

State Auto Financial CORP
Form 3
May 12, 2015

FORM 3 UNITED STATES SECURITIES AND EXCHANGE COMMISSION

Washington, D.C. 20549

OMB APPROVAL

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INITIAL STATEMENT OF BENEFICIAL OWNERSHIP OF SECURITIES

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934,
Section 17(a) of the Public Utility Holding Company Act of 1935 or Section
30(h) of the Investment Company Act of 1940

(Print or Type Responses)

1. Name and Address of Reporting Person *

Mr Mrozek Matthew Stanley
(Last) (First) (Middle)

518 EAST BROAD STREET
(Street)

COLUMBUS, OH 43215
(City) (State) (Zip)

2. Date of Event Requiring Statement

(Month/Day/Year)
05/08/2015

3. Issuer Name and Ticker or Trading Symbol
State Auto Financial CORP [STFC]

4. Relationship of Reporting Person(s) to Issuer

(Check all applicable)

☐ Director ☐ 10% Owner
☒ Officer ☐ Other
(give title below) (specify below)
Vice President

5. If Amendment, Date Original Filed (Month/Day/Year)

6. Individual or Joint/Group Filing (Check Applicable Line)
☒ Form filed by One Reporting Person
☐ Form filed by More than One Reporting Person

Table I - Non-Derivative Securities Beneficially Owned

1. Title of Security
(Instr. 4)

2. Amount of Securities Beneficially Owned
(Instr. 4)

3. Ownership Form:
Direct (D)
or Indirect (I)
(Instr. 5)

4. Nature of Indirect Beneficial Ownership
(Instr. 5)

Common Shares without par value

5,091.156

D Mr

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

SEC 1473 (7-02)

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Table II - Derivative Securities Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security
(Instr. 4)

2. Date Exercisable and Expiration Date
(Month/Day/Year)

Date Exercisable Expiration Date

3. Title and Amount of Securities Underlying Derivative Security
(Instr. 4)

Title Amount or Number of

4. Conversion or Exercise Price of Derivative Security

5. Ownership Form of Derivative Security:
Direct (D)
or Indirect

6. Nature of Indirect Beneficial Ownership
(Instr. 5)

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				Shares		(I) (Instr. 5)	
Employee Stock Option (Right to Buy) Incentive	05/17/2007	05/16/2016	Common Shares	900	\$ 33.5	D	Â
Employee Stock Option (Right to Buy) Non-qualified	05/17/2007	05/16/2016	Common Shares	900	\$ 33.5	D	Â
Employee Stock Option (Right to Buy) Non-qualified	05/03/2008	05/02/2017	Common Shares	3,410	\$ 29.53	D	Â
Employee Stock Option (Right to Buy) Non-qualified	03/06/2009	03/05/2018	Common Shares	2,970	\$ 25.81	D	Â
Employee Stock Option (Right to Buy) Non-qualified	03/05/2010	03/04/2019	Common Shares	3,007	\$ 14.49	D	Â
Employee Stock Option (Right to Buy) Non-qualified	03/04/2011	03/03/2020	Common Shares	4,916	\$ 18.78	D	Â
Employee Stock Option (Right to Buy) Non-qualified	03/03/2012	03/02/2021	Common Shares	5,086	\$ 17.03	D	Â
Employee Stock Option (Right to Buy) Non-qualified	03/01/2013	02/28/2022	Common Shares	3,618	\$ 13.53	D	Â
Employee Stock Option (Right to Buy) Non-qualified	02/28/2014	02/28/2023	Common Shares	3,437	\$ 16.8	D	Â
Employee Stock Option (Right to Buy) Non-qualified	03/06/2015	03/06/2024	Common Shares	2,883	\$ 21.23	D	Â
Employee Stock Option (Right to Buy) Non-qualified	03/05/2016	03/05/2025	Common Shares	3,381	\$ 22.72	D	Â

Reporting Owners

Reporting Owner Name / Address	Relationships			
	Director	10% Owner	Officer	Other
Mrozek Matthew Stanley 518 EAST BROAD STREEET COLUMBUS,Â OHÂ 43215	Â	Â	Â Vice President	Â

Signatures

/s/ Matthew S. Mrozek by James A. Yano, attorney in fact, per POA
attached

05/12/2015

__Signature of Reporting Person

Date

Explanation of Responses:

* If the form is filed by more than one reporting person, *see* Instruction 5(b)(v).

** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. *See* 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *See* Instruction 6 for procedure.

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