

Edgar Filing: NOMURA HOLDINGS INC - Form 13F-HR/A

NOMURA HOLDINGS INC  
Form 13F-HR/A  
August 12, 2008

OMB APPROVAL  
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OMB Number:  
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UNITED STATES  
SECURITIES AND EXCHANGE COMMISSION  
Washington, D.C. 20549

FORM 13F

FORM 13f Cover Page

Report for the Calendar Year or Quarter Ended July 30, 2008

If amended report check here:  Amendment Number: \_\_\_\_\_

This Amendment (Check only one):  is a restatement  
 adds new holding  
entries.

PRIOR FILINGS FOR THE NOMURA GROUP WERE REPORTED UNDER THE NAME NOMURA SECURITIES COMPANY, WITH CIK NUMBER 0000110097. SUBSEQUENT FILINGS WILL BE MADE UNDER THE NAME NOMURA HOLDINGS, INC., CIK NUMBER 0001163653. NOMURA HOLDINGS, INC. (NHI) IS THE PARENT HOLDING COMPANY OF A NUMBER OF OPERATING SUBSIDIARIES, SOME OF WHICH ARE OR MAY BE INSTITUTIONAL INVESTMENT MANAGERS FOR THE PURPOSES OF THE REPORTING REQUIREMENTS UNDER SECTION 13(F) OF THE SECURITIES EXCHANGE ACT OF 1934 (THE ACT) AND THE RULES PROMULGATED THEREUNDER. NHI ITSELF DOES NOT DIRECTLY EXERCISE INVESTMENT DISCRETION WITH RESPECT TO ANY SECTION 13(F) SECURITIES POSITIONS. IN THAT NHI'S OWNERSHIP INTEREST IN SUCH OPERATING SUBSIDIARIES IS DEEMED THE EXERCISE OF INVESTMENT DISCRETION REGARDING CERTAIN OF SUCH SUBSIDIARIES' MANAGED ACCOUNTS, THE INFORMATION REQUIRED BY FORM 13F IS REPORTED BY NHI ON BEHALF OF CERTAIN OF NHI'S OPERATING SUBSIDIARIES WHICH ARE INSTITUTIONAL INVESTMENT MANAGERS SUBJECT TO SECTION 13(F) OF THE ACT.

Nomura Holdings Inc.

-----  
Name of Institutional Investment Manager

1-9-1, Nihonbashi, Chuo-ku, Tokyo 103-8011, Japan

-----  
Business Address (Street) (City) (State) (Zip)

13F File Number: 001-15270

The institutional investment manager filing this report and the person by whom it is signed hereby represent that the person signing the report is authorized

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to submit it, that all information contained herein is true, correct and complete, and that it is understood that all required items, statements, schedules, and tables, are considered integral parts of this form.

Person Signing this Report on Behalf of Reporting Manager:

Brendan G. Daly Head of Compliance and Surveillance 212-667-1246

-----  
Name (Title) (Phone)

/s/ Brendan G. Daly  
-----

(Manual Signature of Person Duly  
Authorized to Submit This Report)

New York, NY August 12, 2008  
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(Place and Date of Signing)

Report Type:

- 13F HOLDINGS REPORT.
- 13F NOTICE.
- 13F COMBINATION REPORT.

Form 13F SUMMARY PAGE

Report Summary:

Number of Other Included Managers: 4

Form 13F Information Table Entry Total: 109

Form 13F Information Table Value Total: \$337,781  
(thousands)

List of Other Included Managers:

Name and 13F file numbers of ALL Institutional Investment Managers with respect to which this schedule is filed (other than the one filing this report): (List in alphabetical order).

13F File No.: Name:  
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6. 28-05739 Global Funds Management, S.A.  
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2. 28-04985 Nomura International plc  
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 4. 28-04993 Nomura Securities (Bermuda)  
 -----  
 1. 28-04983 Nomura Securities International, Inc.  
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FORM 13F  
 INFORMATION TABLE  
 QUARTER ENDING  
 06/30/2008

| NAME OF ISSUER                | TITLE OF CLASS | CUSIP     | VALUE X1000 | SHARES PRN AMT | SH PUT PRN CALL | INVTMT DSCRETN | OT MAN |
|-------------------------------|----------------|-----------|-------------|----------------|-----------------|----------------|--------|
| OSHIP FINANCE INTERNATIONAL L |                | G81075106 | 1,047       | 000000035468   | SH              | DEFINED        | 02     |
| TSAKOS ENERGY NAVIGATION LTD  |                | G9108L108 | 1,092       | 000000029459   | SH              | DEFINED        | 02     |
| DRYSHIPS INC                  |                | Y2109Q101 | 751         | 000000009377   | SH              | DEFINED        | 02     |
| SEASPAN CORP                  |                | Y75638109 | 682         | 000000028399   | SH              | DEFINED        | 02     |
| AMR CORP                      |                | 001765106 | 145         | 000000028420   | SH              | DEFINED        | 04     |
| ALTUS PHARMACEUTICALS INC     |                | 02216N105 | 3,852       | 000000866624   | SH              | DEFINED        | 02     |
| ARMSTRONG WORLD INDS INC NEW  |                | 04247X102 | 1,234       | 000000042237   | SH              | DEFINED        | 01     |
| AVENTINE RENEWABLE ENERGY     |                | 05356X403 | 156         | 000000035540   | SH              | DEFINED        | 02     |
| BURLINGTON NORTHN SANTA FE C  |                | 12189T104 | 305         | 000000003057   | SH              | DEFINED        | 02     |
| CABLEVISION SYS CORP          |                | 12686C109 | 329         | 000000014600   | SH              | DEFINED        | 01     |
| CAMECO CORP                   |                | 13321L108 | 1,050       | 000000024500   | SH              | DEFINED        | 04     |
| CAPSTONE TURBINE CORP         |                | 14067D102 | 268         | 000000064091   | SH              | DEFINED        | 02     |
| CATERPILLAR INC DEL           |                | 149123101 | 1,128       | 000000015290   | SH              | DEFINED        | 02     |
| CISCO SYS INC                 |                | 17275R102 | 890         | 000000038277   | SH              | DEFINED        | 02     |
| CITIGROUP INC                 |                | 172967101 | 1,111       | 000000066357   | SH              | DEFINED        | 02     |
| CITIGROUP INC                 |                | 172967101 | 241         | 000000014400   | SH              | DEFINED        | 04     |
| COCA COLA CO                  |                | 191216100 | 1,277       | 000000024585   | SH              | DEFINED        | 02     |
| COLGATE PALMOLIVE CO          |                | 194162103 | 308         | 000000004458   | SH              | DEFINED        | 02     |
| COMCAST CORP NEW              |                | 20030N200 | 267         | 000000014243   | SH              | DEFINED        | 01     |
| CONVERGE INC                  |                | 205859101 | 282         | 000000020242   | SH              | DEFINED        | 02     |
| CONOCOPHILLIPS                |                | 20825C104 | 10,692      | 000000113283   | SH              | DEFINED        | 02     |
| CORE MARK HOLDING CO INC      |                | 218681104 | 1,525       | 000000058235   | SH              | DEFINED        | 01     |
| CREE INC                      |                | 225447101 | 866         | 000000037977   | SH              | DEFINED        | 02     |
| CROCS INC                     |                | 227046109 | 932         | 000000116376   | SH              | DEFINED        | 02     |
| DANA HOLDING CORP             |                | 235825205 | 4,046       | 000000756382   | SH              | DEFINED        | 01     |
| DELTA AIR LINES INC DEL       |                | 247361702 | 331         | 000000058176   | SH              | DEFINED        | 01     |
| ECHELON CORP                  |                | 27874N105 | 238         | 000000021878   | SH              | DEFINED        | 02     |
| ENERGY CONVERSION DEVICES IN  |                | 292659109 | 618         | 000000008404   | SH              | DEFINED        | 02     |
| ENERNOC INC                   |                | 292764107 | 263         | 000000014674   | SH              | DEFINED        | 02     |
| EVERGREEN SOLAR INC           |                | 30033R108 | 577         | 000000059594   | SH              | DEFINED        | 02     |
| EXELON CORP                   |                | 30161N101 | 631         | 000000007021   | SH              | DEFINED        | 02     |
| FTI CONSULTING INC            |                | 302941109 | 705         | 000000010302   | SH              | DEFINED        | 01     |
| EDERAL MOGUL CORP             |                | 313549404 | 6,764       | 000000419356   | SH              | DEFINED        | 01     |
| FIRST SOLAR INC               |                | 336433107 | 644         | 000000002362   | SH              | DEFINED        | 02     |
| FLUOR CORP NEW                |                | 343412102 | 1,007       | 000000010826   | SH              | DEFINED        | 02     |
| FUELCELL ENERGY INC           |                | 35952H106 | 201         | 000000028404   | SH              | DEFINED        | 02     |
| GERDAU S A                    |                | 373737105 | 1,899       | 000000079100   | SH              | DEFINED        | 04     |
| GOL LINHAS AEREAS INTLG S A   |                | 38045R107 | 1,327       | 000000117700   | SH              | DEFINED        | 04     |
| GOODYEAR TIRE & RUBR CO       |                | 382550101 | 606         | 000000034000   | SH              | DEFINED        | 01     |

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|                              |           |        |              |    |         |    |
|------------------------------|-----------|--------|--------------|----|---------|----|
| GOOGLE INC                   | 38259P508 | 368    | 000000000700 | SH | DEFINED | 04 |
| GRACE W R & CO DEL NEW       | 38388F108 | 482    | 000000020521 | SH | DEFINED | 01 |
| GROUP 1 AUTOMOTIVE INC       | 398905109 | 446    | 000000022450 | SH | DEFINED | 01 |
| HAYES LEMMERZ INTL INC       | 420781304 | 14,988 | 000000161449 | SH | DEFINED | 01 |
| HOME DEPOT INC               | 437076102 | 669    | 000000028567 | SH | DEFINED | 02 |
| HONEYWELL INTL INC           | 438516106 | 891    | 000000017721 | SH | DEFINED | 02 |
| HORNBECK OFFSHORE SVCS INC N | 440543106 | 869    | 000000015388 | SH | DEFINED | 01 |

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|------------------------------|----------------|-----------|-------------|----------------|--------|----------|-----------------|--------|
| OHUNTSMAN CORP               |                | 447011107 | 604         | 000000052991   | SH     |          | DEFINED         | 01     |
| IDENIX PHARMACEUTICALS INC   |                | 45166R204 | 2,154       | 000000301476   | SH     |          | DEFINED         | 02     |
| IDEARC INC                   |                | 451663108 | 162         | 000000069000   | SH     |          | DEFINED         | 01     |
| INFOSYS TECHNOLOGIES LTD     |                | 456788108 | 217         | 000000005000   | SH     |          | DEFINED         | 04     |
| INNOPHOS HOLDINGS INC        |                | 45774N108 | 396         | 000000012409   | SH     |          | DEFINED         | 01     |
| INTEL CORP                   |                | 458140100 | 785         | 000000036592   | SH     |          | DEFINED         | 02     |
| INTERNATIONAL BUSINESS MACHS |                | 459200101 | 398         | 000000003363   | SH     |          | DEFINED         | 02     |
| ISHARES INC                  |                | 464286400 | 3,171       | 000000035515   | SH     |          | DEFINED         | 02     |
| ISHARES INC                  |                | 464286731 | 254         | 000000018000   | SH     |          | DEFINED         | 02     |
| ISHARES TR                   |                | 464287184 | 2,138       | 000000049037   | SH     |          | DEFINED         | 02     |
| ISHARES TR                   |                | 464287234 | 2,881       | 000000063698   | SH     |          | DEFINED         | 02     |
| ISHARES TR                   |                | 464287390 | 741         | 000000013475   | SH     |          | DEFINED         | 02     |
| ISHARES TR                   |                | 464287655 | 11,496      | 000000166500   | SH     |          | DEFINED         | 02     |
| ITRON INC                    |                | 465741106 | 898         | 000000009135   | SH     |          | DEFINED         | 02     |
| JA SOLAR HOLDINGS CO LTD     |                | 466090107 | 609         | 000000036157   | SH     |          | DEFINED         | 02     |
| JOHNSON & JOHNSON            |                | 478160104 | 746         | 000000011597   | SH     |          | DEFINED         | 02     |
| KRAFT FOODS INC              |                | 50075N104 | 247         | 000000008695   | SH     |          | DEFINED         | 02     |
| LDK SOLAR CO LTD             |                | 50183L107 | 591         | 000000015612   | SH     |          | DEFINED         | 02     |
| LAS VEGAS SANDS CORP         |                | 517834107 | 3,745       | 000000079550   | SH     |          | DEFINED         | 02     |
| LEHMAN BROS HLDGS INC        |                | 524908100 | 2,018       | 000000101903   | SH     |          | DEFINED         | 02     |
| LIMITED BRANDS INC           |                | 532716107 | 292         | 000000017337   | SH     |          | DEFINED         | 02     |
| MEMC ELECTR MATLS INC        |                | 552715104 | 632         | 000000010271   | SH     |          | DEFINED         | 02     |
| MCDONALDS CORP               |                | 580135101 | 436         | 000000007765   | SH     |          | DEFINED         | 02     |
| MEDCATH CORP                 |                | 58404W109 | 391         | 000000021747   | SH     |          | DEFINED         | 01     |
| MEDIS TECHNOLOGIES LTD       |                | 58500P107 | 203,482     | 000000066979   | SH     |          | DEFINED         | 02     |
| MERCK & CO INC               |                | 589331107 | 753         | 000000019994   | SH     |          | DEFINED         | 02     |
| MERRILL LYNCH & CO INC       |                | 590188108 | 1,618       | 000000051028   | SH     |          | DEFINED         | 02     |
| MERRILL LYNCH & CO INC       |                | 590188108 | 231         | 000000007300   | SH     |          | DEFINED         | 04     |
| MIRANT CORP NEW              |                | 60467R100 | 1,351       | 000000034530   | SH     |          | DEFINED         | 01     |
| MONEYGRAM INTL INC           |                | 60935Y109 | 135         | 000000150000   | SH     |          | DEFINED         | 01     |
| MOTOROLA INC                 |                | 620076109 | 622         | 000000084834   | SH     |          | DEFINED         | 02     |
| NRG ENERGY INC               |                | 629377508 | 744         | 000000017350   | SH     |          | DEFINED         | 01     |
| NORTHWEST AIRLS CORP         |                | 667280408 | 167         | 000000025103   | SH     |          | DEFINED         | 01     |
| ORMAT TECHNOLOGIES INC       |                | 686688102 | 494         | 000000010071   | SH     |          | DEFINED         | 02     |
| OVERSEAS SHIPHOLDING GROUP I |                | 690368105 | 1,234       | 000000015523   | SH     |          | DEFINED         | 02     |
| OWENS CORNING NEW            |                | 690742101 | 3,045       | 000000133862   | SH     |          | DEFINED         | 01     |
| PANTRY INC                   |                | 698657103 | 339         | 000000031829   | SH     |          | DEFINED         | 01     |
| PEPSICO INC                  |                | 713448108 | 312         | 000000004916   | SH     |          | DEFINED         | 02     |
| PFIZER INC                   |                | 717081103 | 228         | 000000013097   | SH     |          | DEFINED         | 02     |
| PLUG POWER INC               |                | 72919P103 | 182         | 000000077766   | SH     |          | DEFINED         | 02     |
| POTASH CORP SASK INC         |                | 73755L107 | 342         | 000000001500   | SH     |          | DEFINED         | 04     |
| POWER INTEGRATIONS INC       |                | 739276103 | 896         | 000000028352   | SH     |          | DEFINED         | 02     |
| PROCTER & GAMBLE CO          |                | 742718109 | 1,124       | 000000018497   | SH     |          | DEFINED         | 02     |
| SPDR SERIES TRUST            |                | 78464A888 | 1,702       | 000000103609   | SH     |          | DEFINED         | 02     |
| SANDISK CORP                 |                | 80004C101 | 727         | 000000038927   | SH     |          | DEFINED         | 02     |
| SEACOR HOLDINGS INC          |                | 811904101 | 561         | 000000006270   | SH     |          | DEFINED         | 01     |

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 INFORMATION TABLE  
 QUARTER ENDING  
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| NAME OF ISSUER              | TITLE<br>OF CLASS | CUSIP     | VALUE<br>X1000 | SHARES<br>PRN AMT | SH<br>PRN | PUT<br>CALL | INVTMT<br>DSCRETN | OT<br>MAN |
|-----------------------------|-------------------|-----------|----------------|-------------------|-----------|-------------|-------------------|-----------|
| OSOLUTIA INC                |                   | 834376501 | 3,312          | 000000258418      | SH        |             | DEFINED           | 01        |
| SUNPOWER CORP               |                   | 867652109 | 1,049          | 000000014582      | SH        |             | DEFINED           | 02        |
| SUNTECH PWR HLDGS CO LTD    |                   | 86800C104 | 628            | 000000016785      | SH        |             | DEFINED           | 02        |
| TEXAS INSTRS INC            |                   | 882508104 | 204            | 000000007247      | SH        |             | DEFINED           | 02        |
| 3M CO                       |                   | 88579Y101 | 230            | 000000003308      | SH        |             | DEFINED           | 02        |
| TIME WARNER INC             |                   | 887317105 | 993            | 000000067158      | SH        |             | DEFINED           | 02        |
| TIME WARNER CABLE INC       |                   | 88732J108 | 686            | 000000025913      | SH        |             | DEFINED           | 01        |
| TYSON FOODS INC             |                   | 902494103 | 1,057          | 000000070790      | SH        |             | DEFINED           | 02        |
| VCA ANTECH INC              |                   | 918194101 | 681            | 000000024520      | SH        |             | DEFINED           | 02        |
| VERASUN ENERGY CORP         |                   | 92336G106 | 372            | 000000132088      | SH        |             | DEFINED           | 02        |
| VERENIUM CORPORATION        |                   | 92340P100 | 147            | 000000076234      | SH        |             | DEFINED           | 02        |
| VERIZON COMMUNICATIONS INC  |                   | 92343V104 | 7              | 000000000200      | SH        |             | DEFINED           | 04        |
| VIRGIN MEDIA INC            |                   | 92769L101 | 415            | 000000030556      | SH        |             | DEFINED           | 01        |
| WELLS FARGO & CO NEW        |                   | 949746101 | 891            | 000000037526      | SH        |             | DEFINED           | 02        |
| WINN DIXIE STORES INC       |                   | 974280307 | 314            | 000000019659      | SH        |             | DEFINED           | 01        |
| YINGLI GREEN ENERGY HLDG CO |                   | 98584B103 | 577            | 000000036299      | SH        |             | DEFINED           | 02        |
| ZOLTEK COS INC              |                   | 98975W104 | 880            | 000000036292      | SH        |             | DEFINED           | 02        |