

FIRST DATA CORP
Form 3
October 15, 2015

FORM 3 UNITED STATES SECURITIES AND EXCHANGE COMMISSION
Washington, D.C. 20549

OMB APPROVAL

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INITIAL STATEMENT OF BENEFICIAL OWNERSHIP OF SECURITIES

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934,
Section 17(a) of the Public Utility Holding Company Act of 1935 or Section
30(h) of the Investment Company Act of 1940

(Print or Type Responses)

<p>1. Name and Address of Reporting Person *</p> <p>FOREHAND JOE W</p> <p>(Last) (First) (Middle)</p> <p>18208 PRESTON ROAD, SUITE D9-387</p> <p>(Street)</p> <p>DALLAS, TX 75252</p> <p>(City) (State) (Zip)</p>	<p>2. Date of Event Requiring Statement</p> <p>(Month/Day/Year)</p> <p>10/15/2015</p>	<p>3. Issuer Name and Ticker or Trading Symbol</p> <p>FIRST DATA CORP [FDC]</p>	<p>4. Relationship of Reporting Person(s) to Issuer</p> <p>(Check all applicable)</p> <p><input checked="" type="checkbox"/> Director <input type="checkbox"/> 10% Owner <input type="checkbox"/> Officer <input type="checkbox"/> Other (give title below) (specify below)</p>	<p>5. If Amendment, Date Original Filed(Month/Day/Year)</p>	<p>6. Individual or Joint/Group Filing(Check Applicable Line)</p> <p><input checked="" type="checkbox"/> Form filed by One Reporting Person <input type="checkbox"/> Form filed by More than One Reporting Person</p>
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Table I - Non-Derivative Securities Beneficially Owned

<p>1. Title of Security (Instr. 4)</p>	<p>2. Amount of Securities Beneficially Owned (Instr. 4)</p>	<p>3. Ownership Form: Direct (D) or Indirect (I) (Instr. 5)</p>	<p>4. Nature of Indirect Beneficial Ownership (Instr. 5)</p>
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Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly. SEC 1473 (7-02)

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Table II - Derivative Securities Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

<p>1. Title of Derivative Security (Instr. 4)</p>	<p>2. Date Exercisable and Expiration Date (Month/Day/Year)</p> <p>Date Exercisable Expiration Date</p>	<p>3. Title and Amount of Securities Underlying Derivative Security (Instr. 4)</p> <p>Title Amount or Number of Shares</p>	<p>4. Conversion or Exercise Price of Derivative Security</p>	<p>5. Ownership Form of Derivative Security: Direct (D) or Indirect (I)</p>	<p>6. Nature of Indirect Beneficial Ownership (Instr. 5)</p>
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(Instr. 5)

Class B Common Stock	Â (1)(2)	Â (1)(2)	Class A Common Stock	256,050 (2)	\$ (1)	D	Â
Stock Options (right to buy)	Â (3)	07/03/2024	Class B Common Stock (1)	158,182	\$ 12.65	D	Â
Stock Options (right to buy)	Â (4)	03/05/2023	Class B Common Stock (1)	316,364	\$ 11.07	D	Â
Stock Options (right to buy)	Â (4)	12/23/2020	Class B Common Stock (1)	94,909	\$ 9.49	D	Â
Stock Options (right to buy)	Â (4)	05/19/2020	Class B Common Stock (1)	158,182	\$ 9.49	D	Â
Stock Options (right to buy)	Â (4)	04/09/2020	Class B Common Stock (1)	316,364	\$ 9.49	D	Â
Units in Director Deferred Compensation Plan	Â (5)	Â (5)	Class A Common Stock	9,095	\$ (5)	D	Â

Reporting Owners

Reporting Owner Name / Address	Relationships			
	Director	10% Owner	Officer	Other
FOREHAND JOE W 18208 PRESTON ROAD, SUITE D9-387 DALLAS, TX 75252	Â X	Â	Â	Â

Signatures

By: /s/ Gretchen A. Herron,
attorney-in-fact

10/15/2015

__Signature of Reporting Person

Date

Explanation of Responses:

* If the form is filed by more than one reporting person, see Instruction 5(b)(v).

** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Shares of Class B common stock ("Class B Common Stock") of First Data Corporation (the "Issuer") are convertible into shares of Class (1) A common stock of the Issuer ("Class A Common Stock") on a one-for-one basis at any time at the option of the holder with the prior written consent of the Issuer, automatically upon transfer, with certain exceptions, and upon certain other events.

(2) Includes 150,596 shares of restricted Class B Common Stock, including 131,923 of which will vest upon the expiration of the 180-day lock-up period in connection with the Issuer's initial public offering, 9,886 of which will vest on March 5, 2017 and 8,787 of which (i) 20% will vest upon the expiration of the 180-day lock-up period in connection with the Issuer's initial public offering, (ii) 40% will vest on February 24, 2017 and (iii) 40% will vest on February 24, 2018, subject to continued service through the applicable vesting dates.

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- (3) These stock options vest in equal annual installments on each of the first three anniversaries of March 5, 2014, subject to continued service through each applicable vesting date.
- (4) These stock options are fully vested and exercisable.
- (5) Each unit represents the economic equivalent of one share of Class A Common Stock. The units become payable in cash upon Mr. Forehand's termination of service as a director.

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Remarks:

Exhibit 24 - Power of Attorney

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *See* Instruction 6 for procedure.

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