Number:	3235-0287	
Check t			vv a	isningu	UII	, D.C. 2	0349				January 31,	
if no longer subject to Section 16. Form 4 or Form 5 obligations may continue. STATEMENT OF CHAN Filed pursuant to Section 1 Section 17(a) of the Public U				SEC 16(a) of Jtility H	UF f th Iol	RITIES ne Securi ding Co	Expires: Estimated a burden hour response					
<i>See</i> Inst 1(b).	ruction	50(II)	of the fi	nvestine	em	Compa	пу А	ct of 1940)			
(Print or Type	Responses)											
	Address of Reporting S HAROLD C	Person *	Symbol			d Ticker o E/ [VHI]		8	5. Relationship of I Issuer	Reporting Pers	on(s) to	
(Last)	(First) (Middle)				ransaction			(Check	all applicable)	
(Month				h/Day/Year) 5/2013					X DirectorX 10% Owner X Officer (give title Other (specify below) below) Chairman of the Board			
	(Street)					ate Origin	al		6. Individual or Joi	nt/Group Filin	g(Check	
DALLAS,	TX 75240		Filed(Mo	onth/Day/Y	Yea	r)			Applicable Line) Form filed by On _X_ Form filed by M Person			
(City)	(State)	(Zip)	Tab	ole I - No	n-l	Derivative	e Secu	rities Acqu	ired, Disposed of,	or Beneficiall	y Owned	
1.Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)	2A. Deem Execution any (Month/D	Date, if	3. Transac Code (Instr. 8		4. Securit por Dispos (Instr. 3,	sed of		Securities Beneficially Owned Following Reported Transaction(s)	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Indirect Beneficial Ownership (Instr. 4)	
Common				Code	V	Amount	(D)	Price	(Instr. 3 and 4)			
stock, \$0.01 par value per share	07/25/2013			J <u>(1)</u>		1,400	A	\$ 13.3379	692,851	Ι	By Contran	
Common stock, \$0.01 par value per share	07/25/2013			J <u>(1)</u>		100	A	\$ 13.35	692,951	I	By Contran (2)	
Common stock,	07/25/2013			J <u>(1)</u>		3,600	А	\$ 13.3889	696,551	Ι	By Contran	

\$0.01 par value per share								(2)
Common stock, \$0.01 par value per share	07/25/2013	J <u>(1)</u>	100	А	\$ 13.4	696,651	I	By Contran
Common stock, \$0.01 par value per share	07/25/2013	J <u>(1)</u>	4,900	A	\$ 13.4255	701,551	Ι	By Contran (2)
Common stock, \$0.01 par value per share	07/25/2013	J <u>(1)</u>	756	A	\$ 13.4474	702,307	Ι	By Contran (2)
Common stock, \$0.01 par value per share	07/25/2013	J <u>(1)</u>	5,035	A	\$ 13.45	707,342	I	By Contran (2)
Common stock, \$0.01 par value per share						1,851,880	D	
Common stock, \$0.01 par value per share						314,033,148	I	by VHC (3)
Common stock, \$0.01 par value per share						1,100,541	I	by CDCT (4)
Common stock, \$0.01 par value per share						818,514	I	by Spouse <u>(5)</u>

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

Persons who respond to the collection of SEC 1474 information contained in this form are not (9-02)

2

Edgar Filing: VALHI INC /DE/ - Form 4

required to respond unless the form displays a currently valid OMB control number.

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	4. Transactic Code (Instr. 8)	5. orNumber of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3,		ate	7. Titl Amou Under Secur (Instr.	int of rlying	8. Price of Derivative Security (Instr. 5)	9. Nu Deriv Secu Bene Owno Follo Repo Trans (Instr
			Code V	4, and 5) (A) (D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares		

Reporting Owners

Reporting Owner Name / Address	Relationships								
1	Director	10% Owner	Officer	Other					
SIMMONS HAROLD C 5430 LBJ FREEWAY, SUITE 1700 DALLAS, TX 75240	Х	Х	Chairman of the Board						
CONTRAN CORP 5430 LBJ FREEWAY, SUITE 1700 DALLAS, TX 75240		Х							
Signatures									
A. Andrew R. Louis, Attorney-in-fact Simmons	old C.	07/25/2013							
** Signature of Reporting I		Date							
A. Andrew R. Louis, Assistant Secret Corporation	Contran	07/25/2013							
<u>**</u> Signature of Reporting I		Date							

Explanation of Responses:

- * If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- ** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) Opern market purchase by Contran Corporation. See the Additional Information filed as Exhibit 99 to this statement for a description of the relationship between the persons joining in this filing.
- (2) Directly held by Contran Corporation. See the Additional Information filed as Exhibit 99 to this statement for a description of the relationship between the persons joining in this filing.

Edgar Filing: VALHI INC /DE/ - Form 4

- (3) Directly held by Valhi Holding Company. See the Additional Information filed as Exhibit 99 to this statement for a description of the relationship to the persons joining in this filing.
- (4) Directly owned by the Contran Amended and Restated Deferred Compensation Trust. See the Additional Information filed as Exhibit 99 to this statement for a description of the relationship to the persons joining in this filing.

Directly held by the reporting person's spouse. Mr. Simmons disclaims beneficial ownership of any shares of the issuer's common stock(5) that his spouse holds. See the Additional Information filed as Exhibit 99 to this statement for a description of the relationships to the persons joining in this filing.

Remarks:

Exhibit Index

Exhibit 99 - Additional Information

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.