

FIRST DATA CORP
Form 3
September 11, 2006

FORM 3 UNITED STATES SECURITIES AND EXCHANGE COMMISSION
Washington, D.C. 20549

OMB APPROVAL

OMB Number: 3235-0104
Expires: January 31, 2005
Estimated average burden hours per response... 0.5

INITIAL STATEMENT OF BENEFICIAL OWNERSHIP OF SECURITIES

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934,
Section 17(a) of the Public Utility Holding Company Act of 1935 or Section
30(h) of the Investment Company Act of 1940

(Print or Type Responses)

| | | | | | |
|---|---|---|--|---|---|
| <p>1. Name and Address of Reporting Person *</p> <p>Å Treinen David</p> <p>(Last) (First) (Middle)</p> <p>6200 SOUTH QUEBEC STREET</p> <p>(Street)</p> <p>GREENWOOD VILLAGE, Å CO Å 80111</p> <p>(City) (State) (Zip)</p> | <p>2. Date of Event Requiring Statement</p> <p>(Month/Day/Year)</p> <p>08/30/2006</p> | <p>3. Issuer Name and Ticker or Trading Symbol</p> <p>FIRST DATA CORP [FDC]</p> | <p>4. Relationship of Reporting Person(s) to Issuer</p> <p>(Check all applicable)</p> <p><input type="checkbox"/> Director <input type="checkbox"/> 10% Owner <input checked="" type="checkbox"/> Officer <input type="checkbox"/> Other (give title below) (specify below) Executive Vice President</p> | <p>5. If Amendment, Date Original Filed(Month/Day/Year)</p> | <p>6. Individual or Joint/Group Filing(Check Applicable Line)</p> <p><input checked="" type="checkbox"/> Form filed by One Reporting Person <input type="checkbox"/> Form filed by More than One Reporting Person</p> |
|---|---|---|--|---|---|

Table I - Non-Derivative Securities Beneficially Owned

| 1. Title of Security (Instr. 4) | 2. Amount of Securities Beneficially Owned (Instr. 4) | 3. Ownership Form: Direct (D) or Indirect (I) (Instr. 5) | 4. Nature of Indirect Beneficial Ownership (Instr. 5) |
|------------------------------------|--|---|--|
| Common Stock | 675 | D | Å |
| Common Stock | 1,490 ⁽¹⁾ | D | Å |

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

SEC 1473 (7-02)

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control number.

Table II - Derivative Securities Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

| 1. Title of Derivative Security (Instr. 4) | 2. Date Exercisable and Expiration Date (Month/Day/Year) | 3. Title and Amount of Securities Underlying Derivative Security (Instr. 4) | 4. Conversion or Exercise Price of | 5. Ownership Form of Derivative | 6. Nature of Indirect Beneficial Ownership (Instr. 5) |
|---|---|--|------------------------------------|---------------------------------|--|
|---|---|--|------------------------------------|---------------------------------|--|

Edgar Filing: FIRST DATA CORP - Form 3

| | Date Exercisable | Expiration Date | Title | Amount or Number of Shares | Derivative Security | Security: Direct (D) or Indirect (I) (Instr. 5) | |
|--------------------------------------|------------------|-----------------|--------------|----------------------------|---------------------|---|---|
| Employee Stock Option (Right to Buy) | 12/08/2000 | 12/08/2009 | Common Stock | 7,500 | \$ 22.4375 | D | Â |
| Employee Stock Option (Right to Buy) | 12/08/2001 | 12/08/2009 | Common Stock | 17,500 | \$ 22.4375 | D | Â |
| Employee Stock Option (Right to Buy) | 12/08/2002 | 12/08/2009 | Common Stock | 17,500 | \$ 22.4375 | D | Â |
| Employee Stock Option (Right to Buy) | 12/08/2003 | 12/08/2009 | Common Stock | 17,500 | \$ 22.4375 | D | Â |
| Employee Stock Option (Right to Buy) | 12/22/2005 | 12/07/2015 | Common Stock | 20,000 | \$ 43.86 | D | Â |
| Employee Stock Option (Right to Buy) | 02/08/2007 | 02/08/2016 | Common Stock | 2,500 | \$ 43.93 | D | Â |
| Employee Stock Option (Right to Buy) | 02/08/2008 | 02/08/2016 | Common Stock | 2,500 | \$ 43.93 | D | Â |
| Employee Stock Option (Right to Buy) | 02/08/2009 | 02/08/2016 | Common Stock | 2,500 | \$ 43.93 | D | Â |
| Employee Stock Option (Right to Buy) | 02/08/2010 | 02/08/2016 | Common Stock | 2,500 | \$ 43.93 | D | Â |
| Employee Stock Option (Right to Buy) | 03/08/2007 | 03/08/2016 | Common Stock | 2,500 | \$ 45.195 | D | Â |
| Employee Stock Option (Right to Buy) | 03/08/2008 | 03/08/2016 | Common Stock | 2,500 | \$ 45.195 | D | Â |
| Employee Stock Option (Right to Buy) | 03/08/2009 | 03/08/2016 | Common Stock | 2,500 | \$ 45.195 | D | Â |
| Employee Stock Option (Right to Buy) | 03/08/2010 | 03/08/2016 | Common Stock | 2,500 | \$ 45.195 | D | Â |

Reporting Owners

| Reporting Owner Name / Address | Relationships | | | |
|--|---------------|-----------|----------------------------|-------|
| | Director | 10% Owner | Officer | Other |
| Treinen David 6200 SOUTH QUEBEC STREET GREENWOOD VILLAGE,Â COÂ 80111 | Â | Â | Â Executive Vice President | Â |

Signatures

By: Stanley J. Andersen,
Attorney-in-Fact

09/11/2006

__Signature of Reporting Person

__Date

Explanation of Responses:

- * If the form is filed by more than one reporting person, *see* Instruction 5(b)(v).
- ** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. *See* 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

These shares are subject to a restricted stock award. No portion of the award will vest unless the spin-off of the Company's Western Union subsidiary is consummated. If this condition is satisfied and the executive is still employed by the Company at the time, one-third of the award vests on the later of (1) the thirtieth day following the date of the consummation of the Western Union spin-off, or (2) the (1) first anniversary of the date of the grant (2/22/06). On each of the second and third annual anniversaries of the grant date, an additional one-third of the shares shall vest. If the Western Union spin-off does not occur due to an event outside of the Company's control as specified in the Terms and Conditions of this Award, the Restricted Stock Award will still be allowed to vest. Vesting may be accelerated pursuant to the terms of the 2002 Long-Term Incentive Plan.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *See* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.