**AAON INC** Form 4 August 14, 2015

### FORM 4

#### UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF

**SECURITIES** 

30(h) of the Investment Company Act of 1940

Check this box subject to

if no longer Section 16.

Form 4 or Form 5 obligations

may continue. See Instruction

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section

1(b).

**OMB APPROVAL** 

OMB Number:

3235-0287

Expires:

January 31, 2005

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response...

0.5

(Print or Type Responses)

(Last)

(City)

1. Name and Address of Reporting Person \*

(Street)

(State)

SHEFFIELD KATHY I

(First)

(Middle)

2. Issuer Name and Ticker or Trading Symbol

AAON INC [AAON]

3. Date of Earliest Transaction (Month/Day/Year)

10402 NORTH 144 EAST AVENUE 08/13/2015

(Zip)

Filed(Month/Day/Year)

4. If Amendment, Date Original

5. Relationship of Reporting Person(s) to

Issuer

(Check all applicable)

Director 10% Owner

X\_ Officer (give title Other (specify below)

Sr. Vice President Admin/Treas

Applicable Line)

\_X\_ Form filed by One Reporting Person Form filed by More than One Reporting

6. Individual or Joint/Group Filing(Check

Person

**OWASSO, OK 74055** 

Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned 1. Title of 2. Transaction Date 2A. Deemed Security (Month/Day/Year) Execution Date, if (Instr. 3)

(Month/Day/Year)

3. 4. Securities Acquired Transaction(A) or Disposed of (D) Code (Instr. 3, 4 and 5) (Instr. 8)

Code V Amount (D)

279

(A)

5. Amount of Securities Owned Following

Beneficially Reported Transaction(s)

(Instr. 3 and 4)

Form: Direct Indirect (D) or Beneficial Indirect (I) (Instr. 4)

Ownership (Instr. 4)

6. Ownership 7. Nature of

Common

Stock, par 08/13/2015

value \$.004

F

D 22,808

Price

D

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control number.

(9-02)

SEC 1474

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

### Edgar Filing: AAON INC - Form 4

Der Sec	Citle of civative urity str. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transaction Code (Instr. 8)	5. onNumber of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)		te	7. Title and A Underlying S (Instr. 3 and	Securities	8. Pri Deriv Secur (Instr
					Code V	(A) (D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares	
Op	ock otion ight to y)	\$ 5.03					03/10/2009	03/10/2018	Common Stock	10,125	
Op	ock tion ight to y)	\$ 4.54					03/09/2010	03/09/2019	Common Stock	16,875	
Op	ock tion ight to y)	\$ 6.89					05/25/2011	05/25/2020	Common Stock	33,750	
Op	ock tion ight to y)	\$ 8.65					05/15/2013	05/15/2022	Common Stock	22,500	
Op	ock otion ight to y)	\$ 21.93					01/02/2016	01/02/2025	Common Stock	4,805	

# **Reporting Owners**

Reporting Owner Name / Address	Relationships						
	Director	10% Owner	Officer	Other			

SHEFFIELD KATHY I 10402 NORTH 144 EAST AVENUE OWASSO, OK 74055

Sr. Vice President Admin/Treas

## **Signatures**

Kathy I. 08/14/2015

Date

Reporting Owners 2

\*\*Signature of Reporting Person

## **Explanation of Responses:**

- \* If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.

Signatures 3