

Edgar Filing: CREDIT SUISSE GROUP - Form 6-K

CREDIT SUISSE GROUP  
Form 6-K  
December 20, 2002

As furnished to the Securities and Exchange Commission on December 20, 2002

FORM 6-K  
SECURITIES AND EXCHANGE COMMISSION  
Washington, D.C. 20549

Report of Foreign Private Issuer

Pursuant to Rule 13a-16 or 15d-16  
of the Securities Exchange Act of 1934

For the month of December, 2002  
Commission File Number 001-15244

Credit Suisse Group

Paradeplatz 8, P.O. Box 1, CH 8070 Zurich, Switzerland

Indicate by check mark whether the registrant files or will file annual reports  
under cover Form 20-F or Form 40-F:

Form 20-F...X...

Form 40-F.....

Indicate by check mark if the registrant is submitting the Form 6-K in paper as  
permitted by Regulation S-T Rule 101(b) (1): .....

Indicate by check mark if the registrant is submitting the Form 6-K in paper as  
permitted by Regulation S-T Rule 101(b) (7): .....

Indicate by check mark whether by furnishing the information contained in this  
Form, the registrant is also thereby furnishing the information to the  
Commission pursuant to Rule 12g3-2(b) under the Securities Exchange Act of 1934:

Yes.....

No...X....

If "Yes" is marked, indicate below the file number assigned to the registrant in  
connection with Rule 12g3-2(b): .....N/A.....

On December 20, 2002, the Credit Suisse First Boston business unit of  
Credit Suisse Group reached an agreement in principle with the United States  
Securities and Exchange Commission, the National Association of Securities  
Dealers, the New York State Attorney General's Office, the Office of the  
Secretary of the Commonwealth of Massachusetts and the North American Securities  
Administrators Association to resolve their investigations of Credit Suisse  
First Boston relating to research analyst independence and the allocation of  
shares in initial public offerings to corporate executives and directors.  
Pursuant to the agreement in principle, Credit Suisse First Boston agrees, among  
other things, (i) to pay \$150 million, of which \$75 million is a civil penalty  
and \$75 million is for restitution for investors, (ii) to adopt internal  
structural and operational reforms that will further augment the steps it has

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already taken to ensure research analyst independence and promote investor confidence, (iii) to contribute \$50 million spread over five years to provide third-party research to clients and (iv) to adopt restrictions on the allocation of shares in initial public offerings to corporate executives and directors.

\* \* \*

This Report on Form 6-K is incorporated by reference into the registration statement on Form F-3 (File No. 333-100523) and the registration statement on Form S-8 (File No. 333-101259).

### SIGNATURES

Pursuant to the requirements of the Securities Exchange Act of 1934, the registrant has duly caused this report to be signed on its behalf by the undersigned, thereunto duly authorized.

CREDIT SUISSE GROUP

By: /s/ David Frick

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Name: David Frick  
Title: Managing Director

By: /s/ Karin Rhomberg Hug

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Name: Karin Rhomberg Hug  
Title: Managing Director

Dated: December 20, 2002