#### FIRST DATA CORP Form 3 October 15, 2015 UNITED STATES SECURITIES AND EXCHANGE COMMISSION OMB APPROVAL FORM 3 Washington, D.C. 20549 OMB

### **INITIAL STATEMENT OF BENEFICIAL OWNERSHIP OF SECURITIES**

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940

3235-0104 Number: January 31, Expires: 2005 Estimated average burden hours per response... 0.5

(Print or Type Responses)

1. Name and Address of Reporting Person <u>*</u> Hack Jeffrey I			<ul><li>2. Date of Event Requiring</li><li>Statement</li><li>(Month/Day/Year)</li></ul>		3. Issuer Name <b>and</b> Ticker or Trading Symbol FIRST DATA CORP [FDC]				
(Last)	(First)	(Middle)	10/15/2015		4. Relationship of Reporting Person(s) to Issuer			5. If Amendment, Date Original Filed(Month/Day/Year)	
C/O FIRST DATA CORPORATION, 225 LIBERTY STREET, 29TH FLOOR (Street) NEW YORK, NH 10281					(Check all applicable) Director10% Owner XOfficerOther (give title below) (specify below) See Remarks			<ul> <li>6. Individual or Joint/Group</li> <li>Filing(Check Applicable Line)</li> <li>_X_Form filed by One Reporting</li> <li>Person</li> <li>_Form filed by More than One</li> </ul>	
							Reporting Person		
(City)	(State)	(Zip)		Table I - N	Non-Deriva	tive Securiti	es Be	neficially Owned	
1.Title of Secur (Instr. 4)	ity			2. Amount o Beneficially (Instr. 4)		3. Ownership Form: Direct (D) or Indirect (I) (Instr. 5)	4. Na Owne (Instr	•	
Class A Common Stock				158,182 (1)	$\frac{(1)}{D}$ D Â				
Reminder: Report on a separate line for each class of securities owned directly or indirectly.			urities benefic	ially	SEC 1473 (7-02	)			
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#### Table II - Derivative Securities Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security	2. Date Exercisable and	3. Title and Amount of	4.	5.	6. Nature of Indirect
(Instr. 4)	Expiration Date	Securities Underlying	Conversion	Ownership	Beneficial Ownership
	(Month/Day/Year)	Derivative Security	or Exercise	Form of	(Instr. 5)

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	Date Exercisable	Expiration Date	(Instr. 4) Title	Amount or Number of Shares	Price of Derivative Security	Derivative Security: Direct (D) or Indirect (I) (Instr. 5)	
Class B Common Stock	(2)(3)	(2)(3)	Class A Common Stock	57,748 <u>(3)</u>	\$ <u>(2)</u>	D	Â
Stock Options (right to buy)	(4)	09/26/2023	Class B Common Stock (2)	424,831	\$ 11.07	D	Â
Stock Options (right to buy)	(5)	10/14/2025	Class A Common Stock	158,182	\$ 16	D	Â

# **Reporting Owners**

Reporting Owner Name / Address	Relationships					
, o	Director	10% Owner	Officer	Other		
Hack Jeffrey I C/O FIRST DATA CORPORATION 225 LIBERTY STREET, 29TH FLOOR NEW YORK, NH 10281	Â	Â	See Remarks	Â		
Signatures						
By: /s/ Gretchen A. Herron, attorney-in-fact	10/15/2015					
**Signature of Reporting Person		Date				

## **Explanation of Responses:**

- \* If the form is filed by more than one reporting person, see Instruction 5(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Represents a grant of restricted Class A common stock ("Class A Common Stock") of First Data Corporation (the "Issuer"), of which, subject to the reporting person's continued employment through each applicable vesting date, two thirds will vest over time, with one

(1) fourth of such amount vesting on each of December 31, 2017, 2018, 2019 and 2020, and one third will vest upon the Issuer's achievement of a closing trading price of the Class A Common Stock equal to or greater than \$32.00 per share over any ten consecutive trading-day period following the Issuer's initial public offering.

Shares of Class B common stock of the Issuer ("Class B Common Stock") are convertible into shares of Class A Common Stock on a(2) one-for-one basis at any time at the option of the holder with the prior written consent of the Issuer, automatically upon transfer, with certain exceptions, and upon certain other events.

Includes 57,748 shares of restricted Class B Common Stock, including 22,597 of which vest on September 26, 2016 and 35,151 of which (i) 20% will vest upon the expiration of the 180-day lock-up period in connection with the Issuer's initial public offering, (ii) 40% will

(3) (1) 20% will vest upon the expiration of the 100-day lock-up period in connection with the issuer's initial public offering, (1) 40% will vest on February 7, 2018, subject to continued employment through the applicable vesting dates.

Of the 424,831 stock options granted, 45,194 will vest in equal annual installments on each of the first three anniversaries of September
(4) 26, 2013, and 379,637 will vest in equal annual installments on each of the first five anniversaries of September 26, 2013, subject to continued employment through each applicable vesting date.

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Of the 158,182 stock options granted, subject to the reporting person's continued service through each applicable vesting date, two thirds will vest over time, with one fourth of such amount vesting on each of December 31, 2017, 2018, 2019 and 2020, and one third will vest

(5) while vest over time, with one fourth of such amount vesting on each of December 31, 2017, 2018, 2019 and 2020, and one third will vest upon the Issuer's achievement of a closing trading price of the Class A Common Stock equal to or greater than \$32.00 per share over any ten consecutive trading-day period following the Issuer's initial public offering.

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### **Remarks:**

Title - Executive Vice President, Chief Operating Officer (Global Business Solutions)

### Exhibit 24 - Power of Attorney

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *See* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.