Edgar Filing: Capnia, Inc. - Form 4

Capnia, Inc. Form 4													
May 25, 2010	5												
FORM		ND EXC D.C. 205		NGE C	COMMISSION	OMB AF OMB Number:	PROVAL 3235-0287						
Check thi if no long subject to Section 10 Form 4 or Form 5 obligation may conti	er STATE 5. Filed pu	ursuant to S (a) of the I	Section 10 Public Ut	SECUR 6(a) of the ility Hole	ITIES e Securiti ling Com	es Ex pany	change Act of	NERSHIP OF e Act of 1934, f 1935 or Section	Expires: Estimated a burden hou response				
<i>See</i> Instru 1(b).		30(h)	of the In	vestment	Company	y Act	of 194	.0					
(Print or Type R	esponses)												
1. Name and Address of Reporting Person * 2. Issuer ENGELSEN STEINAR J Symbol					Ticker or 7	Frading	g	5. Relationship of Reporting Person(s) to Issuer					
			•	Inc. [CA]	-			(Check all applicable)					
(N			3. Date of Earliest Transaction (Month/Day/Year) 05/23/2016					X Director Officer (give below)	tor 10% Owner rr (give title Other (specify below)				
			4. If Amendment, Date Original Filed(Month/Day/Year)					6. Individual or Joint/Group Filing(CheckApplicable Line)_X_ Form filed by One Reporting Person					
REDWOOD	CITY, CA 940)65						Form filed by M Person	fore than One Re	porting			
(City)	(State)	(Zip)	Table	e I - Non-D	erivative S	Securit	ties Acq	uired, Disposed of	, or Beneficial	ly Owned			
1.Title of Security (Instr. 3)	2. Transaction Da (Month/Day/Year) Execution any		3. 4. Securities Acquired Transaction(A) or Disposed of (D) Code (Instr. 3, 4 and 5) (Instr. 8)			Securities Beneficially Owned	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)					
				Code V	Amount	or (D)	Price	(Instr. 3 and 4)					
Common Stock	05/23/2016			Р	58,200	A	\$ 1.31	80,960	D				

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control number.

 Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned

 (e.g., puts, calls, warrants, options, convertible securities)

Edgar Filing: Capnia, Inc. - Form 4

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	4. Transacti Code (Instr. 8)	of Deriva Securi Acqui (A) or Dispos of (D)	Number Expiration Date of (Month/Day/Year) Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3,		ate	Secur	ınt of rlying	8. Price of Derivative Security (Instr. 5)	9. Nu Deriv Secu Bene Owno Follo Repo Trans (Instr
			Code V	(A) (` ´	Date Exercisable	Expiration Date	Title	Amount or Number of Shares		

Reporting Owners

Reporting Owner Name / Address		Relationsh				
FB	Director	10% Owner	Officer	Other		
ENGELSEN STEINAR J 1235 RADIO ROAD, SUITE 110 REDWOOD CITY, CA 94065	Х					
Signatures						
/s/ David O'Toole, Attorney-in-Fact	05/2	25/2016				
<pre>**Signature of Reporting Person</pre>		Date				
Explanation of Responses:						

Explanation of Responses:

- If the form is filed by more than one reporting person, *see* Instruction 4(b)(v). *
- ** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, see Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.