

CARTER BRIAN N  
Form 4  
November 21, 2017

**FORM 4**

**UNITED STATES SECURITIES AND EXCHANGE COMMISSION  
Washington, D.C. 20549**

OMB APPROVAL

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Check this box if no longer subject to Section 16. Form 4 or Form 5 obligations may continue. See Instruction 1(b).

**STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF SECURITIES**

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940

(Print or Type Responses)

1. Name and Address of Reporting Person \*  
CARTER BRIAN N

(Last) (First) (Middle)

3475 EAST FOOTHILL BOULEVARD

(Street)

PASADENA,, CA 91107

(City) (State) (Zip)

2. Issuer Name and Ticker or Trading Symbol  
TETRA TECH INC [TTEK]

3. Date of Earliest Transaction (Month/Day/Year)  
11/17/2017

4. If Amendment, Date Original Filed(Month/Day/Year)

5. Relationship of Reporting Person(s) to Issuer

(Check all applicable)

\_\_\_ Director \_\_\_ 10% Owner  
\_X\_ Officer (give title below) \_\_\_ Other (specify below)  
SVP, CORPORATE CONTROLLER

6. Individual or Joint/Group Filing(Check Applicable Line)  
\_X\_ Form filed by One Reporting Person  
\_\_\_ Form filed by More than One Reporting Person

**Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned**

| 1. Title of Security (Instr. 3) | 2. Transaction Date (Month/Day/Year) | 2A. Deemed Execution Date, if any (Month/Day/Year) | 3. Transaction Code (Instr. 8) | 4. Securities Acquired (A) or Disposed of (D) (Instr. 3, 4 and 5) | 5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4) | 6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4) | 7. Nature of Ownership (Instr. 4) |
|---------------------------------|--------------------------------------|----------------------------------------------------|--------------------------------|-------------------------------------------------------------------|-----------------------------------------------------------------------------------------------|----------------------------------------------------------|-----------------------------------|
|                                 |                                      |                                                    | Code                           | V                                                                 | Amount or Price                                                                               |                                                          |                                   |
| COMMON STOCK                    | 11/17/2017                           |                                                    | A                              |                                                                   | 1,720 (1)<br>\$ 0                                                                             | D                                                        |                                   |
| COMMON STOCK                    | 11/18/2017                           |                                                    | F                              |                                                                   | 179 (2)<br>\$ 47.95                                                                           | D                                                        |                                   |
| COMMON STOCK                    | 11/20/2017                           |                                                    | F                              |                                                                   | 235 (3)<br>\$ 48.55                                                                           | D                                                        |                                   |

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

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SEC 1474 (9-02)

number.

**Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned**  
(e.g., puts, calls, warrants, options, convertible securities)

| 1. Title of Derivative Security (Instr. 3) | 2. Conversion or Exercise Price of Derivative Security | 3. Transaction Date (Month/Day/Year) | 3A. Deemed Execution Date, if any (Month/Day/Year) | 4. Transaction Code (Instr. 8) | 5. Number of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5) | 6. Date Exercisable and Expiration Date (Month/Day/Year) | 7. Title and Amount of Underlying Securities (Instr. 3 and 4) |
|--------------------------------------------|--------------------------------------------------------|--------------------------------------|----------------------------------------------------|--------------------------------|-----------------------------------------------------------------------------------------|----------------------------------------------------------|---------------------------------------------------------------|
| STOCK OPTION (RIGHT TO BUY)                | \$ 47.95                                               | 11/17/2017                           |                                                    | A                              | 5,580                                                                                   | 11/17/2018 <sup>(4)</sup> 11/17/2027                     | COMMON STOCK 5,580                                            |

## Reporting Owners

| Reporting Owner Name / Address                                        | Relationships |           |                           |       |
|-----------------------------------------------------------------------|---------------|-----------|---------------------------|-------|
|                                                                       | Director      | 10% Owner | Officer                   | Other |
| CARTER BRIAN N<br>3475 EAST FOOTHILL BOULEVARD<br>PASADENA,, CA 91107 |               |           | SVP, CORPORATE CONTROLLER |       |

## Signatures

JANIS B. SALIN FOR BRIAN N. CARTER  
Date: 11/21/2017

\_\_Signature of Reporting Person

Date

## Explanation of Responses:

- \* If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) 1,720 restricted stock units (RSUs) were awarded to the reporting person on November 17, 2017. The RSUs become vested as to 25% of the shares on November 18, 2018, and the anniversary of that date going forward until fully vested.
- (2) 179 of the shares underlying restricted stock which vested on 11/18/2017 were withheld to pay the related tax liability.
- (3) 235 of the shares underlying restricted stock which vested on 11/20/2017 were withheld to pay the related tax liability.
- (4) The option becomes exercisable as to 25% of the Shares on each anniversary of the grant date.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, see Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.