

NEWPARK RESOURCES INC

Form 4

April 04, 2017

FORM 4**UNITED STATES SECURITIES AND EXCHANGE COMMISSION
Washington, D.C. 20549**

Check this box
if no longer
subject to
Section 16.
Form 4 or
Form 5
obligations
may continue.
See Instruction
1(b).

**STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF
SECURITIES**

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934,
Section 17(a) of the Public Utility Holding Company Act of 1935 or Section
30(h) of the Investment Company Act of 1940

OMB APPROVAL

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(Print or Type Responses)

1. Name and Address of Reporting Person *
Smith Bruce Campbell

2. Issuer Name **and** Ticker or Trading
Symbol
NEWPARK RESOURCES INC
[NR]

5. Relationship of Reporting Person(s) to
Issuer

(Check all applicable)

(Last) (First) (Middle)
9320 LAKESIDE
BOULEVARD, SUITE 100

3. Date of Earliest Transaction
(Month/Day/Year)
04/03/2017

____ Director ____ 10% Owner
__X__ Officer (give title ____ Other (specify
below) below)
Exec Vice President &

(Street)
THE WOODLANDS, TX 77381

4. If Amendment, Date Original
Filed(Month/Day/Year)

6. Individual or Joint/Group Filing(Check
Applicable Line)
__X__ Form filed by One Reporting Person
____ Form filed by More than One Reporting
Person

(City) (State) (Zip)

Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned

1. Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)	2A. Deemed Execution Date, if any (Month/Day/Year)	3. Transaction Code (Instr. 8)	4. Securities Acquired (A) or Disposed of (D) (Instr. 3, 4 and 5)	5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4)	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Ownership Indirect Beneficial Ownership (Instr. 4)
Common Stock	04/03/2017		M	12,242	A \$ 3.31 248,026	D	
Common Stock	04/03/2017		S	12,242 (1)	D \$ 8.0086 235,784 (2)	D	
Common Stock	04/04/2017		M	21,388	A \$ 3.31 257,172	D	
Common Stock	04/04/2017		S	21,388 (1)	D \$ 8.0422 235,784 (3)	D	

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Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

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(9-02)

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned
(e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transaction Code (Instr. 8)	5. Number of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)	6. Date Exercisable and Expiration Date (Month/Day/Year)	7. Title and Amount Underlying Security (Instr. 3 and 4)	Amount or Number of Shares
Non-Qualified Stock Option (right to buy)	\$ 3.31	04/03/2017		M	12,242	<u>(4)</u> 06/10/2019	Common Stock	12,242
Non-Qualified Stock Option (right to buy)	\$ 3.31	04/04/2017		M	21,388	<u>(4)</u> 06/10/2019	Common Stock	21,388

Reporting Owners

Reporting Owner Name / Address	Relationships			
	Director	10% Owner	Officer	Other
Smith Bruce Campbell 9320 LAKESIDE BOULEVARD SUITE 100 THE WOODLANDS, TX 77381			Exec Vice President &	

Signatures

By: Jennifer Wilson For: Bruce C.
Smith

04/04/2017

____Signature of Reporting Person

Date

Explanation of Responses:

* If the form is filed by more than one reporting person, see Instruction 4(b)(v).

** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

(1) The securities were sold pursuant to a Rule 10b5-1 Plan adopted by the Reporting Person.

(2) The price reported is a weighted average price. These shares were sold in multiple transactions at prices ranging from \$8.00 to \$8.125, inclusive.

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- (3) The price reported is a weighted average price. These shares were sold in multiple transactions at prices ranging from \$8.00 to \$8.15, inclusive.
- (4) The options become exercisable in four annual installments beginning on the first anniversary of the date of grant.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure.

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